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Please cite as:

**Barni, P.E.; P.M. Fearnside & P.M.L.A. Graça. 2015. Simulating deforestation and carbon loss in Amazonia: impacts in Brazil's Roraima state from reconstructing Highway BR-319 (Manaus-Porto Velho). *Environmental Management* 55(2): 259-278.**

**doi: 10.1007/s00267-014-0408-6**

ISSN: 0364-152X

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The original publication is available at [www.springerlink.com](http://www.springerlink.com)

**Simulating deforestation and carbon loss in Amazonia: impacts in  
Brazil's Roraima state from reconstructing Highway BR-319  
(Manaus-Porto Velho)**

Paulo Eduardo Barni<sup>a,b</sup>; Philip Martin Fearnside<sup>a,\*</sup>; Paulo Maurício  
Lima de Alencastro Graça<sup>a</sup>

<sup>a</sup>National Institute Research in Amazonia (INPA), Av. André Araújo,  
2936, CEP: 69067- 375, Manaus, Amazonas, Brazil

<sup>b</sup>State University of Roraima (UERR), Rodovia BR-210, Km 70. CEP:  
69375-000, São João da Baliza, Roraima, Brazil

\*Corresponding author. Tel.: +55 92 3643 1822; fax +55 92 3642 1838

*E-mail address:* pmfearn@inpa.gov.br (P.M. Fearnside).

**Acknowledgments**

The National Council of Scientific and Technological Development (CNPq: proc. 557152/2005-4, 474548/2006-6; 305880/2007-1), the Amazonas Foundation for the Support of Research (FAPEAM), the GEOMA network and the National Institute for Research in Amazonia (INPA) contributed logistical and financial support. G.C. Carrero helped in the field activities. We thank the editors and five reviewers for helpful comments.

## ABSTRACT

Reconstruction of Highway BR-319 (Manaus-Porto Velho) would allow access from the "arc of deforestation" in the southern part of Brazil's Amazon region to vast blocks of forests in central and northern Amazonia. Building roads is known to be a major driver of deforestation, allowing entry of squatters and other actors. Rather than deforestation along the highway route, here we consider the road's potential for stimulating deforestation in a separate location, approximately 550 km north of BR-319's endpoint in Manaus. Reconstructing BR-319 has great potential impact to start a new wave of migration to this remote region. The southern portion of the state of Roraima, the focus of our study, is already connected to Manaus by Highway BR-174. We modeled deforestation in southern Roraima and simulated carbon emissions between 2007 and 2030 under four scenarios. Simulations used the AGROECO model in DINAMICA-EGO© software. Two scenarios were considered with reconstruction of BR-319 and two without this road connection. For each of the two possibilities regarding BR-319, simulations were developed for (1) a "conservation" (CONSERV) scenario that assumes the creation of a series of protected areas and (2) a "business-as-usual" (BAU) scenario that assumes no additional protected areas. Results show that by 2030, with BR-319 rebuilt, deforestation carbon emissions would increase between 19% (CONSERV) and 42% (BAU) above corresponding no- road scenarios.

# 1 **Simulating deforestation and carbon loss in Amazonia:** 2 **impacts in Brazil's Roraima state from reconstructing** 3 **Highway BR-319 (Manaus-Porto Velho)**

## 4 5 **Introduction**

6  
7 Deforestation along the southern edge of Amazonia has advanced much faster  
8 than in other parts of the region due to the expansion and upgrading of the road  
9 network in the 1970s and 1980s (Fig. 1b). Roads allowed a large population of  
10 migrants to move to remote areas of the Amazon, and deforestation spread quickly  
11 (e.g., Perz et al. 2002; Pfaff 1999). In the mid-1980s, deforestation assumed alarming  
12 proportions with paving of major highways connecting Amazonia to São Paulo and  
13 other population centers in the South and Southeast regions of the country (Fearnside  
14 1989; Oliveira 2005). Key developments included reconstruction of Highway BR-  
15 364 (Cuiabá-Porto Velho) and part of Highway BR-163 (Cuiabá-Santarem), allowing  
16 migrants to move to Amazonia. More recently, roads have facilitated arrival of  
17 soybeans, boosting agri-business on the southern edge of region (Carneiro-Filho  
18 2005; Fearnside 2001, 2007).

19  
20 [Figure 1 here]

21  
22 Deforestation in Brazilian Amazonia has, until recently, been concentrated in  
23 the “arc of deforestation,” a crescent-shaped strip along the forest’s eastern and  
24 southern edges (Fig. 2). Low-input land uses such as extensive cattle pasture have  
25 now been joined in this part of Amazonia by more highly capitalized activities such  
26 as soybean cultivation. “*Grilagem*” (illegal appropriation of public land by large  
27 actors) and invasion of land by organized landless squatters (“*sem terras*”) have  
28 continued to spread, especially in areas that are not yet part of the arc of  
29 deforestation. In addition, prices of commodities such as soybeans have strongly  
30 influenced the pace of deforestation (Barreto et al. 2008; Kaimowitz et al. 2004;  
31 Morton et al. 2006; Nepstad et al. 2006a). Deforestation rates in Brazilian Amazonia  
32 as a whole declined from 2004 through 2012, with yearly rates being closely  
33 correlated with commodity prices through 2008; thereafter rates and prices diverged  
34 when the decline in deforestation continued despite rising prices (Assunção et al.  
35 2012; Barreto et al. 2011; Hargrave and Kis-Katos 2011). Among government  
36 control efforts that could explain the change in 2008, the most effective is believed to  
37 be the policy of Brazil’s Central Bank introduced that year blocking loans from  
38 government banks to landowners with unpaid fines for environmental violations  
39 (BACEN Resolution 3.545/2008). Nevertheless, in 2013 deforestation rates in Legal  
40 Amazonia rose by 29% (Brazil, INPE 2014), and preliminary data indicate they rose  
41 further in 2014 (Fonseca et al. 2014).

42  
43 [Figure 2 here]

44  
45 The Brazilian government plans to build a series of infrastructure projects in  
46 Amazonia under its Program for the Acceleration of Growth (PAC). Among these is  
47 completion of the Jirau and Santo Antônio hydroelectric dams on the Madeira River  
48 upstream of Porto Velho (capital of Rondônia state) and reconstruction and paving of  
49 Highway BR-319 connecting Porto Velho to Manaus (capital of Amazonas state)

(Fearnside et al. 2009a; Viana et al. 2008). The construction phase of these dams has attracted approximately 100,000 people to Rondônia and may further exacerbate pressure on arable land there (Fearnside 2014). New forest lands are no longer available in the arc of deforestation and arable land is limited under Amazonian forest. Reopening Highway BR-319 would cause a new migratory flow from the arc of deforestation to central and northern Amazonia (Fearnside and Graça 2006; Viana et al. 2008). BR-319 was the main channel for migration to Roraima from 1975 until it was closed in 1988 due to lack of maintenance.

Migration to Roraima over the past decades has mainly been from people coming up the Amazon River by boat to Manaus and continuing on via Highway BR-174 to Roraima. This migration flow, plus that from Amazonas state (mostly from the Manaus area), would be little affected by opening Highway BR-319, in contrast to migration coming down the Madeira River from Rondônia. Of those arriving in Roraima from other states over the 1991-1996 period, only 5.2% came from states that would contribute to flows via BR-319, while 94.8% came from other states (Brazil, IBGE 2010). Migration flows from Amazonas state (5.9% of the 1991-1996 total moving to Roraima from other states) would be little affected. Other states with little expected effect are Maranhão (32.8%), Pará and Amapá (23.5%), Northeastern states other than Maranhão (12.7%), and the South and Southeast regions plus Goiás, Tocantins and the Distrito Federal (9.8%). The relatively small migration via the Madeira River is what would be transformed by opening BR-319, presumably in proportions similar to those in the 1991-1996 period. Of presumed Madeira-River migrants, 53.0% came from Rondônia, 12.9% from Acre, 22.8% from Mato Grosso and 11.3% from Mato Grosso do Sul. The percentage of migrants that BR-319 would bring from Rondônia may be higher, since some of the historical migration from Mato Grosso and Mato Grosso do Sul probably reached Roraima via the Amazon-River route.

Rondônia's population more than doubled between the 1980 and 1991 censuses, with an annual net migration rate that was only surpassed by Roraima among the nine states in Brazil's Legal Amazonia region (Fig. 1a). Between 1991 and 2000 Roraima continued to experience strong net migration, while in the case of Rondônia the pattern reversed dramatically, with the state having a slight negative net annual migration rate, meaning that it had become a source of migrants (Perz et al. 2005, p. 33). Rondônia is a state with many settlement projects for small farmers. These areas begin with one family in each plot of land but soon enter a process where wealthier newcomers buy lots from the original settlers, often obtaining several lots in the names of different family members and managing the land as a medium or large cattle ranch (e.g., Fearnside 1984). For example, in the settlement at Apuí, in southern Amazonas state (an area where much of the current population has come from Rondônia due to road access from that state), as many as 38 lots are owned by a single family (Carrero and Fearnside 2011). The process of lot consolidation causes colonist families to sell their land and move to more-distant frontiers, both from the "push" of rising land prices in older settlements and from the "pull" of opportunities to obtain larger areas of cheap land elsewhere.

This process has been repeated on successive frontiers throughout Brazilian Amazonia over the past half century (e.g., Browder et al. 2008, Ludewigs et al. 2009). Its likely continuation guarantees a source of future rural-to-rural migration. Migration in Brazil is generally rural-to-rural, rural-to-urban or urban-to-urban, but not urban-to-rural. In Rondônia, hotspots of migration spring up regularly, with many migrants arriving from other parts of the state in response to rumors of

100 available land (Caviglia-Harris et al. 2013). The currently rumored El Dorado among  
101 farmers in Rondônia is “Realidade,” which is a spontaneous settlement located in  
102 Amazonas state near the northern end of the passible portion of Highway BR-319  
103 (personal observation). If BR-319 were to be opened to traffic all the way to Manaus,  
104 it is likely that southern Roraima would suddenly take on the role of rumored  
105 paradise for land-seekers in Rondônia. Those who respond to the opportunity of  
106 newly opened areas include both those who sell land in older settlements and those  
107 who have no land. Organized landless farmers (*sem terras*) represent a significant  
108 factor in population movements in Amazonia (Perz et al. 2010; Simmons et al.  
109 2010).

110 Southern Roraima has over 70,500 km<sup>2</sup> of primary forests (Supplementary  
111 Online Material) that are accessible from Manaus via Highway BR-174 (Manaus-  
112 Boa Vista). The region could attract much of the migratory flow that is expected if  
113 BR-319 is reconstructed. Low land prices compared to those in the arc of  
114 deforestation and more fertile soil as compared to the Manaus area are strong  
115 attractions. Low population density represents an additional attraction: as of 2010,  
116 Roraima had only 451,000 inhabitants, of whom 284,000 (63%) were living in the  
117 capital city of Boa Vista (Brazil, IBGE 2013a). This equates to an average density of  
118 2 inhabitants per km<sup>2</sup>, but density falls to 0.7 inhabitants/km<sup>2</sup> if the capital city is  
119 excluded. In addition, Roraima is located in the far north of the country, thereby  
120 providing comparative advantages such as access to external markets via ports in  
121 Venezuela and Guyana.

122 These facts could cause increases in deforestation and environmental  
123 degradation, as shown by a similar case in the recent past resulting in a large influx  
124 to Roraima. In the period between 1995 and 1997 a total of 23 settlement projects  
125 was created in Roraima (Brazil, INCRA 2007). Of these, 16 are in the southern  
126 portion of the state where they have attracted more than 50,000 migrants from other  
127 parts of Brazil (Brazil, IBGE 2008; Diniz and Santos 2005). The settlements were  
128 established as part of a state government effort to recover population after a halting  
129 of gold mining in 1990 caused loss of inhabitants (AMBITEC 1994; Diniz and  
130 Santos 2005). Part of the advance of settlement projects was due to paving Highway  
131 BR-174 and part from paving BR-210 (Northern Perimeter Highway) over the 1995-  
132 1997 period. These highways served as access routes to newly created settlement  
133 projects and for transport of products to markets in Manaus and Boa Vista (Fig. 2,  
134 part 1).

135 The effect of Amazonian roads on deforestation is not a mere theoretical  
136 possibility: it has been demonstrated in studies linking road construction and  
137 deforestation increase with increasing migration (e.g., Laurance et al. 2001; Sawyer  
138 1984; Soares-Filho et al. 2004). Since Roraima is located at the "end of the chain" of  
139 migration (imagining links of a chain connecting the arc of deforestation to  
140 Roraima), relatively few migrants have arrived as compared to points that are closer  
141 to the migration source. This has caused a sort of "repressed demand" in Roraima,  
142 and reopening BR-319 could make the stronger migration wave move to the end of  
143 the chain. Moreover, measures to curb deforestation from BR-319, such as creation  
144 of protected areas, are limited to the strip along BR-319 itself (e.g., Fearnside et al.  
145 2009a).

146 Our goal in the present paper is to examine effects on deforestation in  
147 southern Roraima in what is likely to be a critical case in Brazil's development plans  
148 for Amazonia. We simulate deforestation under four scenarios between 2007 and  
149 2030 and estimate resulting carbon emissions. The environmental impact study for

150 reconstructing Highway BR-319 gives no consideration to impacts beyond the strip  
151 on either side of the road between Porto Velho and Manaus (UFAM 2009; see  
152 Fearnside and Graça 2009). Ignoring effects beyond what government authorities  
153 define as the “region of direct impact” is a generic problem in environmental impact  
154 assessment and licensing in Brazil and elsewhere. Our research is intended to answer  
155 the question of what effects re-opening Highway BR-319 could have on  
156 deforestation and loss of other original vegetation in southern Roraima. Effects stem  
157 from migrants continuing their journeys beyond the end of BR-319, which terminates  
158 in Manaus, Amazonas, approximately 550 km to the south of the study area. We also  
159 consider the extent to which creating additional protected areas might reduce forest  
160 loss in the study area.

## 161 **Methodology**

### 162 **Study Area**

163  
164  
165 Our study area encompasses five municipalities in southern Roraima:  
166 Caracarai, Rorainópolis, São Luiz do Anauá, São João da Baliza and Caroebe, with  
167 an area of 98,955 km<sup>2</sup>, or 44.1% of the total area of Roraima (Fig. 2). The region is  
168 crossed from north to south by Highway BR-174 and from east to west by Highway  
169 BR-210. Southern Roraima had 60,980 inhabitants in 2007, approximately 48% of  
170 whom were living in the countryside (Brazil, IBGE 2010). Cumulative deforestation  
171 totaled 3723 km<sup>2</sup> by 2007, representing 3.7% of the total area of southern Roraima  
172 and about 5% of the remaining forest areas in this part of the state (Brazil, INPE  
173 2014). Southern Roraima includes the Jauaperi National Forest (FLONA) and the  
174 Wai-Wai Indigenous Land (Fig. 2).  
175

### 176 **Model Rationale, Implementation and Testing**

#### 177 **The AGROECO Model**

178  
179  
180 To simulate deforestation and to create future scenarios we used the  
181 AGROECO model developed by Fearnside et al. (2009a) in DINAMICA-EGO  
182 software (Soares-Filho et al. 2002, 2014). Each iteration of the AGROECO model  
183 creates an area of accessible forest (Fig. 3). Accessible forest is a buffer around  
184 existing roads and previous clearings. Accessible area and subsequent deforestation  
185 expand as new roads are built by the software’s road-building module  
186 (Supplementary Online Material). The accessible forest surface is composed of a  
187 strip of pre-defined width (2 km in this case) on each side of all roads built in the  
188 model. In AGROECO, unlike demand-driven models, the amount of deforestation  
189 (and not only its location) responds to presence of transportation infrastructure  
190 (Fearnside et al. 2009a; Yanai et al. 2012).  
191

192 [Figure 3 here]

#### 193 **Schedule of Planned Roads**

194  
195  
196 In all study scenarios, major roads were built according to the government of  
197 Roraima’s official road-paving timeline. Secondary roads were mapped using the  
198 multiple criteria evaluation (MCE) tool in DINAMICA-EGO. Probable dates for  
199

200 constructing main roads were based on official plans (Brazil, Ministério da Defesa C.  
201 2001, pp 75-76; Roraima 2009). Planned roads totaled 867 km, making 1,040,400 ha  
202 of forest available for deforestation during the simulations (Table 1).

203

204 [Table 1 here]

205

206 Static and Dynamic Variables

207

208 Static variables are factors that do not change in value over the course of a  
209 simulation. We used maps of altitude (Brazil, SIPAM 2008), slope (derived from  
210 SRTM data: Brazil, EMBRAPA 2013), soils (Brazil, IBGE 2013b; Brazil, Projeto  
211 RADAMBRASIL 1973-1983) and vegetation (Brazil, IBGE 2013b; Brazil, Projeto  
212 RADAMBRASIL 1973-1983). We also used maps of indigenous lands and  
213 conservation units (Brazil, IBGE, 2013b), rivers (Brazil, SIPAM 2008), settlement  
214 projects (Brazil, INCRA 2007) and the initial (1998) network of major and  
215 secondary roads (Brazil, SIPAM 2008; updated by the authors to 2007 from  
216 LANDSAT-TM images).

217 Dynamic variables are those whose values change over the course of a  
218 simulation. These included distance to major roads and distance to secondary roads  
219 (new major roads are built according to an official schedule and construction of  
220 endogenous secondary roads is simulated in the model). Other dynamic variables  
221 were distance to available land, distance to deforestation and distance to forest,  
222 distance to settlement projects and distance to indigenous lands and conservation  
223 units (affected by changes in the status of land as a settlement project or as a  
224 conservation unit).

225 Historical deforestation data were used to test the model's efficiency in  
226 allocating deforestation to sites where it is most likely to occur (depending on factors  
227 that promote or inhibit clearing). We also tested the model's performance in not  
228 allocating deforestation to locations where it has little or no likelihood of occurring  
229 (infertile soils, hill tops, flooded areas, and areas far from road infrastructure) (Barni  
230 2009).

231

232 Spatial Data Input to the Model

233

234 The model uses as input data land-use maps based on LANDSAT-TM  
235 satellite images for 2004 and 2007 (Fig. 4). Maps of weights of evidence and of  
236 transition probabilities are calculated from these maps to calibrate the model  
237 (Supplementary Online Material). In the case of categorical variables, coefficients of  
238 weights of evidence are calculated from the vulnerability or lack of vulnerability of  
239 each class to deforestation. For classes favorable to deforestation, the model assigns  
240 positive coefficients, while classes that are not favorable receive negative  
241 coefficients. In the case of continuous variables, the model creates bands of distances  
242 from the variable of interest (for example roads) and assigns coefficients of weights  
243 of evidence for each distance range according to favorability for deforestation.

244

245 [Figure 4 here]

246

247 Weights of Evidence

248

249 Weights of evidence are based on the Bayesian conditional probability

method (Supplementary Online Material). In modeling dynamics of land-use and land-cover change, weights of evidence are applied to calculate *a posteriori* probabilities. In the case of deforestation, we have *a priori* knowledge of locations with conditions that are favorable to deforestation. Land-use maps and the static and dynamic variables were combined in this modeling step in a DINAMICA-EGO sub-model for calculating weights of evidence (Fig. 5). For example, the transition probability for a given cell “*i*” changing from one state (e.g., forest) to another (e.g., deforestation) over a period of time is evaluated as a function of its distance to deforestation or to the forest edge and distance to the road network. Probability of transition of a cell “*i*” is also evaluated in terms of its location when it is tested in relation to static variables such as soil type and initial vegetation.

[Figure 5 here]

In each iteration (representing a year), all model cells are examined or tested with respect to all variables; all odds are cumulative. Depending on its location and variable values favoring deforestation, a cell’s transition probability can increase. A cell located far from a road network and from deforestation has decreased transition probability.

Weights of evidence can be either positive (favoring deforestation) or negative (inhibiting deforestation). Weights of evidence are recalculated in each iteration; they consider total available forest area resulting from incorporating newly created roads into the current road network. Major roads are scheduled to be built at predetermined steps or iterations of the simulation (Table 1).

#### Patcher and Expander Functions

DINAMICA-EGO uses local rules for its cellular automata transition algorithm mechanism, which is composed of two complementary functions: “Patcher” and “Expander” (Supplementary Online Material). The Patcher function searches for cells around a site chosen for a transition and forms new patches of deforestation through a seeding mechanism. This is done first by choosing the central cell of a new patch of deforestation and then selecting a specific number of cells around the central cell according to its transition probability  $P(i \rightarrow j)$ , calculated from weights of evidence. The Expander function causes expansion of pre-existing patches of a given class such as deforestation. In Expander a new spatial transition probability  $P(i \rightarrow j)$  depends on cell numbers of type “*j*” around a cell of type “*i*”. For building scenarios we used the following transitions: forest to deforestation ( $3 \rightarrow 1$ ), deforestation to regeneration ( $1 \rightarrow 2$ ) and regeneration to deforestation ( $2 \rightarrow 1$ ).

#### Scenario Assumptions

In simulating deforestation, two scenarios were considered when assuming no reconstruction of Highway BR-319. The first scenario is “business as usual,” called “BAU1”; it is used as a baseline simulation. The second is a “conservation” scenario called “CONSERV1”; it assumes establishment of conservation areas. Additionally, two similar scenarios (“BAU2” and “CONSERV2”) assumed reconstruction of Highway BR-319 in 2011, an officially announced start date that has since been delayed. Scenario assumptions are summarized in Table 2.

[Table 2 here]

### Proposed Conservation Units and Planned Roads

Deforestation containment policies were simulated in both conservation scenarios: CONSERV1 (without BR-319) and CONSERV2 (with BR-319). To this end, scenarios were simulated with creation of three conservation units; in these scenarios any planned roads that would have had destinations inside these conservation units were withdrawn from the model. Conservation units proposed in the conservation scenarios totaled approximately 695,000 ha. Shapes and locations of conservation units were planned to enable connectivity with existing conservation units (Ferreira and Venticinqu 2007). As an imposition of the model there is no deforestation inside proposed conservation units during simulations. Conservation units that already existed at the beginning of the simulation have further construction of endogenous roads blocked within their borders, thus reducing but not totally eliminating deforestation in these units.

Three conservation units were proposed because three large deforestation fronts were detected threatening these blocks of intact forest (Fig. 6). Each conservation unit was designed to encompass all of a threatened forest block in order to contain future deforestation threats. Proposed conservation units were designed so that they would fit into the set of protected areas that were already present (indigenous lands, national forests and biological reserves).

[Figure 6 here]

### Calibrating the AGROECO Model

The AGROECO model was calibrated from calculations of forest-to-deforestation transition rates derived from PRODES land-use maps for the study area from 2004 and 2007 (Brazil, INPE 2014). BAU1 was considered to be a baseline and served as a reference for other scenarios. This followed historical deforestation rates for southern Roraima (Barbosa et al. 2008).

Calculation of transition rate is done according to Equation (1):

$$\text{Basic annual rate} = ((\text{Deforestation}_{(2007)} - \text{Deforestation}_{(2004)}) / \text{Forest}_{(2004)}) / 3 \quad (1),$$

where “basic annual rate” is derived from land-use maps from 2004 and 2007.

The basic annual rate was multiplied by the annual rate of planned road building in iterations where construction of roads was scheduled. Calculation of the annual rate of planned road building is given by Equation 2:

$$\text{Annual rate of planned road building} = (\text{AAFFR}_t / \text{AAF}_{(t-1)}) + 1 \quad (2),$$

where:  $\text{AAFFR}_t$  is “area of available forest from roads” at time “t” and  $\text{AAF}_{(t-1)}$  is “area of available forest” at time “t-1”.

The annual rate of planned road building reflects an increase in the probability of deforestation in subsequent iterations as a result of a road being built. This is due to the assumption of increasing human pressure on this accessible area. This rate was used in all scenarios in iterations with planned roads.

350 For BAU2 and CONSERV2, both of which assume reconstruction of BR-319  
 351 in 2011, a “migration factor” was used in addition to the rates described for scenarios  
 352 without BR-319. Subsequent postponements have delayed the officially programmed  
 353 2011 reconstruction date, but model results apply equally well to the period after  
 354 reopening BR-319 whenever it occurs. The model’s migration factor (Equation 3)  
 355 simulated increased deforestation by expected migrants to the region after rebuilding  
 356 BR-319:

$$357 \text{ Migration factor} = \text{DRSP}_{(95/97)} / \text{Basic annual rate} \quad (3),$$

359 where:  $\text{DRSP}_{(95/97)}$  is “deforestation rate in settlement projects” for those projects  
 360 created between 1995 and 1997. This rate is derived from observed deforestation in  
 361 southern Roraima between 1996 and 2001, which represents the period after creating  
 362 the settlement areas in question. “Basic annual rate” is that calculated by Equation 1.

363 Donating land and creating settlement projects by the state government  
 364 during this period stimulated a large migratory flow to southern Roraima (Brazil,  
 365 IBGE 2008; Diniz and Santos 2005). The calculated migration factor was three and  
 366 was applied from 2013 onwards. We assumed that road construction serves as  
 367 infrastructure providing access to land in settlement projects; this process increases  
 368 deforestation (Alves et al. 1992; Brandão Jr. and Souza Jr. 2006).

369 Rate calculations presented above were performed in a non-spatial numerical  
 370 model using Vensim® software (Ventana Systems, Inc. 2012). Resulting values were  
 371 made available in the corresponding iteration of the DINAMICA-EGO model  
 372 through a lookup table (Soares-Filho et al. 2004). In each iteration, rates were  
 373 calculated in the Vensim model. Rates are passed to the AGROECO spatial model  
 374 (in the 32-bit version of DINAMICA-EGO) via a link coupling these two models to  
 375 obtain deforestation for that year (Fearnside et al. 2009a).

### 377 Validating the AGROECO Model

378 Validation compared maps of simulated deforestation from 2004 to 2007 in  
 379 the baseline scenario with observed deforestation in 2007 (Fig. 7). We used the fuzzy  
 380 method (Hagen 2003) as modified by Soares-Filho et al. (2014), which uses an  
 381 increasing number of cells in “windows” ( $5 \times 5$  to  $31 \times 31$  cells) applied to the maps.  
 382 This method considers similarity index values  $\geq 50\%$  sufficient for model validation.  
 383 The similarity index value obtained was 54.7% for our simulation model in a  
 384 window of  $7 \times 7$  cells.

385 [Figure 7 here]

### 387 Impact on carbon emissions

#### 388 Estimation of Original Vegetation Biomass

389 To estimate emissions one must know carbon stocks in original vegetation  
 390 biomass. For forest ecosystems, below-ground and above-ground carbon stocks  
 391 (excluding soil carbon) were taken from the map of biomass density in Amazonia  
 392 developed by Nogueira et al. (2008) using RADAMBRASIL inventories (Brazil,  
 393 Projeto RADAMBASIL 1973-1983). For two non-forest ecosystems (“*campina*” and  
 394 savanna), we used studies by Barbosa and Ferreira (2004) and Barbosa and Fearnside

(2005). For root biomass estimation in non-forest ecosystems we used a root/shoot ratio of 2.81 (R.I. Barbosa pers. comm.; see Barbosa et al. 2012). Calculations were done as map-algebra operations in ArcGis software using the average density of biomass for each map class and the map of land use in 2007. To obtain areas occupied by each forest type, a multiplication was performed between a binary map of forest classes (Class 1) and the map of biomass classes (Classes 1 to 15). The totals of these areas were obtained by summing the number of pixels in each class and multiplying by the area of each pixel (6.25 ha). Total amount of biomass remaining in southern Roraima in 2007 was obtained by summation of the area (ha) occupied by each forest type multiplied by its respective average biomass in megagrams (tons) per hectare ( $\text{Mg ha}^{-1}$ ). These estimates of above- and below-ground biomass (including necromass) were then converted to carbon stocks (Table 3).

[Table 3 here]

#### Estimation of Secondary Vegetation Biomass

For estimation of secondary vegetation biomass simulated in the scenarios for 2030 we used the method developed by Fearnside and Guimarães (1996). Composition of simulated secondary vegetation in annual landscapes was determined taking into account the relative abundance of secondary forests in 2030. This was calculated based on residence time for secondary vegetation cells in the landscape (Almeida et al. 2010). Rates for clearing secondary vegetation and for regrowth used for the scenarios were 22% and 4.5%, respectively (Ferraz et al. 2005; Soares-Filho et al. 2004). Simulated secondary vegetation was added to other types of land cover to form the replacement landscape; at the end of the simulation in 2030 the landscape was 75.6% pasture, 9.3% agriculture and 15.1% secondary forest.

#### Estimation of Carbon Emissions

Forest biomass was converted to carbon using a conversion factor of 0.485 (Silva 2007). For the deforested area, the carbon content of secondary vegetation biomass used in calculating carbon stock in the equilibrium landscape was considered to be 45% of the dry weight (Fearnside 1996, 2000). Thus:

$$\text{Tons } C_{(\text{forest})} = \text{Tons forest biomass} \times 0.485 \quad (4),$$

where “tons  $C_{(\text{forest})}$ ” is estimated carbon contained in biomass in tons (Mg); “Tons forest biomass” is total biomass (oven-dry weight) found in forest.

$$\text{Tons } C_{(\text{sec. veg.})} = \text{Tons secondary vegetation biomass} \times 0.450 \quad (5),$$

where “Tons  $C_{(\text{sec. veg.})}$ ” is estimated carbon contained in biomass in tons (Mg); “Tons secondary vegetation biomass” is total dry weight of biomass found in secondary vegetation.

Emissions estimates for each scenario generated by deforestation up to 2030 were calculated from the loss of carbon stocks in forests that were present in 2007, after deducting carbon in replacement vegetation. Thus, following Fearnside et al. (2009b), net carbon emission is given by:

450  
 451 
$$\Delta C_{(\text{Scenario})} = A \times (C_{2030} - C_{2007}) \quad (6),$$
  
 452

453 where: " $\Delta C_{(\text{Scenario})}$ " is net carbon emission (MgC) from deforestation between 2007  
 454 and 2030 for each scenario, after deducting the average carbon stock in the landscape  
 455 that replaces forest (cf, Fearnside 1996); "A" is area (ha) deforested during the  
 456 period; " $C_{2007}$ " and " $C_{2030}$ " represent the carbon stocks in the landscape in Mg in  
 457 2007 and 2030.

458 Our carbon emission estimates only include emissions from clearing forest  
 459 biomass, minus uptake by biomass in the replacement landscape. The estimates  
 460 exclude changes in soil carbon stocks and losses to forest degradation from logging,  
 461 fire and climate change impacts. Ecophysiological processes are excluded, as are the  
 462 carbon-equivalents of trace-gas emissions.

463

## 464 **Results and Discussion**

465

### 466 **Model Validation**

467

468 "Validation," or comparison of model behavior with real-world observations,  
 469 provides essential information for judging the realism of modeled results. We  
 470 validated our model through simulation runs between 2004 and 2007 using as inputs  
 471 the 2004 land-use map and the calibration parameters for BAU1 (without BR-319).  
 472 The model-generated 2007 map was compared with the land-use map for 2007  
 473 provided by the National Institute for Space Research (Brazil, INPE 2014). The  
 474 comparison used the reciprocal similarity technique (Soares-Filho et al. 2014).  
 475 Importantly, this approach makes comparisons of maps of differences, i.e., maps of  
 476 simulated deforestation in a period and not of cumulative deforestation (Soares-Filho  
 477 pers. comm.).

478 No general rule exists for calibration and validation of models (Mazzoti and  
 479 Vinci 2007). Validation should demonstrate that a model has, within its domain of  
 480 applicability, a satisfactory range of accuracy consistent with the model's intended  
 481 application. This demonstration shows that the model would be suitable for use in a  
 482 particular context but, by itself, does not mean that this is the best model (Rykiel  
 483 1996). Validation continues to be subject to a variety of different approaches: "There  
 484 is not, and never will be, a totally objective and accepted approach to model  
 485 validation" (McCarl 1984).

486

### 487 **Biomass and Carbon Sequestration by Simulated Secondary Vegetation**

488

489 The percentage of secondary vegetation derived from degraded pasture in our  
 490 simulated landscapes (15.1% of total area deforested) is similar to the percentage  
 491 (13%) found by Ferraz et al. (2005) under future scenarios in Rondônia. In an  
 492 estimate for Amazonia as a whole in 2003, Ramankutty et al. (2007) used a Markov  
 493 matrix to calculate that secondary vegetation occupied approximately 32% of the  
 494 total area deforested. Recent studies applying remote-sensing techniques estimate  
 495 that this type of vegetation occupies between 19 and 28% of the deforested portion of  
 496 Brazilian Amazonia (Almeida et al. 2010; Carreiras et al. 2006; Neeff et al. 2006).  
 497 Close agreement of our results with data in the literature suggests that this  
 498 methodology can be used in future work to model dynamics of land-use and land-  
 499 cover change to obtain more "realistic" and reliable estimates of carbon in deforested

500 landscapes.

501

502 Cumulative Deforestation under the Four Scenarios

503

504 Figure 8 shows evolution of cumulative deforestation under the four  
505 scenarios. Curves representing increase of deforested areas under BAU1 and  
506 CONSERV1 scenarios without BR-319 have constant linear evolution over time,  
507 similar to what is observed in Roraima currently (Barbosa et al. 2008). In Figure 8  
508 one also notes a strong increase under BAU2 and CONSERV2 deforestation  
509 scenarios due to application of a migration factor in the simulation model beginning  
510 in 2013, with the rate of increment stabilizing after 2020.

511

512 [Figure 8 here]

513

514 BAU1 (baseline scenario) projects historical evolution of deforestation in the  
515 region. Planned construction of major roads and appearance of endogenous  
516 secondary roads (which is automatic in the model) cause area deforested to almost  
517 double in extent, with a 92% increase between 2007 and 2030 (372,250 ha versus  
518 715,250 ha).

519 BAU2 (with BR-319) shows a possible trajectory, in time and space, of the  
520 roads that are preconditions favorable to deforestation. These conditions, combined  
521 with probable migratory flow to Roraima provoked by re-opening BR-319 in 2011,  
522 are simulated in the model by applying deforestation rates similar to those observed  
523 in settlement projects in the recent past in Roraima. These rates were only applied  
524 over a short time span (2013-2018) following a schedule of opening planned roads in  
525 the future. Under this scenario, reconstruction and paving of BR-319 occurs in 2011,  
526 and cumulative deforested area reaches 486,000 ha by 2030 -- an increase of 130.4%  
527 (Table 4). The delay in reconstructing BR-319 can be expected to postpone these  
528 increases in deforestation in Roraima by an equivalent number of years.

529

530 [Table 4 here]

531

532 In CONSERV1 and CONSERV2 (without and with BR-319) an increase in  
533 deforestation occurred throughout the area accessible via the pre-existing road  
534 network, which is where the settlement projects are located (Figs. 9c and d). This  
535 indicates that there would be an intensification of land use in these locations in  
536 response to simulated conservation units having restricted forest availability for  
537 clearing elsewhere. CONSERV1 was the scenario with least deforestation, with  
538 cumulative area deforested reaching 654,513 ha in 2030, or a 75.6% increase over  
539 that in the initial landscape in 2007.

540

541 [Figure 9 here]

542

543 In the CONSERV2 scenario (with rebuilding Highway BR-319), cumulative  
544 deforested area reached 775,888 ha in 2030, an increase of 108.2% over the  
545 deforested area in the initial landscape in 2007. In spite of its being a conservation  
546 scenario, this scenario deforested 17.7% more than the baseline scenario without BR-  
547 319 (BAU1). In both conservation scenarios an increase occurred in invasion of  
548 Jauaperi National Forest. This was more intense in CONSERV2, indicating that the  
549 national forest (FLONA) had become an area of high anthropogenic pressure and

550 was acting as a "safety valve" for deforestation.

551 In the conservation scenarios, both in general and due to proposed  
552 conservation units, a pattern of deforestation developed that was more homogeneous  
553 and "compact," resulting in a landscape that was less fragmented by deforestation  
554 than was the case under the two BAU scenarios. In both conservation scenarios there  
555 was a greater "saturation" by deforestation. This was observed in our simulations  
556 along Highway BR-174 and in the Anauá Directed Settlement Project near the  
557 Rorainópolis municipal seat and also along Highway BR-210 and on side roads near  
558 the municipal seat.

559

#### 560 Effect of Planned Roads on the Deforestation Pattern in Simulated Scenarios

561

562 Although both business-as-usual scenarios (BAU1 and BAU2) used the same  
563 construction schedule for planned roads, in BAU2 (with BR-319) we used a  
564 migration factor to simulate a more vigorous deforestation increase after 2012. Thus,  
565 the shape and the spatial distribution of deforestation in the two scenarios were  
566 similar, the difference being in intensity of deforestation. BAU2 deforested 38.4%  
567 more than BAU1, and CONSERV2 deforested 32.8% more than CONSERV1. The  
568 fact that CONSERV2 (with BR-319) deforested 17.7% more than BAU1 (without  
569 BR-319) does not mean that creating reserves is ineffective. Rather, it reflects the  
570 severity of the effect of opening a road like BR-319 in terms of future deforestation  
571 in a region with low governance, such as southern Roraima (e.g., Barni et al. 2012).

572

573 In general, planned roads accelerated deforestation for the simulated BAU  
574 scenarios, as has been the predominant pattern when highways are opened in  
575 Amazonia (Escada and Alves 2001; Nepstad et al. 2001; Soares-Filho et al. 2004,  
576 2006). Planned roads leading to blocks of forest north of the Jatapú hydroelectric  
577 dam (years 2014 and 2015) and to forest near the Branco River in the Caxias  
578 Settlement Project in Caracarái municipality (years 2012 and 2015), increased local  
579 deforestation. The same effect was also seen east of Highway BR-174 (2011) in the  
580 Ecuador Settlement Project (Figs. 9A and B).

580

581 The opposite effect, or deforestation failing to accompany planned road  
582 construction, was seen along some roads. This occurred in BAU1 (without BR-319)  
583 along the planned road that would penetrate the forest block to the west of the Wai-  
584 Wai indigenous land (2013) as well as in Caracarái municipality and to the west of  
585 the Anauá Directed Settlement Project (2013). This effect also occurred in both  
586 scenarios on the road linking the Jauaperi River to Santa Maria do Boiuçú (2018) in  
587 Rorainópolis municipality. In the case of the first two roads, this fact could be related  
588 to proximity of conservation units, low soil quality and little prior deforestation.  
589 These factors decrease probability of deforestation in the simulations. Along the  
590 access road to Santa Maria do Boiuçú, which crosses the Jauaperi River, low  
591 deforestation could be explained by unfavorable terrain because this is in an area  
592 subject to seasonal flooding (Fig.10).

592

593 [Figure 10 here]

594

#### 595 Deforestation Processes

596

597 Likelihood of deforestation evolving continuously in southern Roraima at  
598 rates similar to those observed currently without BR-319 is strengthened by the great  
599 availability of forest areas to clear. Invasions of public land by squatters (*posseiros*)

600 and large land thieves (*grileiros*), illegal logging, high turnover of owners in  
601 settlement projects and widespread advance of pasture over other forms of land use  
602 are already present in southern Roraima (Barni et al. 2012). These are factors that  
603 contribute to uncontrolled deforestation and environmental degradation (Fearnside  
604 2008; Soares-Filho et al. 2004). These facts alone would justify creating  
605 environmental-protection areas (Ferreira et al. 2005; Nepstad et al. 2006b; Soares-  
606 Filho and Dietzsch 2008; Soares-Filho et al. 2010). This would be true even without  
607 reconstruction of BR-319, as shown in CONSERV1 (without BR-319).

608         Considering the origin of actors who could arrive by road (Fearnside 2008;  
609 Soares-Filho et al. 2004), their ability to destroy forest is greater than that of most  
610 migrants attracted to Roraima in the recent past (Mourão 2003). This means that we  
611 are conservative in assuming constant per-capita contribution to deforestation as  
612 indicated by historical patterns in southern Roraima. We believe that the model was  
613 adequate to represent advance of deforestation in the study area over the time period  
614 of our analysis. We emphasize that this is not a simple extrapolation of rates of  
615 deforestation, but involves several underlying factors with different levels and scales  
616 (e.g., Brondizio and Moran 2012; Foley et al. 2007; Ludewigs et al. 2009). It reflects  
617 the assumption of several factors acting simultaneously in decisions of actors, for  
618 example concerning how much area to deforest annually, where to deforest  
619 (favorable sites in terms of soil fertility, slope, etc.), when clearing occurs (as  
620 influenced by the schedule for building road infrastructure), cutting secondary forest,  
621 pasture maintenance, etc. It also assumes migratory movement (e.g., Soares-Filho et  
622 al. 2004), simulates the government's deforestation-containment policies (creating  
623 conservation units) (e.g., Yanai et al. 2012) and the opening of secondary roads that  
624 directly influence these rates (e.g., Fearnside et al. 2009a). The model incorporates a  
625 wide range of land-use determinants and recognizes that spatial distribution of  
626 population, opening of roads and land-use change are determined jointly and are  
627 supported by an economic framework (e.g., Campari 2005; Chomitz and Gray 1996).

628         While the precise course of future deforestation in Roraima if BR-319 is  
629 rebuilt is inherently uncertain, past induced migratory responses are sufficiently  
630 documented empirically that the deforestation in our simulated scenarios could well  
631 be what plays out in practice. Since the environmental impact study for BR-319  
632 focused only on the roadside, thus assuming away any impacts in Roraima, our  
633 scenarios offer a far better basis for cost/benefit evaluation than does the official  
634 scenario. This matters not only for the road decision but also for decisions about  
635 complementary options for protected areas.

636         Viewed in this light, our results may be seen in a framework like the one that  
637 has long surrounded contingent valuation methodologies for assessing environmental  
638 value: nobody argues they are perfect but many argue they are more useful than  
639 assuming a value of zero. In other words, our scenarios should not be viewed as what  
640 'will happen,' but instead as showing that 'things like this could happen but are being  
641 assumed away, and thus should be considered.' Such a framing is not surprising for a  
642 dynamic analysis considering indirect effects, which are harder to study empirically  
643 than are tightly bounded analyses of impacts of more limited scope. Tightly bounding  
644 analyses can appear to be "more accurate," which in one manner of speaking is correct,  
645 but, in a larger scope they can actually produce less insight (Pfaff and Robalino 2012).  
646 Looking ahead to consider when the world will not be like today is valid as an input for  
647 policy making.

648  
649 Resistance of Reserves to Invasion

650  
651 The model assumption is that conservation units effectively deter  
652 deforestation. While reserve invasions do, in fact, occur in Amazonia, we believe  
653 that this assumption is reasonable for the simulated period. A key factor justifying a  
654 no-deforestation assumption is that the simulated reserves were created without any  
655 prior deforestation inside their borders (e.g., Soares-Filho et al. 2010; Vitel et al.  
656 2009). Where this is not true, deforestation likelihood is much greater. For example,  
657 some deforestation occurred in our simulation in Jauaperi National Forest (FLONA)  
658 during the 2004-2007 period because previous historical deforestation occurred in  
659 and around this conservation unit.

#### 660 Biomass and Carbon Emission in Simulated Scenarios

661  
662  
663 Simulated carbon emissions reached  $56.4 \times 10^6$  Mg in 2030 (Table 4) under  
664 BAU1 (without BR-319), which represents continuing current deforestation patterns  
665 under expected conditions in southern Roraima. Assumptions of BAU2 (with BR-  
666 319) led to high biomass carbon loss:  $86.4 \times 10^6$  Mg of biomass carbon were lost by  
667 the end of a 23-year simulation. Considering biomass regrowth in replacement  
668 vegetation, this gross loss corresponded to net committed emissions (Fearnside 1997)  
669 of  $80.3 \times 10^6$  Mg of carbon.

670 Carbon loss differences between BAU1 and BAU2, representing the effect of  
671 reconstructing BR-319, totaled  $23.9 \times 10^6$  Mg of carbon at the end of 23 years. This  
672 equals approximately five years of carbon emissions by greater São Paulo in 2003  
673 (COPPE 2005) and approximately two years of carbon emissions by greater São  
674 Paulo today.

675 CONSERV1 had the least deforestation by 2030, with an emission of  $46.0 \times$   
676  $10^6$  Mg of carbon. CONSERV2 emitted  $67.2 \times 10^6$  Mg of carbon, or 19.1% more  
677 than BAU1 (without BR-319) in 2030. BAU2 emitted  $80.3 \times 10^6$  Mg of carbon.

#### 678 Other Sources of Emission

679  
680  
681 Our paper only models deforestation, plus loss of small areas of non-forest  
682 vegetation present in the area, and associated net emission from biomass loss.  
683 Including other emission sources would increase total impact attributed to opening  
684 Highway BR-319 and augment benefits of creating protected areas, but would not  
685 alter our overall results. Soil carbon release in cleared areas would increase  
686 emissions in direct proportion to deforestation. Converting Amazonian forest to  
687 cattle pasture under normal management releases an average of  $7.5 \text{ MgC ha}^{-1}$  from  
688 the top 20 cm of soil, plus  $5.6 \text{ MgC ha}^{-1}$  from the 20-100-cm layer and  $0.6 \text{ MgC ha}^{-1}$   
689 from the 1-8 m layer (Fearnside and Barbosa 1998). Carbon release from deeper  
690 layers only occurs over a long time.

691 This paper only considers carbon emissions (i.e., carbon as  $\text{CO}_2$ ).  
692 Deforestation not only emits carbon as  $\text{CO}_2$  but also trace gases such as  $\text{CH}_4$  and  
693  $\text{N}_2\text{O}$ . Compared to carbon emissions without considering trace gases, including trace  
694 gases would increase global warming impact of net committed emissions from  
695 deforestation by 11.5% for a 100-year time period and 26.3% for a 20-year period  
696 (more relevant for avoiding a “dangerous”  $2^\circ\text{C}$  temperature increase). These  
697 percentages (updated from Fearnside 2000) consider median emission factors for  
698 combustion from Andreae and Merlet (2001) and global warming potentials (with  
699 feedbacks) from the Intergovernmental Panel on Climate Change fifth assessment

700 report (Myhre et al. 2013, p. 714).

701 In addition to deforestation, forest degradation through logging also releases  
702 carbon. Since much logging is illegal, it typically takes place without “reduced  
703 impact” precautions. Emissions from such conventional logging are substantial since  
704 many trees are killed in addition to those actually harvested. For example, committed  
705 emission from biomass loss in conventional logging at a typical harvest intensity of  
706  $38 \text{ m}^3 \text{ ha}^{-1}$  in Paragominas, Pará was  $30.9 \text{ MgC ha}^{-1}$ , or 14.5% of the carbon stock  
707 (above- and below-ground) in live and dead biomass (Veríssimo et al. 1992; see  
708 Fearnside 1995, p. 316).

709 Fire also degrades forest and releases carbon. Logging substantially increases  
710 vulnerability of forest to fire (e.g., Alencar et al. 2006), as do continued increases of  
711 deforestation and pasture that provide initial ignition sources for forest fires. When  
712 fires occur, the magnitude of committed emissions is highly variable: percentages of  
713 above- ground live biomass released (including decomposition of trees killed by fire)  
714 have been estimated for different fires at 63% (Cochrane and Schulze 1999), 51%  
715 (Barlow et al. 2003), and 14.4% (Vasconcelos et al. 2013).

716 All additional emission sources add to impacts of deforestation processes  
717 exacerbated by rebuilding BR-319. Conversely, they also add to the benefit of  
718 avoiding the construction of this road.

719

## 720 **Conclusions**

721

722 Reconstructing Highway BR-319 would increase deforestation in the  
723 southern portion of Brazil’s Roraima state, a location far removed from Highway  
724 BR-319 itself. Given our model assumptions, we estimate that deforestation would  
725 increase between 18 and 42% by 2030. Simulated carbon emissions would increase  
726 by a similar percentage, between 19 and 42%.

727 Under "business-as-usual" model conditions (BAU2), opening BR-319  
728 implies an increase in emissions over a 23-year simulation totaling 23.9 million Mg  
729 (tons) of carbon. For comparison, this represents approximately two years of carbon  
730 emission by greater São Paulo today.

731 Our study showed that reconstructing BR-319, linking Manaus to Porto  
732 Velho, may have environmental impacts well beyond its official area of influence. Its  
733 effects can radiate to southern Roraima, which is already accessible by existing roads  
734 from the BR-319 roadhead in Manaus. These impacts should be considered in  
735 decision making on the BR-319 recuperation project. Mitigation measures that would  
736 reduce these impacts include creating conservation units in Roraima for areas most  
737 vulnerable to deforestation if BR-319 is rebuilt.

738

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1219

1220

## 1221 **Figure legends**

1222

1223 Fig. 1. (A) Brazil with regions and states. (B) Brazil with locations mentioned in text.  
1224 (C) Roraima state.

1225

1226 Fig. 2. (A) Brazilian Legal Amazonia (B) Southern Roraima; E.S. = Ecological station;  
1227 I.L.= Indigenous Land; N.F. = National Forest and; N.P. = National Park. BR  
1228 indicates federal highways; Municipal seats: 1 = Caracaraí, 2 = Rorainópolis, 3  
1229 = São Luiz do Arua, 4 = São João da Baliza, 5 = Caroebe.

1230

1231 Fig. 3. Conceptual diagram of the AGROECO model (adapted from Vitel 2009). The  
1232 model's non-spatial portion is in Vensim software, and the spatial portion is in  
1233 DINAMICA-EGO software. Static variables include soil type, vegetation,  
1234 altitude and topography. Dynamic variables include distance to previous  
1235 deforestation, distance to roads, and status as a settlement or as a protected area.  
1236 ( $t=t_n$ ) = Map at time "t" (iteration) of the simulation; (P(rd)) = Probability for  
1237 regrowth → deforested (clearing); (P(dr)) = Probability of deforested →  
1238 regrowth and; (P(fd)) = Probability of forest →deforested.

1239

1240 Fig. 4. Land-use and cover maps of the study area for 2004 (A) and 2007 (B) used as the  
1241 initial map and for calculating 2004-2007 transition rates. In our study area,  
1242 "non-forest" refers to *campina*, a woody scrub vegetation on oligotrophic soils  
1243 (low-nutrient white-sand soils) in seasonally flooded areas along the Branco  
1244 River.

1245

1246 Fig. 5. Examples of weights of evidence of some dynamic variables used in our model:  
1247 distance to secondary roads (A), distance to deforestation (B), distance to main  
1248 roads (C) and distance to rivers (D). Higher values of weights of evidence ( $W^+$ )  
1249 result in higher probability that the corresponding transition (such as

1250 deforestation) will take place.

1251

1252 Fig. 6. Conservation Units ( CUs ) proposed in the conservation scenarios.

1253

1254 Fig. 7. Increased detail comparing simulated and observed deforestation in 2007 (B) in  
1255 the southern portion of Brazil's state of Roraima (Brazil, INPE, 2008) for  
1256 validation of the model. The historical landscape (A) represents deforestation  
1257 detected by the PRODES program on LANDSAT-TM imagery for 2007.  
1258 Simulated deforestation starts from PRODES deforestation present in 2004 and  
1259 adds simulated clearing up to 2007 based on the model specifications.

1260

1261 Fig. 8. Cumulative deforestation under four simulated scenarios from 2007 to 2030 for  
1262 the southern portion of Brazil's Roraima state.

1263

1264 Fig. 9. Scenarios for deforestation simulated from 2007 to 2030 in southern Roraima:  
1265 (A) baseline scenario (BAU1), (B) BAU2 scenario, (C) conservation scenario 1  
1266 (CONSERV1) and conservation scenario 2 (CONSERV2). In the two BAU  
1267 scenarios roads planned for the future are indicated by year of implementation in  
1268 the model. In conservation scenarios, three proposed conservation units are  
1269 shown. In our study area "non-forest" refers to *campina*, a woody scrub  
1270 vegetation on oligotrophic soils (low- nutrient white-sand soils) in seasonally  
1271 flooded areas along the Branco River

1272

1273 Fig. 10. Map of elevation and of the locations of settlement projects, indigenous lands  
1274 and conservation units. Land invasions were observed adjacent to settlement  
1275 projects and indigenous lands.

Table 1. Schedule for construction and paving of planned roads in the AGROECO model <sup>a</sup>

Year	Road name	Length		Municipality
		(km)	Area (ha) <sup>b</sup>	
2008	BR-174 and BR-210	526	-	All
2009	BR-210 segment	63	75,600	São João/Caroebe
2011	Secondary roads	140	168,000	Rorainópolis
2012	RR-170 and BR-422	136	163,200	Caracaraí/Rorainópolis
2013	Roads in the Engano River region	264	316,800	Caracaraí
2014	Roads in the Jatapú Dam region	73	87,600	Caroebe
2015	Continuation of the Vincinal 07 road	65	78,000	Caroebe
2018	Road to Sta. Maria do Boiuçú	126	151,200	Rorainópolis
<b>Total</b>	-	<b>867</b>	<b>1,040,400</b>	-

<sup>a</sup>For all scenarios except some destinations in the conservation scenarios.

<sup>b</sup>Available area for deforestation

Table 2. Premises for the scenarios.

<b>Scenario</b>	<b>BR-319 Highway</b>	<b>Planned local roads</b>	<b>Conservation units</b>	<b>Migration factor</b>
BAU1	No	Yes	No	No
CONSERV1	No	No	Yes	No
BAU2	Yes	Yes	No	Yes
CONSERV2	Yes	No	Yes	Yes

Table 3. Average biomass (below- and above-ground) present in forests in Roraima state in 2007.

Code <sup>a</sup>	Forest type	Value	Biomass		Inventories	Forest	Forest
			Pixels by forest type <sup>b</sup>	(above + below ground)		biomass stock	carbon stock
		No.	No.	Mg ha <sup>-1</sup>	No.	Mg	Mg
<b>LO</b>	Contact zone: rainforest & vegetation on white sand	<b>15</b>	149,864	384.6	274	360,264,646	174,728,353
<b>Fs</b>	Seasonal semideciduous forest, submontane	<b>10</b>	187	315.7	33	368,951	178,941
<b>Ab</b>	Open-canopy rainforest on non-flooding lowlands	<b>6</b>	36,318	363.4	265	82,494,236	40,009,705
<b>As</b>	Open-canopy rainforest, submontane	<b>7</b>	87,053	336.0	618	182,824,258	88,669,765
<b>Da</b>	Dense-canopy rainforest on river floodplain	<b>14</b>	38,542	360.8	144	86,918,604	42,155,523
<b>Db</b>	Dense-canopy rainforest on non-flooding lowlands	<b>13</b>	229,923	384.5	517	552,537,610	267,980,741
<b>Dm</b>	Dense-canopy rainforest, montane	<b>11</b>	20,845	361.3	27	47,070,899	22,829,386
<b>Ds</b>	Dense-canopy rainforest, submontane	<b>12</b>	415,241	385.3	533	1,000,042,511	485,020,618
<b>La</b>	Open Woody Oligotrophic Vegetation of	<b>8</b>	26,939	60.6	<sup>c</sup>	10,206,025	4,949,922

	swampy & Sandy areas						
<b>Ld</b>	Dense Woody Oligotrophic Vegetation of swampy & Sandy areas	<b>4</b>	100,589	365.0	<sup>d</sup>	229,468,656	111,292,298
<b>Lg</b>	Grassy-woody Oligotrophic Vegetation of Swampy & Sandy areas	<b>3</b>	7,727	46.0	<sup>e</sup>	2,221,513	1,077,434
<b>Sa</b>	Open Woodland Savanna	<b>2</b>	13,506	44.7	<sup>f</sup>	3,772,825	1,829,820
<b>Sg</b>	Grassland Savanna	<b>1</b>	524	12.6	<sup>f</sup>	41,177	19,971
<b>Total</b>		-	<b>1,127,258</b>	-	-	<b>258,231,911</b>	<b>1,240,742,477</b>

<sup>a</sup> Brazil, IBGE (2012).

<sup>b</sup> Pixel resolution: 250 m (6.25 ha).

<sup>c</sup> Barbosa and Ferreira (2004) and 2.81 (root/shoot) for root fraction (R.I. Barbosa Pers. comm.; see Barbosa et al. 2012).

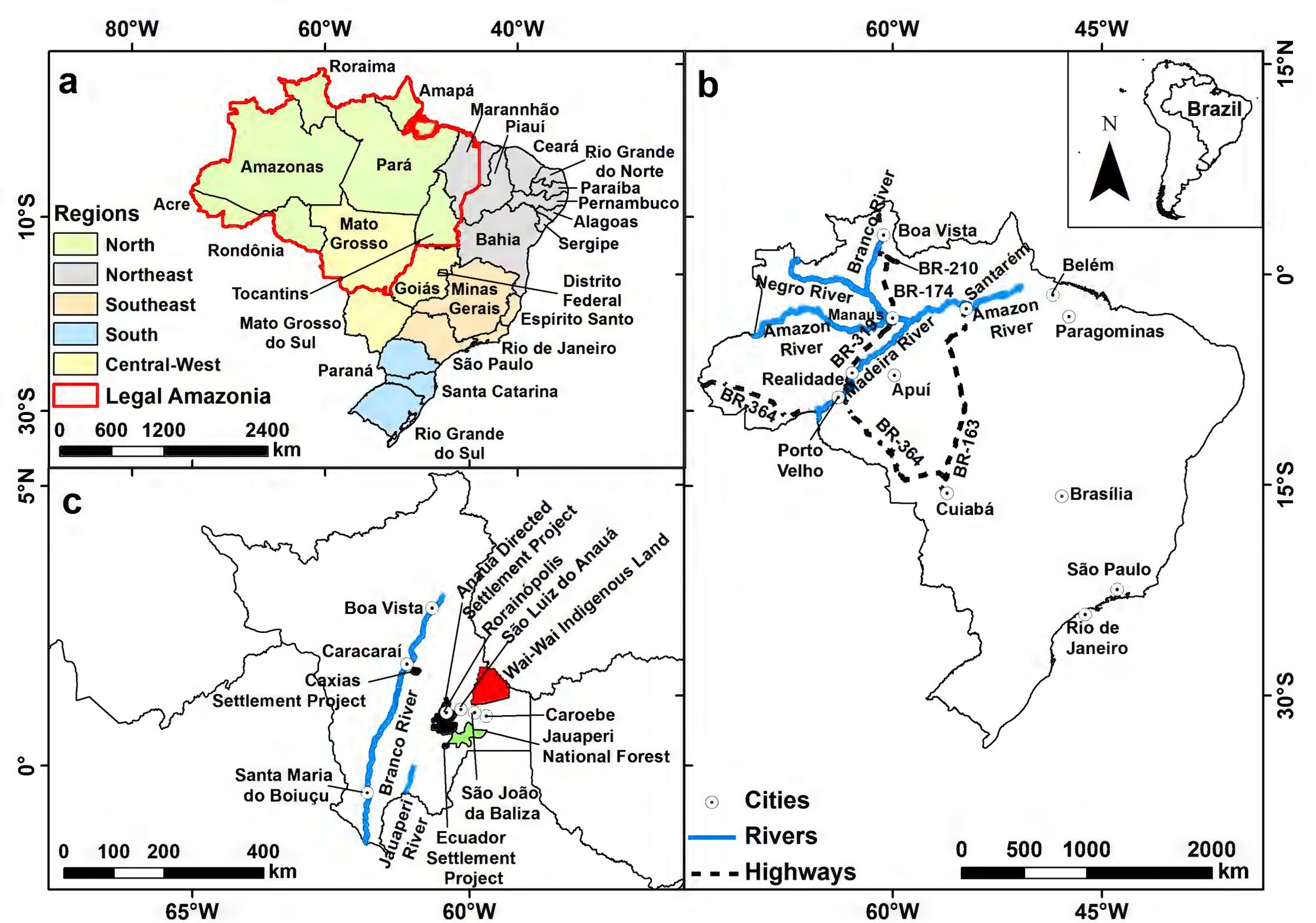
<sup>d</sup> Estimates from Brazil, Projeto RADAMBRASIL (1973-1983).

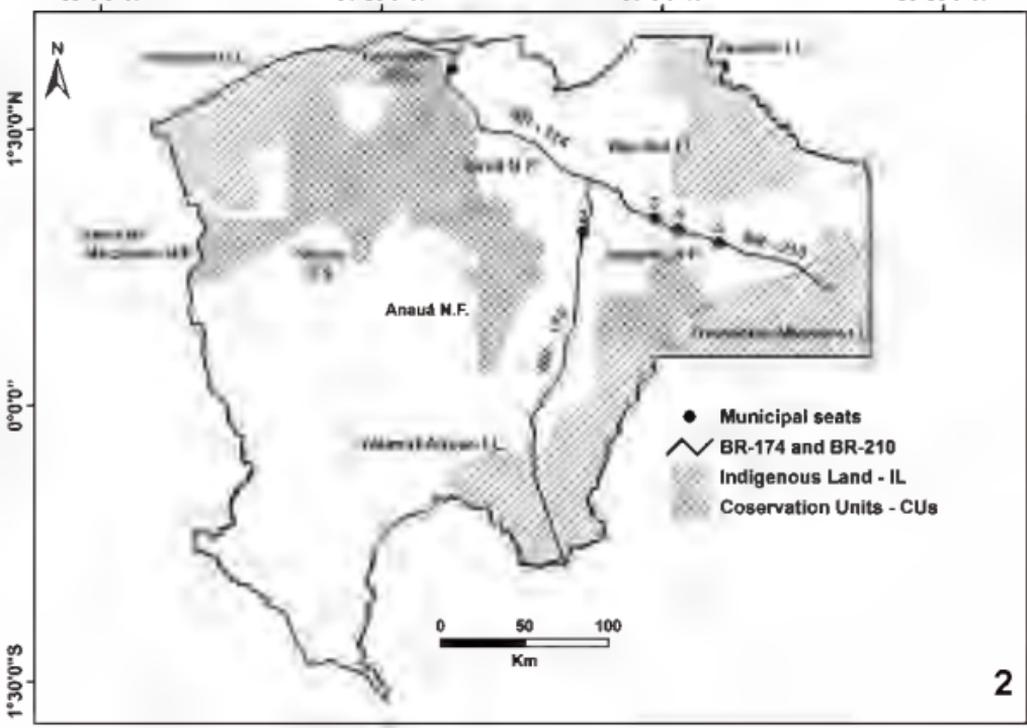
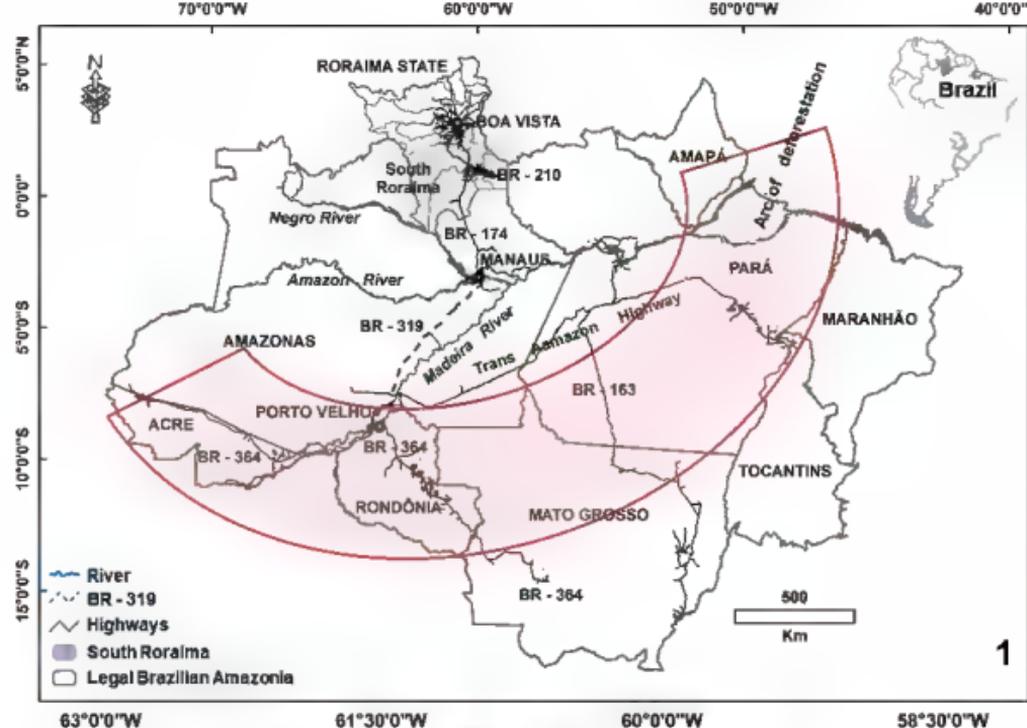
<sup>e</sup> Estimates from Kauffman et al. (1988) and Klinge et al. (1975).

<sup>f</sup> Barbosa and Fearnside (2005) and 2.81 (root/shoot) for root fraction (R.I. Barbosa Pers. comm.; see Barbosa et al. 2012).

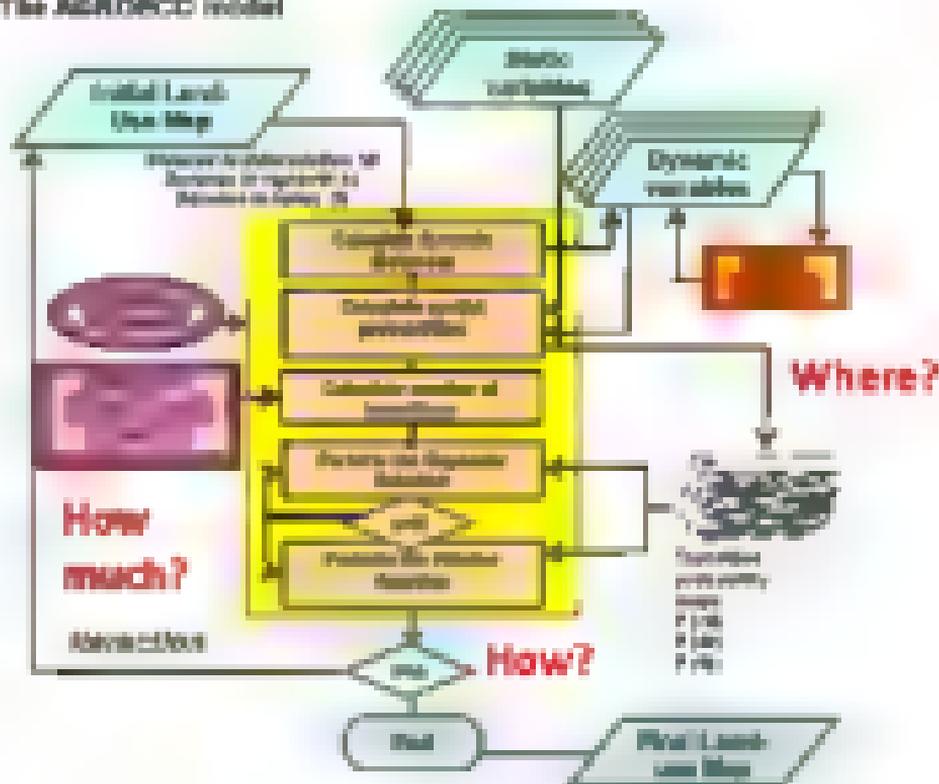
Table 4. Estimates of forest biomass and carbon emissions in 2030

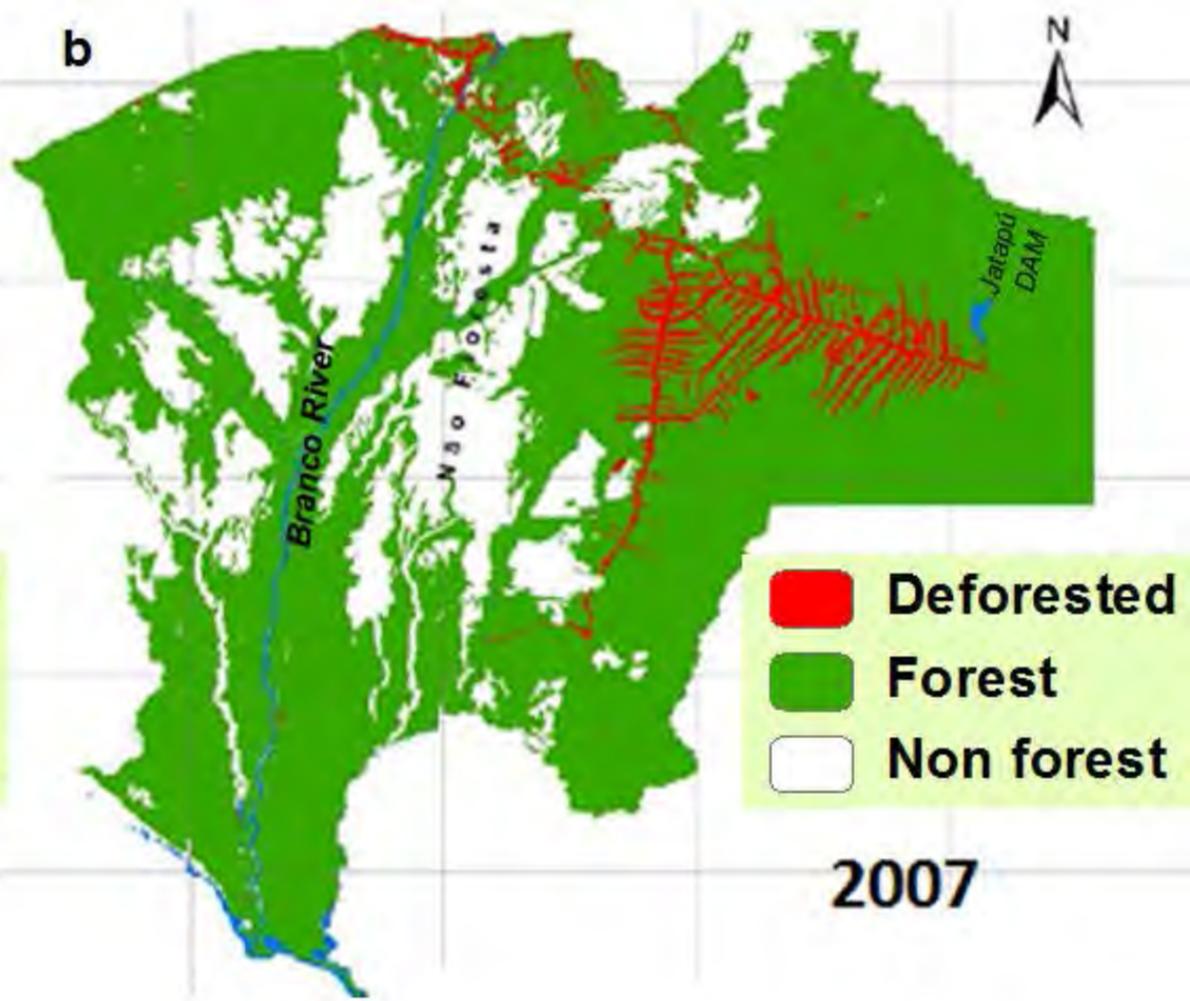
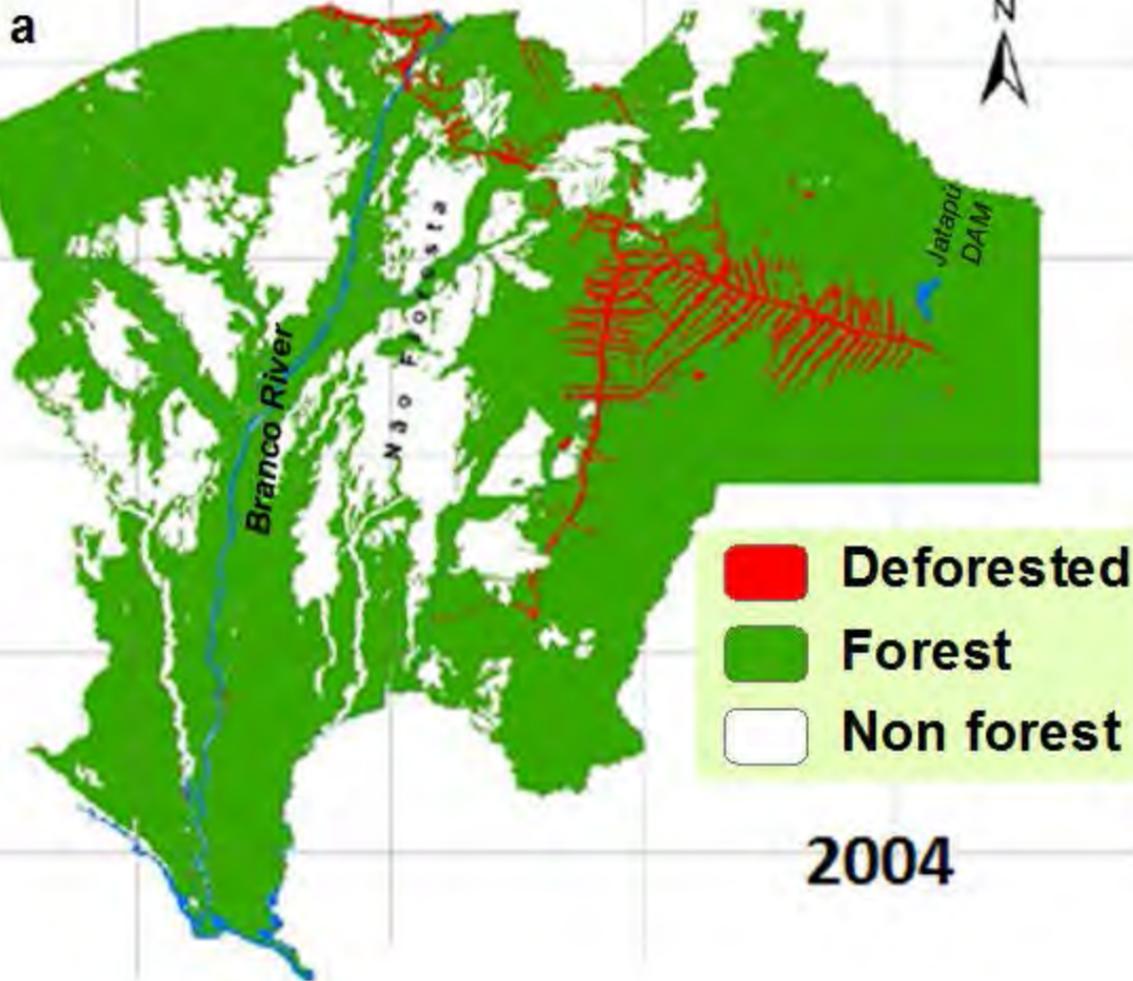
Scenario	Cumulative deforested area	Growth 2007/2030		Forest biomass	Forest carbon	Carbon absorbed by replacement vegetation	Net carbon emission
	hectares	hectares	%	Mg	Mg	Mg	Mg
<b>BAU1</b>	715,250	342,612	91.9	$126.7 \times 10^6$	$61.5 \times 10^6$	$5.1 \times 10^6$	$56.4 \times 10^6$
<b>CONSERV1</b>	654,513	281,876	75.6	$104.1 \times 10^6$	$50.5 \times 10^6$	$4.5 \times 10^6$	$46.0 \times 10^6$
<b>BAU2</b>	858,639	486,001	130.4	$178.2 \times 10^6$	$86.4 \times 10^6$	$6.1 \times 10^6$	$80.3 \times 10^6$
<b>CONSERV2</b>	775,888	403,250	108.2	$149.7 \times 10^6$	$72.6 \times 10^6$	$5.4 \times 10^6$	$67.2 \times 10^6$

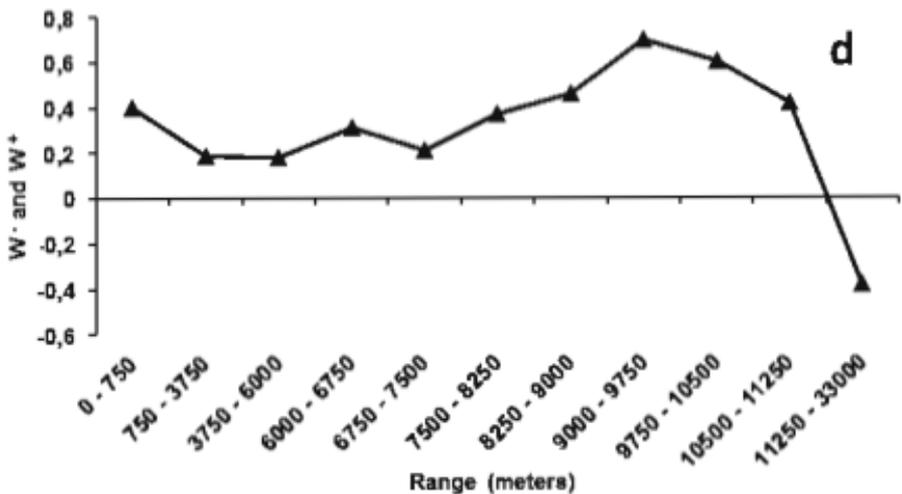
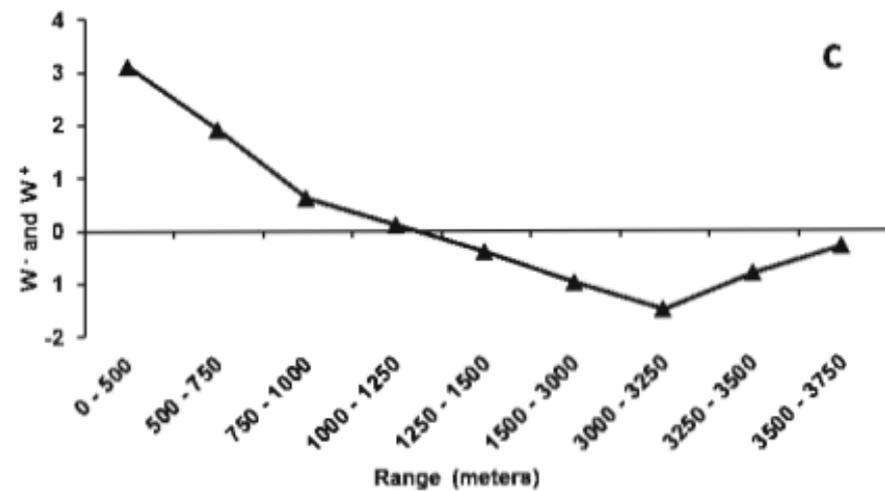
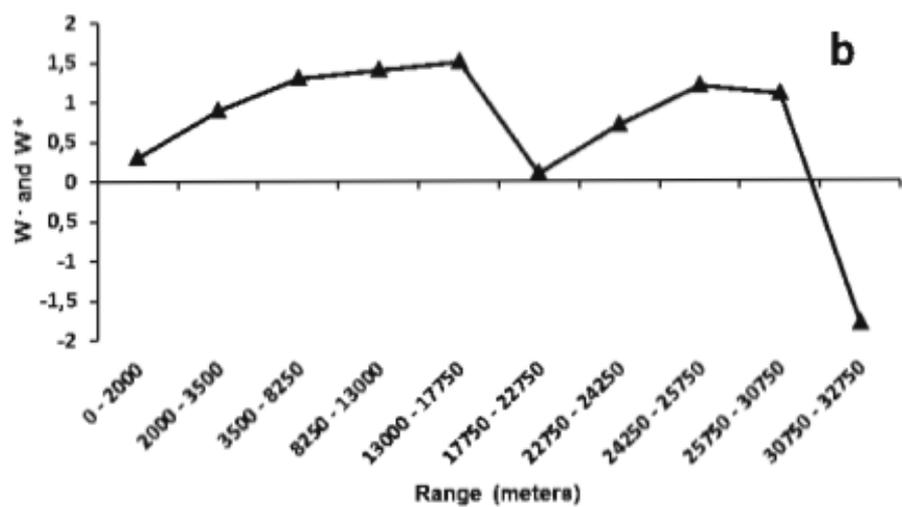
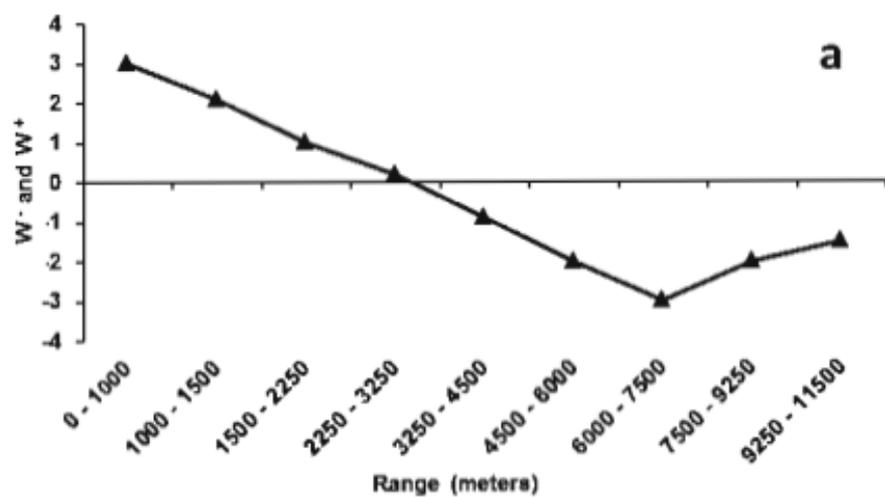




# The AGORCC model







63°0'0"W

61°30'0"W

60°0'0"W

58°30'0"W

1°30'0"N

0°0'0"

1°30'0"S

Caracarái

BR - 174

Rorainópolis

São João da Baliza

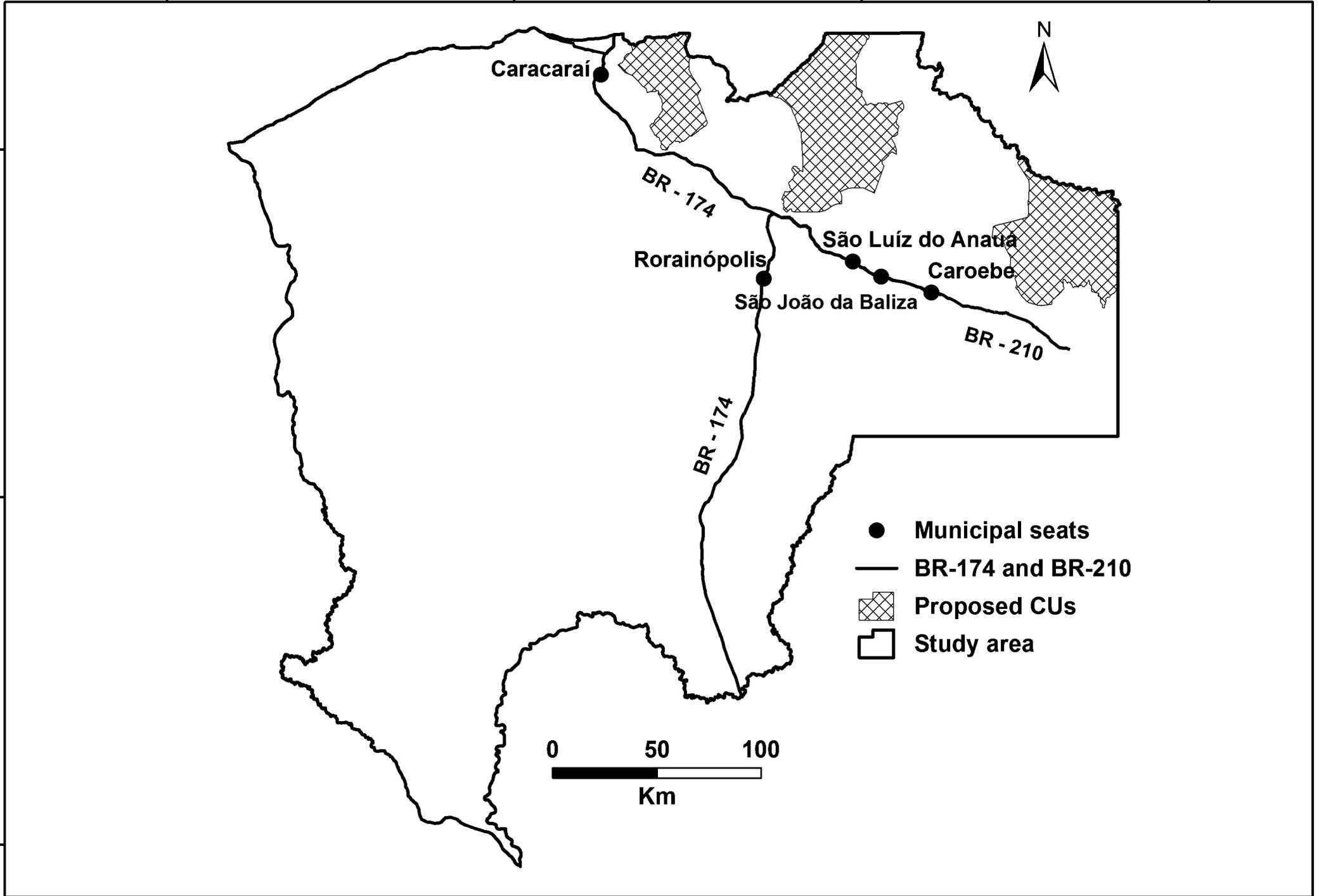
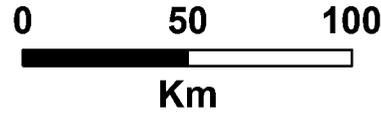
São Luíz do Anauá

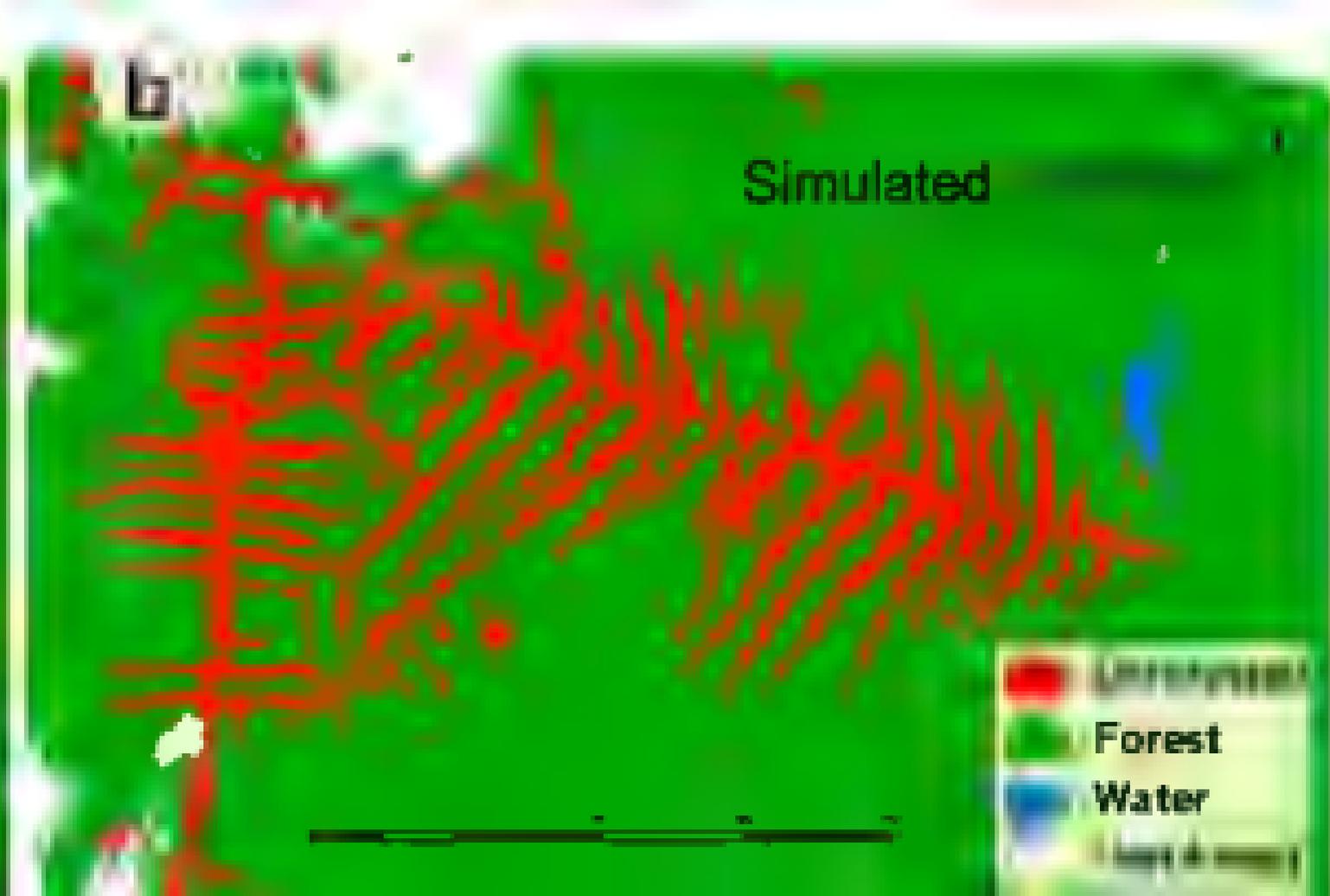
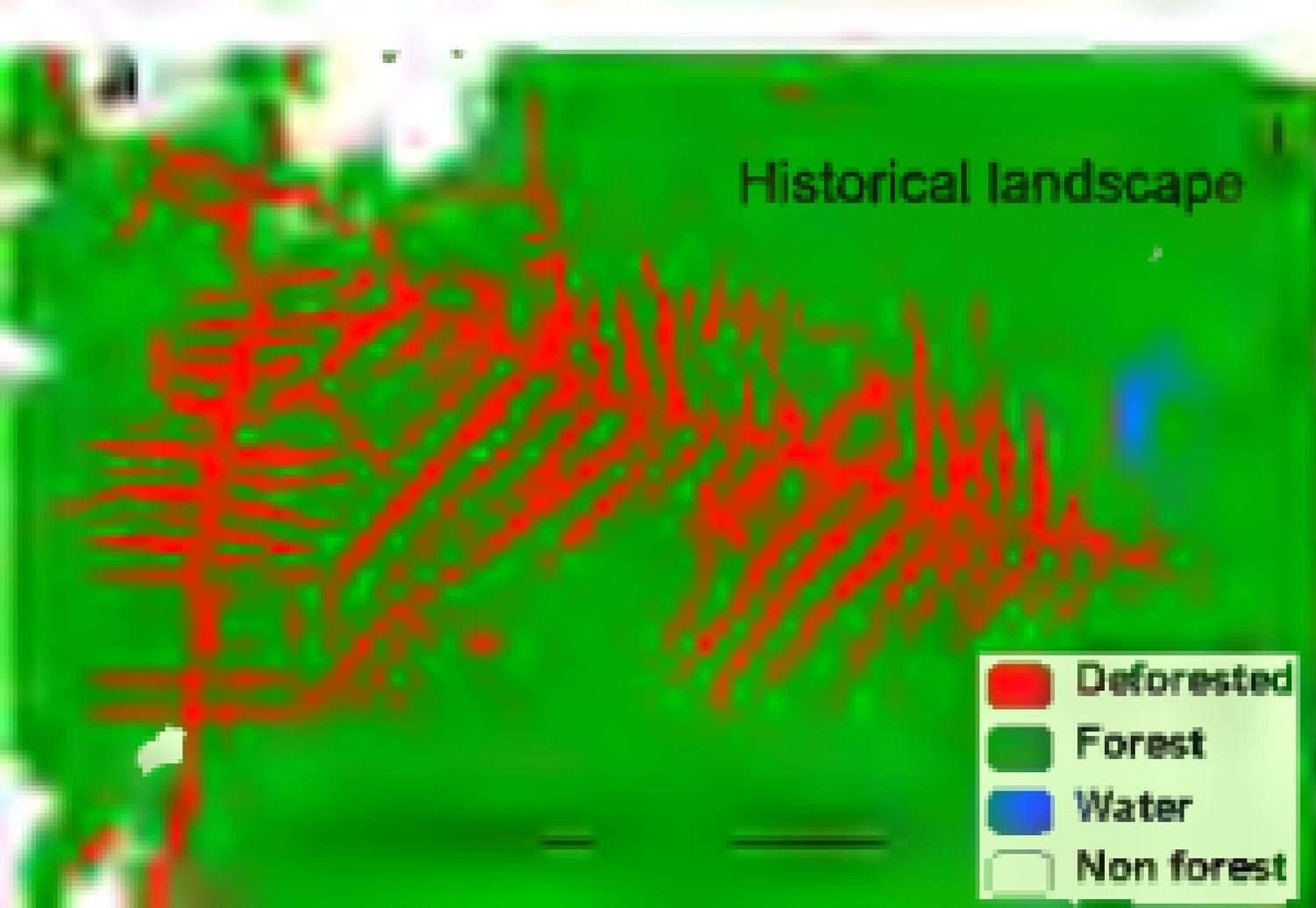
Caroebe

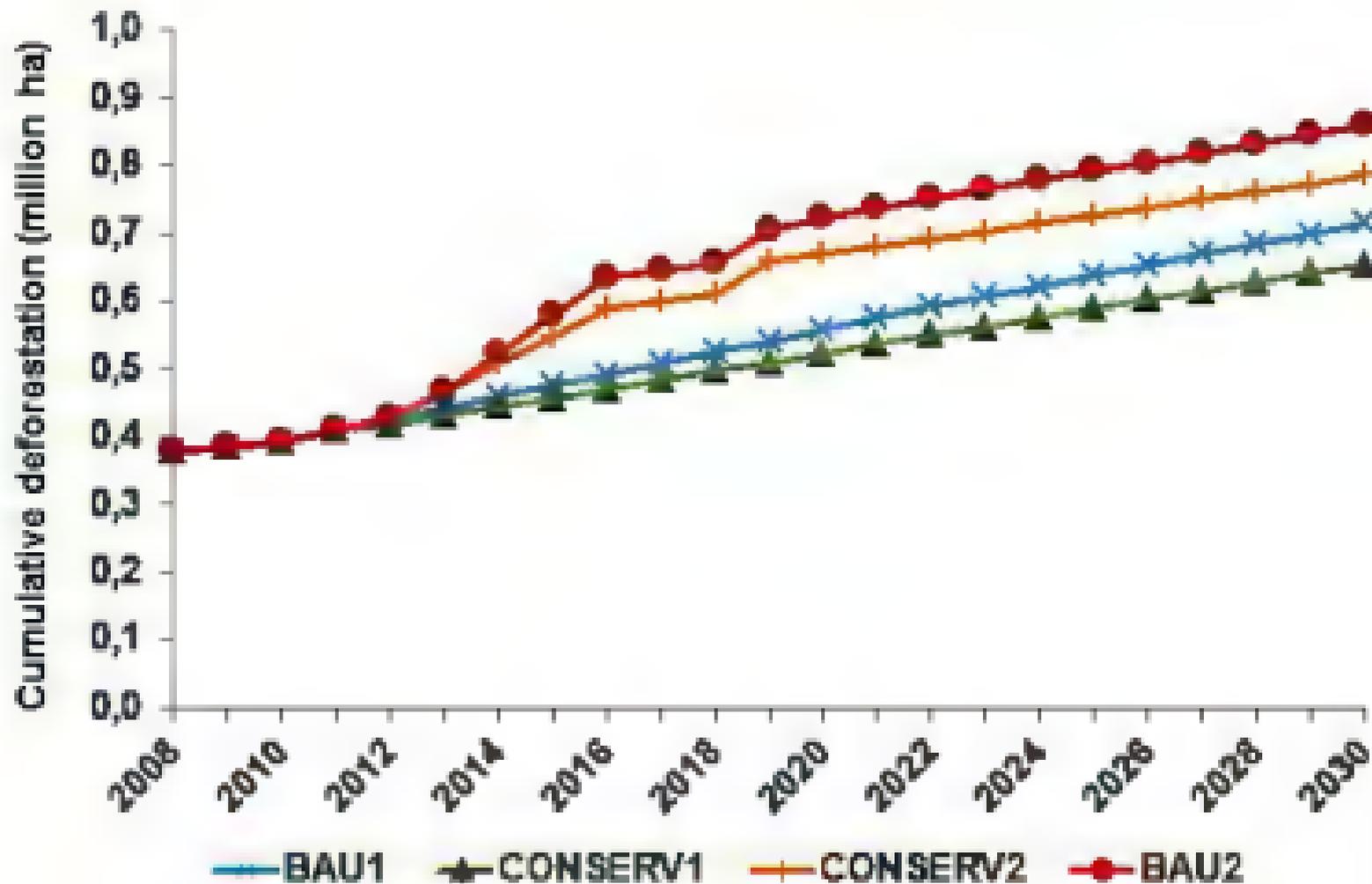
BR - 210

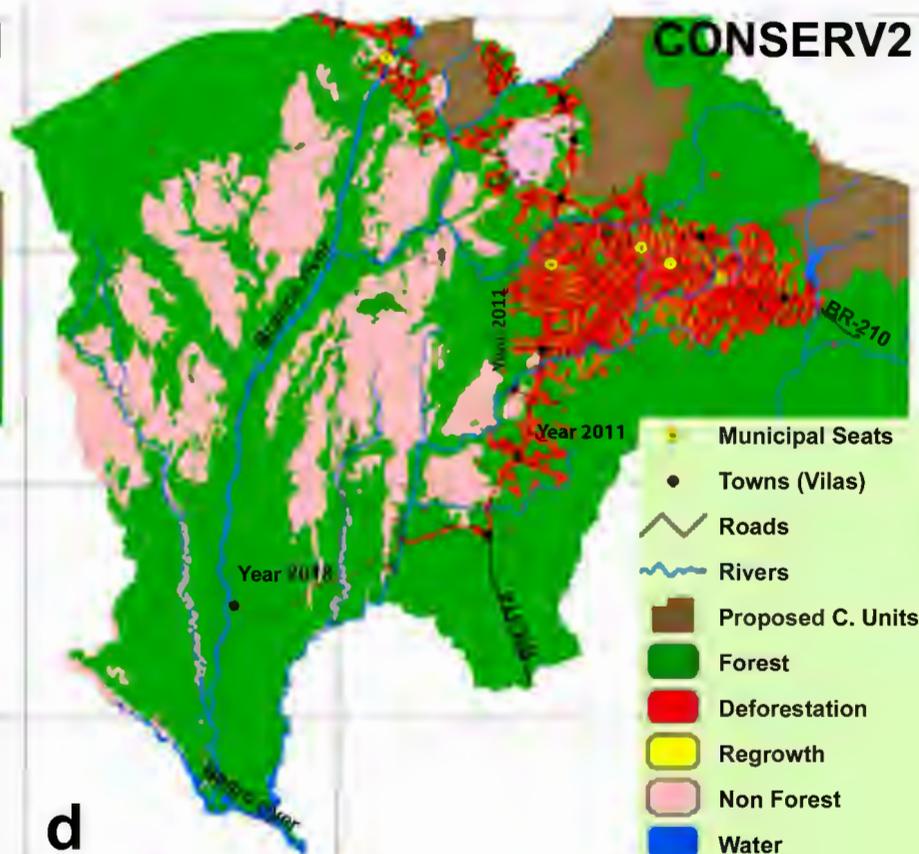
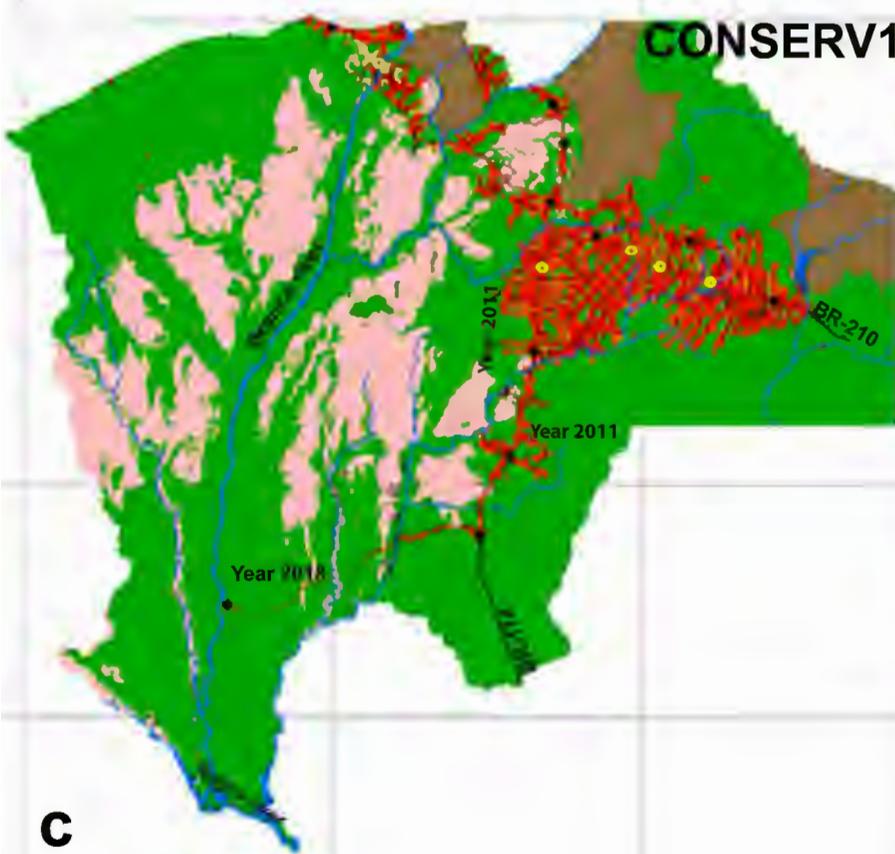
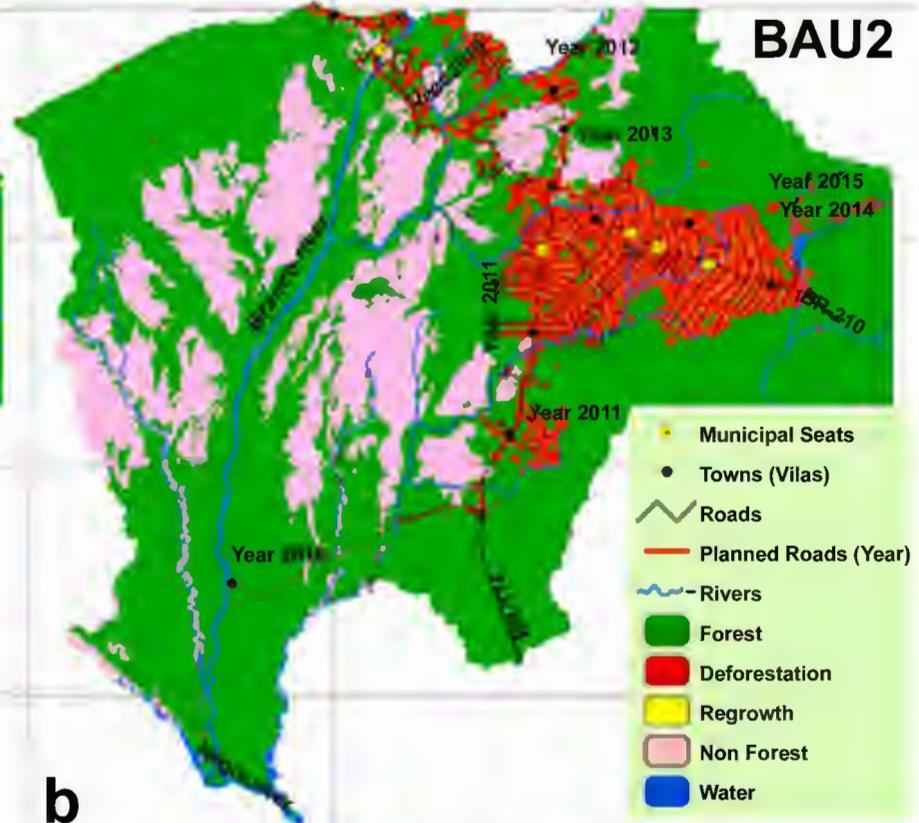
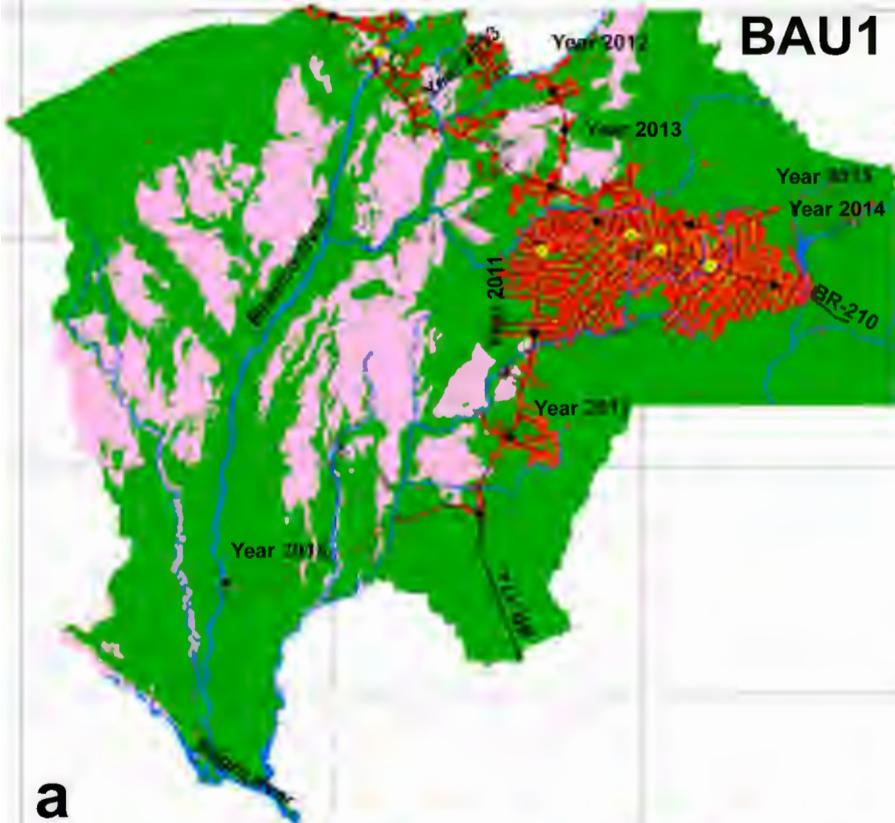


- Municipal seats
- BR-174 and BR-210
- ▣ Proposed CUs
- ▭ Study area





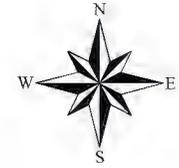




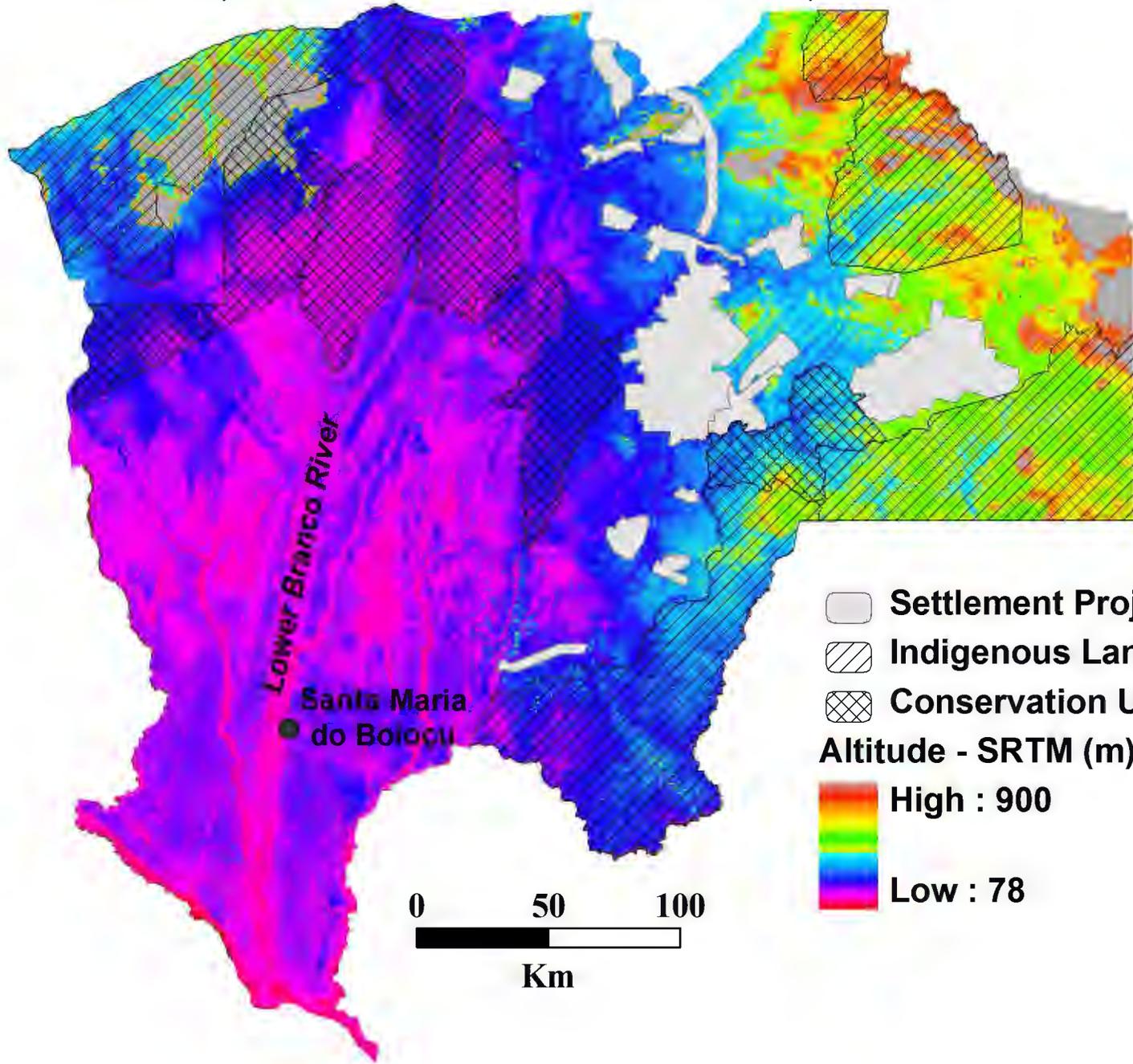
2°0'0"N

62°0'0"W

60°0'0"W



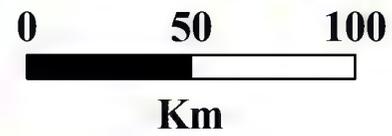
0°0'0"



*Lower Branco River*

Santa Maria  
do Boiaçu

-  Settlement Projects - SP
  -  Indigenous Land - IL
  -  Conservation Units - CUs
- Altitude - SRTM (m)

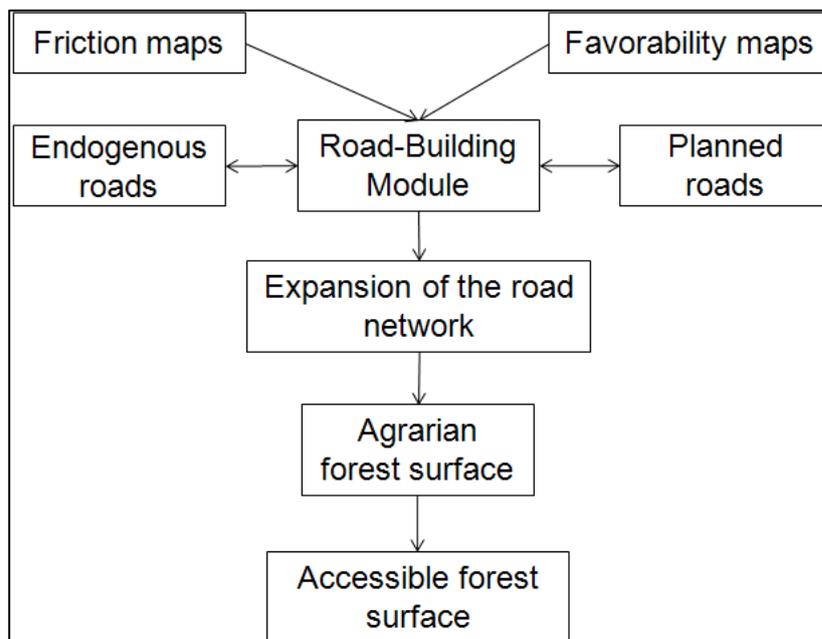


## Supplementary Online Material

# Simulating deforestation and carbon loss in Amazonia: impacts in Brazil's Roraima state from reconstructing Highway BR-319 (Manaus-Porto Velho)

## 1. Road-Building Module

DINAMICA-EGO software's road-building module is directed by a set of maps that either favor or restrict advancement of roads. In the AGROECO model (Fig. S1), this module also creates an area of "accessible forest," which is a 2-km strip on each side of both planned roads and endogenous roads (created automatically). This area of forest is highly favorable for deforestation, as it simulates occupation area of land plots (e.g. Fearnside et al. 2009; Yanai et al. 2012).



**Fig. S1.** Illustration of data flow in the AGROECO model for delimitation of the "accessible forest" area.

## 2. Calculation of Weights of Evidence

Weights of evidence originate from the Bayesian method of conditional probability. In modeling dynamics of land-use and land-cover change, they are applied to calculate *a posteriori* probabilities of a pixel being deforested, given *a priori* knowledge of favorable local conditions for deforestation. In this step of modeling maps of land use, the static and dynamic variables were combined in a DINAMICA-EGO sub-template for extracting weights of evidence (Soares-Filho et al. 2014).

The influence of weights of evidence on the variables can be positive (favoring deforestation) or negative (inhibiting deforestation). The weights of evidence are

recalculated at each iteration of the model considering the total area of forest available in a given iteration.

In the simulation the weights of evidence represent the "amount" of influence of each variable on the spatial transition probability of a cell in a particular state (i) changing to another state (j) depending on its location within a given range of distance. Thus, the most likely state change will occur in the cell whose location is closest to the range of the classes of interest. This relationship is given by Equations (1) to (9):

$$P(D|A) = \frac{P(D \cap A)}{P(A)} \quad (1)$$

$$P(A|D) = \frac{P(A \cap D)}{P(D)} \quad (2)$$

$$P(A \cap D) = P(A|D) \times P(D) \quad (3)$$

In the same fashion, considering the non-event D, as non-D ( $\bar{D}$ ), one obtains: (4)

$$P(\bar{D}|A) = P(\bar{D}) \times \frac{P(A|\bar{D})}{P(A)} \quad (4)$$

Substituting (4) in (1), one obtains (5):

$$P(D|A) = P(D) \times \frac{P(A|D)}{P(A)} \quad (5)$$

Applying the ratio between Equations (6) and (7), one obtains (8):

$$O(D|A) = O(D) \times \frac{P(A|D)}{P(A|\bar{D})} \quad (6)$$

(7)

$$\log O(D|A) = \log O(D) + \log \frac{P(A|D)}{P(A|\bar{D})} \quad (8)$$

$$\log O(D|A) = \log O(D) + W^+ \quad (8)$$

Therefore:

$$\log O(D|A_i) = \log O(D) + \sum_{i=1}^n W_i^+ \quad (9)$$

Where “{D}” and “O {D/A}” are ratios of *a priori* probabilities of event “D” occurring, and of event “D” occurring given a spatial pattern “A,” respectively. “W<sup>+</sup>” is, therefore, the weight of evidence of the event D occurring given a spatial pattern “A.” *A posteriori* spatial probability of a transition “i → j” from a set of spatial data “(B, C, D, ... N)” is

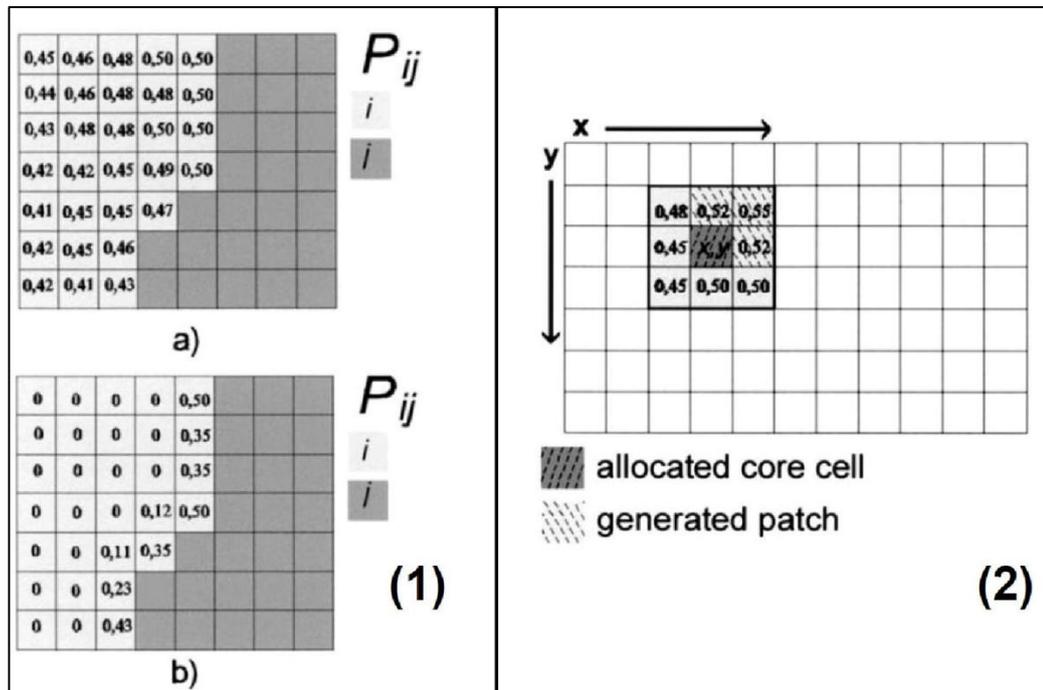
expressed as: (10)

$$P(i \rightarrow j | B \cap C \cap D \dots \cap N) = \frac{e^{\sum w_i^+}}{1 + e^{\sum w_i^+}} \quad (10)$$

Where, “B, C, D, ..., N” are values of k spatial variables measured at position “x, y” and are represented by their weights “W<sup>+</sup> N.”

### 3 . The Patcher and Expander Functions

DINAMICA-EGO uses a local rule for the cellular automaton algorithm, where is a transition mechanism composed of two complementary functions: Patcher and Expander (Fig. S2). The Patcher function searches for cells around a location that has been chosen (through a seeding mechanism) for a combined transition for formation of a new deforestation patch. This is done by first choosing the central cell of a new patch and then selecting a specific number of cells surrounding the central cell based on a transition probability “P (i → j)” calculated from the weight of evidence. The Expander function is only dedicated to expansion or contraction of previous patches of a given class. In the Expander function, a new spatial transition probability “P (i → j)” depends on the number of cells of type j around a cell of type i. For constructing the scenarios, the transitions used were “forest → deforestation” (1 → 3), “deforestation → regeneration” (1 → 2) and “regeneration → deforestation” (2 → 1).



**Fig. S2.**  $P_{ij}$  arrays before (a) and after (b) applying the Expander function (1) and the selection of cells around a core cell allocated by the Patcher function (2). Adapted from Soares-Filho et al. (2002).

Parameters used for the Patcher and Expander functions in the four simulated scenarios were the same for the transition “forest → deforestation” (3 → 1). Means and variances of deforestation polygons were derived for the study area (Barni et al. 2012)

and were 12.5 ha, 62.5 ha and 1.7 for the mean, variance and isometry values, respectively. The partition between the two change functions was 75% for Patcher and 25% for Expander.

Equal values were also used for the transitions “deforestation → regeneration” (1→2) and “regeneration → deforestation” (2→1). The parameters were 6.5 ha (one pixel) and 0 (zero) for the mean and variance, respectively, for the polygons with these transitions, with an isometry value of 1.7. The partition between the two change functions was 65% for Patcher and 35% for Expander for both transitions.

#### 4. Available forest

New deforestation expansion fronts have been detected linked with logging activities (Barni et al. 2012). In three of these fronts we suggest creating conservation units as part of the modeling exercise. Approximately 40% of these forests are accessible due to proximity to roads; these areas are distributed between existing projects and indigenous lands (Table S1). However, some blocks of continuous forest are accessible only by river transport on the Branco River (e.g., in Santa Maria do Boioçu).

**Table S1.** Areas of use classes in southern Roraima.

Use class description	Area (km <sup>2</sup> )	Percentage
Southern portion of Roraima	98,955.1	44.1% of the state
Forest	84,910.6	85.8% of southern Roraima
Non forest	14,044.5	14.2% of southern Roraima
Indigenous Land (I.L.)	22,737.8	23.0% of southern Roraima
Conservation Units (C.U.)	13,849.2	14.0% of southern Roraima
Settlements	6,038.7	6.1% of southern Roraima
Deforestation*	3,689.6	3.7% of southern Roraima
Deforestation in settlements	2,420.3	65.6% of area in settlements
Regrowth and degraded pastureland	2,767.2	75.0% of southern Roraima (from Terra class)
Available forest**	41,015.6	41.4% of southern Roraima

\* Deforestation up to 2010 in southern Roraima (Brazil, INPE 2014)

\*\* Remaining forest without any protection status and outside of settlement projects.

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