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Brazil's Amazonian forest carbon: The key to Southern Amazonia's significance for global climate

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ABSTRACT

Southern Amazonia is the first region of Brazil's Amazon area to be exposed to intensive conversion to agriculture and ranching. This conversion emits greenhouse gases from the carbon stock in the biomass and soils of the previous vegetation. Quantifying these carbon stocks is the first step in quantifying the impact on global warming from this conversion. This review is limited to information on Brazilian Amazonia's carbon stocks. It indicates large amounts of carbon at risk of emission in both biomass and soils, as well as considerable uncertainty in estimates. Reducing uncertainty is a priority for research but the existence of uncertainty must not be used as an excuse for delaying measures to contain deforestation. The magnitude of carbon stocks is proportional to greenhouse-gas emissions per hectare of deforestation, and consequently to impact on global climate.

KEYWORDS: Carbon; Biomass; Amazonia; Soil carbon; Greenhouse-gas emissions; Brazil

Introduction

Brazilian Amazonia (Figure 1) contains a large stock of carbon that could be released to the atmosphere as greenhouse gases as a result of land use and land-use change (e.g., Fearnside et al. 2009). Carbon stock is the starting point for quantifying the climatic impacts of land-use change, both within the southern Amazonia sub-region and in Brazilian Amazonia as a whole. Information specific to southern Amazonia is available for several key factors, such as the aboveground volume of the trees measured in the RADAMBRASIL surveys (Brazil, Projeto RADAMBRASIL 1973-1982), and soil carbon (e.g., Moraes et al. 1995). For various other carbon stocks, estimates depend on data from other parts of Amazonia, such as belowground biomass, dead biomass (necromass), non-tree components, and secondary forest biomass. The present review is limited to information on forest carbon stocks. These stocks are proportional to the amount of greenhouse-gas emission when forests are converted to other uses (e.g., Fearnside 2016), and the emissions are therefore proportional to impact on global climate (IPCC 2013).

[Figure 1 here]

The importance of studies in southern Amazonia extends far beyond the limits of this sub-region. Land-use changes in southern Amazonia represent processes that can be expected to expand to other parts of Amazonia if the trends seen over the past years continue (Fearnside 2008a, 2015). Southern Amazonia is the first portion of the region to face intense and large-scale deforestation and forest degradation (e.g., Egler et al. 2013) (Figure 2); it serves therefore as a bellwether for what spread of these processes would bring to other parts of Amazonia if current trends continue. The amounts of carbon involved are uncertain, and improvement of estimates is a high priority to provide the information needed as a basis for public policies affecting the future course of development in Amazonia. Despite uncertainty, knowledge is amply sufficient to justify actions to avoid deforestation.

[Figure 2 here]

Development in biomass estimation to date

Primary forest aboveground live biomass

Improving ground-based measurements

Forest biomass is a key factor in determining the magnitude of greenhouse-gas emission from tropical deforestation, as the carbon stock is directly proportional to the biomass. Improvements in biomass-stock estimates continue to be made through remote sensing, through better interpretation of existing forest surveys and through on-the-ground studies.

Where detailed forest volume and biomass estimates have been made for closely spaced plots in a single forest type, such as the 65 1-ha plots in the botanical survey of the Biological Dynamics of Forest Fragments Project (BDFFP) near Manaus, the wide variance in biomass at the level of one-ha plots is evident. In this case, the coefficient of variation (CV) was 13.2%, with mean aboveground live biomass of $356 \pm 47 \text{ Mg ha}^{-1}$ for all trees, based on measurements for trees $\geq 10 \text{ cm DBH}$ (diameter at breast height: diameter at 1.3 m above the ground or above any buttresses) with a 12% correction for small trees (Laurance et al. 1999). In 72 1-ha plots in the Ducke Reserve, also near Manaus, the CV was 12.8%, with mean aboveground live biomass for trees $\geq 1 \text{ cm DBH}$, which allows as few as three 1-ha plots to provide an estimate with a mean value within 10% of the true mean (considering a 95% confidence interval), indicating the priority for surveys at widely spaced locations, each with only a small numbers of plots (Nascimento and Laurance 2002). Note that plots smaller than 1 ha, which are not uncommon in forest biomass studies, would have higher variance (Clark and Clark 2000).

Quantifying local variation represents a different problem from quantifying large-scale variation, which is driven by different factors. To improve large-scale assessment of aboveground biomass, the key challenge is to sample well over the vast spatial extent of the region, not to replicate mainly locally. Clearly, a large sample size is needed for this purpose.

Progress has been made in improving allometric equations for interpreting existing forest surveys, such as RADAMBRASIL. Particularly important are improvements for the forests in the ‘arc of deforestation,’ or the crescent-shaped strip along the southern and eastern edges of the Amazon forest biome where deforestation activity has been concentrated since 1970 (Figure 2). Previously, the volume of wood in trees and the conversion to biomass in all of Amazonia were calculated based on measurements made in the Manaus area in central Amazonia (e.g., Higuchi et al. 1998). However, new measurements in Southern Amazonia’s arc of deforestation indicate 13.6% lower biomass there than that calculated using the parameter values from central Amazonia (Nogueira et al. 2007). Trees in the arc of deforestation have significantly lower wood density than those in central Amazonia, not only from the species composition of the forest but also with lower basic density of wood for individuals from the same species (Nogueira et al. 2007). ‘Basic’ density is the oven-dry weight divided by the wet volume, which is the most appropriate density measure for converting forest volume data to biomass (Fearnside 1997b). Part of the difference comes from lower wood density as a result of greater pore volume, which leads to higher water content:

the wood in the arc of deforestation has 3-4% higher water content as compared to wood in central Amazonia, meaning that some of what was previously being counted as biomass was actually water (Nogueira et al. 2008b). In addition, trees in the arc of deforestation are shorter for individuals of any given diameter, resulting in further overestimation of biomass (by 3.6-11.0%) when central-Amazonian allometric equations are applied to these forests (Nogueira et al. 2008c). The importance of tree height extends to biomass estimates throughout the tropics, and incorporation of this parameter in allometric equations for forest biomass lowers estimated pan-tropical deforestation emissions by 13% as compared to using equations based solely on diameter (Feldpausch et al. 2011, 2012; see also: Chave et al. 2014). Wood density of tropical trees and its effect on biomass have been extensively reviewed by Chave et al. (2006).

One important factor with little data is the multiplier used to represent the biomass of tree crowns (the “biomass expansion factor,” or BEF). Most existing estimates of Amazonian biomass have used values for this parameter derived from early unpublished data from Venezuela by Jean-Pierre Veillon (after Brown and Lugo 1992). However, weighing the entire aboveground portion of 267 trees in the arc of deforestation showed that the values from Venezuela overestimated this component by 6%, resulting in overestimates of total aboveground live biomass by percentages ranging from 3.6% to 11.0% for forest types in the arc of deforestation (Nogueira et al. 2008a). The Venezuelan data by Veillon have been essential to many studies of Amazonian forests, but doubts concerning how the trees were measured have proved impossible to resolve: see the dispute between Clark (2002) and Phillips et al. (2002). One solution has been to remove these data from analyses of Amazonian forest dynamics (Lewis et al. 2004). Nevertheless, aside from the BEF measurement by Nogueira et al. (2008a), Veillon’s estimate reported by Brown and Lugo (1992) is the only other known value for this important biomass parameter. Estimates of BEF are needed to represent the range of forest types in Amazonia. A promising possibility is use of airborne and ground-based LiDAR, which are able to measure the dimensions of branches in the crowns of standing Amazonian trees (e.g., Figueiredo 2014).

Since the RADAMBRASIL surveys do not include small trees, the biomass in these trees must be estimated by multiplying the biomass in the larger trees by a multiplier derived as the ratio between small- and large-tree biomass from sites where both have been measured. Small trees are divided into two diameter groups, each with a separate multiplier. The first multiplier represents trees with diameters between 10 cm and the lower limit of the forest volume surveys, such as the 31.8 cm DBH lower limit for RADAMBRASIL data. Early estimates mistakenly omitted the 30-31.8 cm DBH range (see: Fearnside 1992). Aside from this problem, new data from the arc of deforestation indicate that the volume expansion factor (VEF) used for tree boles in this diameter range (e.g., from Brown and Lugo 1992) underestimates this component by 25% in the arc of deforestation (Nogueira et al. 2008a).

The second small-tree multiplier represents biomass in trees <10 cm DBH. Again, a value from Venezuela (12% of aboveground live biomass: Jordan and Uhl 1978) has been widely used in Brazilian Amazonia. Now, measurements in 72 1-ha plots located > 1000 m from a forest edge and spread over a 64-km² area in the Ducke Reserve, near Manaus, indicate that trees ≥ 1 cm and < 10 cm DBH represent only 6.1±1.8% of aboveground live biomass in living trees, including palms (de Castilho et

al. 2006), while in 56 1-ha plots located >300 m from the nearest forest-pasture edge spread over a 1000-km² area in the BDFFP reserves, also near Manaus, this percentage is 5.4% (Nascimento and Laurance 2002). In terms of total live aboveground biomass, these estimates represent a reduction of approximately 5.5% as compared to those using the values from Venezuela.

Lianas and other non-tree life forms have been omitted from a number of Amazonian biomass studies, and studies often fail to report what components are included. Standardization for non-tree components, together with trees < 10 cm DBH, removes almost all of the difference between aboveground live biomass estimates by Fearnside (1997a), Houghton et al. (2001) and Malhi et al. (2006) (see review in: Malhi et al. 2006, pp. 1120-1121). The importance of lianas, palms, bamboo and other non-tree components varies greatly in different parts of the region (Online resources: Table S1).

Biomass studies of Brazilian savanna woodlands (mostly *cerrado*), including those in Amazonia, have recently been reviewed by de Miranda et al. (2014). These authors review 26 studies at 170 sites and emphasize the contrast between the amount of available data and what has been used in global carbon computations, pointing out that the estimate by Saatchi et al. (2011) used only one study at two savanna woodland sites in Brazil. For Brazil as a whole, the review by de Miranda et al. (2014) calculates an average aboveground carbon stock of 37.4 MgC ha⁻¹ in savanna woodlands classified as “forestland” (34.4% of the total savanna woodland area), and 11.5 MgC ha⁻¹ in those classified as “shrublands” (65.6% of the area), giving a weighted average of 20.4 MgC ha⁻¹. For grasslands, aboveground biomass averaged 7.2 Mg ha⁻¹ [i.e., roughly 3.6 MgC ha⁻¹].

Improving interpretation of aboveground biomass data

Measurement of biomass density (biomass per hectare) in tropical forests from satellites is still unsatisfactory. Remote sensing has advantages over strictly ground-based estimates by providing “wall-to-wall” coverage of the entire region and by reflecting biomass of the current state of the forest, including its degradation from logging, wind-throws, fires and other disturbances. The reliability of remote-sensing estimates is generally limited by the number, representativeness and reliability (especially as related to very small plot sizes) of ground-based measurements used to calibrate the remotely sensed data.

The limitation of a miniscule number of ground locations is evident for satellite studies, as well as for studies based on interpolation between ground-based plots (Table 1). Here “distinct locations” refers to sites reported with non-identical geographical coordinates (those reported with identical coordinates are lumped in calculating the “plot area”). The representativeness of these samples is even less than that implied by the number of “distinct locations,” since many of these are highly clustered (Figure 3). The limited representativeness is critical in assessing an area roughly the size of Western Europe with a diverse array of forest types.

[Table 1 and Figure 3 here]

Table 1 and Figure 3 show the contrast in terms of the amount and representativeness of ground-level information between different studies. Studies making use of the RADAMBRASIL surveys have a great advantage in terms of ground data (e.g., Nogueira et al., 2008a, 2015). This is also true of earlier interpretations of this dataset based on fewer plots and a more coarse-scale vegetation map (Fearnside, 1994, 1997a). The RADAMBRASIL surveys were carried out from the late 1950s to the early 1970s using side-looking airborne radar imagery combined with 1-ha ground plots at approximately 3000 points, often reached by helicopter (de Lima 2008). The 1:250,000 and 1:1,000,000 scale RADAMBRASIL vegetation maps were developed through extensive on-the-ground and airborne observation and through visual interpretation of the high-resolution radar imagery (Brazil, Projeto RADAMBRASIL 1973-1983). Use of the RADAMBRASIL surveys has been daunting to many research groups: the reports are a vast labyrinth of over 50,000 pages, written in Portuguese and historically with limited availability at any single location. However, ignoring this enormous body of work represents a loss that is not easily compensated for by applying more sophisticated remote sensing interpretation to a small set of ground-based plots.

Saatchi et al. (2007) used tree-diameter data to derive statistical relationships between the biomass at ground-based sites and a variety of spectral characteristics. The resulting relationships were then applied to the imagery from the region as a whole to estimate the biomass in each pixel. The analysis associated aboveground live biomass in the plots with a set of 19 metrics derived from satellite data for 1-km² pixels at the plot locations. Of the 15 metrics, 9 were derived from the Moderate Resolution Imaging Spectroradiometer (MODIS): 4 for Normalized Difference Vegetation Index (NDVI), 4 for Leaf Area Index (LAI) and 1 for percent tree cover. The remaining metrics were derived from different kinds of radar: 4 metrics were derived from the Quick Scatterometer (QuikSCAT): measures of backscatter; 4 metrics were derived from Japan Earth Resources Satellite (JERS-1) data: 2 for backscatter and 2 for the coefficient of variation of the texture measure; 2 metrics were derived from Shuttle Radar Topography Mission (SRTM) data: mean elevation and “ruggedness factor.”

The Saatchi et al. (2007) study’s restriction to only 53 distinct locations for ground-based information on primary forests in Brazil, with almost half having a sample area < 1 ha or unknown, is particularly limiting. Saatchi et al. (2011) increased the ground data to 96 distinct locations in Brazilian Amazonia’s primary forests. The analysis used space-borne LiDAR (Light Detection and Ranging) from the US National Aeronautics and Space Agency (NASA) Geoscience Laser Altimeter System (GLAS) on the Cloud and Land Elevation Satellite (ICESat), together with optical data from MODIS imagery and radar data from the Global Quick Scatterometer (OSCAT). Baccini et al. (2012) used space-borne LiDAR from GLAS together with ICESat and MODIS imagery. All of these studies represent advances in interpretation of remote sensing data, but remain limited by their datasets for ground truth. Mitchard et al. (2014) contrasted the spatial results of the Saatchi et al. (2011) and Baccini et al. (2012) remote sensing studies, as well as the geographical information system (GIS) analyses derived directly from plot data by Houghton et al. (2001), Malhi et al. (2006) and their own analysis of RAINFOR (Amazon Forest Inventory Network) plots (e.g., Phillips et al. 2009). The results show major differences between all of the resulting maps, including those with largely overlapping ground-based datasets. Expanding the network of ground-based inventories is essential. The way forward will require using remote

sensing data together with ground-based measurements, with progress needed in both areas.

Belowground biomass

Belowground biomass (Online resources: Table S2) remains one of the areas of greatest uncertainty in biomass and emissions estimates. The response to high uncertainty of belowground estimates of simply this component by counting only aboveground biomass leads to misleading estimates. On the strength of being ‘uncertain’, belowground biomass and change in this stock were ignored in Brazil’s first national inventory under the United Nations Framework Convention on Climate Change (UNFCCC), better known as the “climate convention” (Brazil, MCT 2004, p. 146). Uncertain as estimates for this component may be, effectively using a value of zero rather than the best available estimates introduces an obvious error into overall estimates of Amazonian carbon stocks and greenhouse-gas emissions from deforestation (see: Fearnside 2013a).

Belowground biomass was included in Brazil’s second national inventory by assuming that Amazonian forests have 27.1% of their biomass in this component (Brazil, MCT 2010, p. 235). This is based on a measurement at a single site located in an upland (*terra firme*) forest (IBGE code: Db; Brazil, IBGE 2012) near Manaus (da Silva 2007). Roots >2 mm in diameter were separated and weighed in 11 quadrats each measuring 10 × 10 m (0.11 ha total); of these, 2 quadrats were excavated to 1.5 m depth and 9 to 1.0 m depth (da Silva 2007, pp. 32-34). Taproots were pulled mechanically from soil below the excavation limit, using levers tied to the stumps. Trunks, branches and leaves from 131 trees (DBH ≥ 5 cm) in the quadrats were weighed, and the aboveground and belowground biomasses totaled for each tree.

Fearnside (1994) calculated a mean of 23.7% for this parameter based on estimates for Manaus (33.4%), Jari (19.8%) and Paragominas (15.2%), which were derived from existing studies (Klinge et al. 1975; Klinge and Rodrigues 1973; Russell 1983, p. 29; Uhl et al. 1988, p. 670; see Supplementary Online Material, Table S2), complemented by information on underground boles from D.C. Nepstad (Pers. Comm.; see: Fearnside 1994, p. 111). In a global review of root biomass, Cairns et al. (1997) found tropical forests (including secondary forests) to have a mean root:shoot ratio of 0.24 ± 0.14 ($n=39$), this mean corresponding to 19.4% belowground.

Roots of an ecotone (“contact”) (IBGE code: LO) between forested shade-loving *campinarana* (woody oligotrophic vegetation of swampy and sandy areas) and rain forest near São Gabriel da Cachoeira, Amazonas were weighed by Lima et al. (2012). This forest had an aboveground live biomass of $222.3 \pm 21.1 \text{ Mg ha}^{-1}$, and a belowground biomass of $30.7 \pm 20 \text{ Mg ha}^{-1}$, yielding a root-shoot ratio of 0.138 (i.e., roots represented 12.4% of the total aboveground + belowground biomass). For three types of treed savannas in Roraima, Barbosa et al. (2012) found the corresponding percentages to range from 7.5% to 16.7% for roots ≥ 2 mm in diameter.

The review by de Miranda et al. (2014) of savanna woodlands in Brazil as a whole calculates an average carbon stock in belowground biomass of 8.4 MgC ha^{-1} in savanna woodlands classified as “forestland” (root: shoot ratio of 0.22, or 18.3% belowground). Belowground biomass carbon stock in savanna woodlands classified as

“shrublands” is calculated at 15.8 MgC ha⁻¹ (root : shoot ratio of 1.37, or 57.9% belowground). The weighted average by area for belowground biomass carbon in “forestland” and “shrubland” savanna woodlands is 13.3 MgC ha⁻¹, and the root : shoot ratio is 0.65, or 35.6% belowground. For grasslands, belowground biomass averaged 16.7 Mg ha⁻¹ [i.e., roughly 8 MgC ha⁻¹], and the root:shoot ratio averaged 2.3, or 70.0% belowground. The importance of including roots is evident.

Necromass (dead biomass)

Necromass, or dead biomass, is also important to greenhouse-gas emissions from deforestation. This is often omitted from estimates of deforestation emissions on the strength of the linguistic fine point of necromass not being considered as ‘biomass’ (e.g., Brazil, MCT 2004, p. 136; note: Brazil, MCT 2010, p. 235 included a 3% adjustment for litter). The carbon contained in necromass is also released by deforestation, and each ton causes just as much climatic damage as a ton of carbon coming from live biomass. Necromass in undisturbed forests has been measured at an increasing number of sites (Online resources: Table S3). The stock of necromass varies across the Amazon region as a whole (including areas outside of Brazil), with the highest stocks being found in the northeastern corner of the region and the lowest in the northwestern corner (Chao et al. 2008, 2009). At this scale there is a significant positive relationship between aboveground live biomass and necromass stock (Chao et al. 2009). However, an extensive survey of necromass on a north-south transect from the Manaus area to Humaitá found no relation to aboveground live biomass, differences in necromass stocks being explained instead by soil quality and other limitations on site quality for tree growth (Martins et al. 2014). In a pan-tropical review, Palace et al. (2012) found that undisturbed forests had a peak of necromass in the middle range of aboveground live biomass values, with less necromass in both high- and low-biomass forests.

Necromass stocks increase in forests subject to disturbances such as extreme climatic events, fire and logging. Calculations based on observed long-term increases in tree mortality rates in the RAINFOR network of 321 permanent plots indicate that approximately 3.8 PgC have been left in necromass in Amazonian forests since 1983, or a 30% increase in these stocks (Brienen et al. 2015, p. 346). Increases of this magnitude should be directly observable, but monitoring of necromass is rare. The RAINFOR plots are exposed to droughts, but not to logging and fire. Understory fires are increasingly common in Amazonia, resulting in substantial transfers from living to dead biomass pools (e.g., Balch et al. 2008; Barlow et al. 2003; Haugaasen et al. 2003; Vasconcelos et al. 2013). Logging has a similar effect, in addition to increasing the risk of fire (e.g., Barlow and Peres 2006; Berenguer et al. 2014; Gerwing 2002; Keller et al. 2004). A recent study in Malaysia has drawn attention to the worldwide underestimation of tropical forest emissions by ignoring necromass (Pfeifer et al. 2015).

Implications of biomass uncertainties

The large areas of annual deforestation, with most deforestation occurring in the relatively poorly studied arc of deforestation, mean that small percentage differences in biomass estimates for this part of the region translate into large amounts of greenhouse-gas emission. For example, just the adjustment for lower wood density in the arc of deforestation resulted in a $23.4\text{--}24.4 \times 10^6$ Mg CO₂-equivalent C yr⁻¹ reduction in the

estimated emission for 1990, when $13.8 \times 10^3 \text{ km}^2$ were deforested in the Brazilian Legal Amazon (Nogueira et al. 2007). This is approximately double the current annual emission of metropolitan São Paulo (e.g., COPPE 2005). The biomass map of Brazilian Amazonia incorporating these improvements (Nogueira et al. 2008a) provides the basis of recent emissions estimates for the region (Aguiar et al. 2012).

Future prospects for measuring primary forest biomass

Technology is advancing rapidly in areas that can provide greatly improved estimates of forest biomass. LiDAR (light detection and ranging) is able to produce accurate three-dimensional representations of individual trees, including branches and irregularities, thus allowing much greater accuracy in quantifying the volume of wood present in aboveground biomass. LiDAR can measure the morphology of the crowns from airborne platforms, including pilotless aircraft (drones), while instruments recording data from a sequence of points on the ground can produce composite images of the trunks that are more accurate than manual measurements even for traditional parameters such as diameter at breast height (DBH). Airborne LiDAR transects arranged in a top-down sampling design have produced promising results in Colombian Amazonia (Asner et al. 2012).

Radar backscatter is another avenue for improving biomass estimates (Saatchi et al. 2011; Woodhouse et al. 2012). This can be used from satellites, and is advancing as a means of estimating tropical forest biomass. Space-borne LiDAR is also advancing as a biomass-estimation technique (Goetz et al. 2009). However, the major spatial inconsistencies between the Saatchi and Baccini maps that both used space-borne LiDAR indicate the need for further progress in interpreting LiDAR data (Saatchi et al. 2011; Baccini et al. 2012; see: Mitchard et al. 2014).

For forest monitoring on the ground, prospects are improved by the recent discovery from the RAINFOR plot series, where only 1% of tree species account for 50% of Amazon forest biomass due to “hyperdominance” (Fauset et al. 2015). This raises the possibility of significant gains in understanding of biomass and associated biogeochemical processes by concentrating research on these species.

Secondary forest

The rate of secondary forest regrowth varies widely depending on the age of the stand, initial soil quality, and the land use history of the site (especially use as pasture), among other factors (Online resources: Table S4). Realistic estimates of carbon uptake at a regional level are therefore highly dependent on appropriate weighting of the data on growth rates in accord with the spatial extent of secondary forests of each type. Especially critical is the dichotomy between those derived from degraded cattle pasture versus slash-and-burn agriculture (Fearnside 1996; Fearnside and Guimarães 1996). Since secondary forests grow much more slowly in abandoned pasture than in shifting cultivation fallows, the fact that most of the existing studies of tropical secondary forests have been done in shifting-cultivation fallows, whereas the vast majority of deforested areas in Brazilian Amazonia is pasture, means that calculating carbon uptake at a regional level requires care in either making separate calculations for each land-use history or properly weighting the growth rates by the proportion of each. Studies in the easily measured but highly atypical secondary forests surrounding the BDFFP reserves

north of Manaus have indicated higher growth rates than in areas with typical use histories. The areas around the BDFFP reserves were abandoned prematurely as a result of cessation of subsidies for the ranches rather than because of the more common circumstance where a decrease in pasture productivity motivates abandonment to secondary succession (see: Fearnside 2013a).

Estimates of the extent of secondary forest in deforested portions of Brazilian Amazonia are presented in the Online Resources (Table S5). The very low values used in Brazil's second communication to the Climate Convention are unexplained (Brazil, MCT 2010, p. 242). Not all of the variation in values is the result of differences in methodology: a real reduction has occurred in the percentage of the deforested area that is in degraded pasture and secondary forest in recent years as compared to the 1980s (see: Fearnside 2013a).

Although many estimates are not explicit in defining "secondary forest," the estimates in Table S5 can all be assumed to refer to relatively recent stands, that is, since the modern age of deforestation began with the opening of the Transamazon Highway in 1970. They do not include "old" secondary forest ("*capoeirões*") in the Zona Bragantina of Pará and in Maranhão, many of which have been recovering since the "rubber boom" in the late 19th and early 20th Centuries when these areas were cleared to produce manioc and other agriculture products. These areas are considered as "deforested" in INPE's PRODES data (Brazil, INPE 2015). The area of "old" secondary forest was estimated at $71.3 \times 10^3 \text{ km}^2$ in 1990 (Fearnside 2000a), and most of it has since been recleared.

Brazil's first national inventory of greenhouse-gas emissions claimed that secondary forests in Amazonia were absorbing $34.9 \times 10^6 \text{ Mg C yr}^{-1}$ over the 1988 - 1994 period (Brazil, MCT 2004, p. 147). The assumptions that underlie this high estimate have been contested (Fearnside and Laurance 2004). Recent measurements of secondary forest growth rates have confirmed slower growth than was assumed (e.g., Wandelli and Fearnside 2015). Brazil's second national inventory implies net annual accumulation of 8951.4 Gg C ($9.0 \times 10^6 \text{ Mg C yr}^{-1}$) over the 1994 - 2002 period (Online resources: Table S6). The average age of secondary forests in the inventory is 4 years, and the growth rates presumed are $10.1 \text{ Mg C yr}^{-1}$, a rate 2.7 times that measured for regrowth after slash-and-burn agriculture and 4.4 times higher than that measured after use as cattle pasture (Wandelli and Fearnside 2015, p. 147). Weighted by the areas of secondary forest derived from pasture (91.4%) and agriculture (8.6%), the inventory growth rates average 4.1 times greater than similarly weighted rates measured in Amazonian secondary forests with these two use histories under typical conditions. Even in atypically favorable conditions growth rates do not approach those assumed in the official estimate.

Secondary forests are a significant factor in the accounts for Brazil's national emissions. The absolute value of the overestimate in the second national inventory is $6.8 \times 10^6 \text{ Mg C yr}^{-1}$ (Wandelli and Fearnside 2015), which is equivalent to 8.3% of the country's fossil fuel emissions in 2005 (Brazil, MCT 2010, p. 270). This unreported land-use change emission approaches the scale of the fossil-fuel emission from metropolitan São Paulo, which represents 10% of Brazil's population and presumed fossil-fuel emission. São Paulo, with a population of over 20 million, is much larger than any metropolitan area in either Europe or the United States.

Soil carbon

Forest carbon is contained both in the biomass and in the soil. In the 1970s the RADAMBRASIL project collected soil profiles at approximately 3000 points scattered (unevenly) throughout Brazilian Amazonia (Brazil, Projeto RADAMBRASIL 1973-1983). These data have been analyzed by Moraes et al. (1995), with weighting by the area of the different soil types in order to generate an estimate of the soil carbon stock in the top 1 m of soil under the original vegetation in the $5 \times 10^6 \text{ km}^2$ Brazilian Legal Amazon region. The total is 47 PgC (PgC = petagrams of carbon = 10^{15} gC = gigatons of carbon = billion MgC), or an average of 94 MgC ha^{-1} . Uncertainty is high with the standard error equal to 24.5% of the mean (Cerri et al. 2000, p. 38). Various improvements are needed to obtain more reliable estimates of regional stocks of soil carbon (Sombroek et al. 2000). The top 20 cm contain 21 PgC (or 42 MgC ha^{-1}), which represents 45% of the carbon in the top meter of soil (Moraes et al. 1995).

Soil carbon is not limited to the top 1 m that is included in the Moraes et al. (1995) estimate. Trumbore et al. (1995) have studied soil carbon stocks to 8 m depth at Paragominas, Pará. The layers between 1 m and 8 m depth there contain 155 MgC ha^{-1} , or 152% of the stock at the same site in the 0-1 m depth range. Assuming proportionality for the remainder of the region, the deep soil contains an additional 71 PgC, making the total stock to 8 m 276 MgC ha^{-1} , or 138 PgC in Legal Amazonia.

The stability of the soil carbon is critical to changes when forest is cleared or undergoes other disturbances. Carbon stability affects both the total (equilibrium) carbon stock and the rate of change (i.e., the stocks in the transient states as the new equilibrium is approached). Trumbore et al. (1990, p. 411) estimated a labile (hydrolysable) soil carbon stock of 54 MgC ha^{-1} and a refractory (non-hydrolysable) soil carbon stock of 106 MgC ha^{-1} in the top 60 cm of a typical Amazonian Ultisol from the Curuá-Una River area in Pará studied by (Sombroek 1966, p. 244). The 60-150 cm layer contained an additional 36 MgC ha^{-1} of labile and 40 MgC ha^{-1} of refractory carbon. The so-called “refractory” soil carbon belongs to a “slow turnover” carbon pool that is often assumed to have no turnover at all. However, this pool does, in fact, turn over at an appreciable rate, even in the deep soil, and could therefore represent substantial carbon emissions because of the slow pool’s great size in Brazilian Amazonia. Trumbore et al. (1995, p. 527) estimated a turnover time of < 25 years for the entire soil carbon pool from 0 to 8 m depth under pasture.

The classic division of soil organic matter into categories as “labile” versus “recalcitrant” or “fast turnover” versus “slow turnover” has been criticized as hiding important properties of what is really a continuum (Lehmann and Kleber 2015). The soil contains a mixture of organic molecules of different sizes and with different properties relevant to their rate of oxidation, such as their association with soil minerals that can protect the organic molecules from the action of microorganisms. The molecular composition of organic matter varies with its source and is summarized in indices of organic-matter “quality,” reflecting the ease with which it is decomposed. Among the factors affecting the amount and activity of soil microbiota is the soil’s humidity and the interactions of humidity with temperature. All of these are important areas for research in modeling carbon release from soils (Lehmann and Kleber 2015). Climate change is expected to affect both temperature and humidity, with longer and

more severe droughts together with higher temperatures in Amazonia, especially southern Amazonia (Fu et al. 2013, Marengo and Espinoza 2016). Slow-turnover soil organic matter is more sensitive to release under warming than is fast-turnover organic matter (Conant et al. 2008, Craine et al. 2010, Davidson and Janssens 2006). In Amazonian soils the fast-turnover organic matter is concentrated near the soil surface (de Marques et al. 2015), which is where increases in soil temperature are greatest when forests are cleared, and this would also be the layer undergoing the greatest effects of climate change. After deforestation, changes in soil organic matter depend heavily on management, with a variety of techniques resulting in enhanced organic matter retention (e.g., Fujisaki et al. 2015; Maia et al. 2009, 2010, Perrin et al. 2014). Nevertheless, the dominant land use in Brazilian Amazonia continues to be cattle pasture with minimal management (Fearnside 2005). Conversion of forest to pasture results in soil compaction, thereby increasing bulk density and the mass of soil (and carbon) that will be found in samples to any given depth; valid comparisons of soil carbon stocks in pasture versus forest therefore require comparisons on the basis of equal mass of soil rather than equal volume, and these indicate substantial losses of soil carbon under typically managed pastures (Fearnside and Barbosa 1998).

Increase of temperature through global warming could destabilize a part of the soil carbon pool. Temperature increases have a greater effect on speeding release of slow carbon pools than on labile carbon (Bellamy et al. 2005). This is because the sensitivity of reaction rates to changes in temperature (the Arrhenius function) is greater for reactants with higher activation energies, that is, for those that are less reactive or more recalcitrant (Davidson and Janssens 2006). The amounts of carbon involved make release a significant concern both for deforestation impacts (Fearnside and Barbosa 1998) and as a possible impact of global warming, contributing to a positive feedback mechanism (Davidson and Janssens 2006; Fearnside 2010; Schulze and Freibauer 2005; Townsend et al. 1992).

Environmental services

Maintenance of the carbon stocks in Amazonia avoids global warming and therefore provides a valuable environmental service. Amazon forest also recycles an enormous amount of water: annual evapotranspiration is estimated to total $8.4 \times 10^{12} \text{ m}^3$, which is more than the Amazon River's annual discharge to the Atlantic Ocean of $6.6 \times 10^{12} \text{ m}^3$ (Salati 2001). Approximately $3.4 \times 10^{12} \text{ m}^3$ is transported as water vapor to other regions (Fearnside 2004), about half of this making the "curve" to the south from Amazonia (Correia et al. 2006). Brazil's Southeastern region (including São Paulo) and neighboring countries are major recipients of this transport (Arraut et al. 2012). The La Plata Basin is estimated to depend on water-vapor transport from Amazonia for 70% of its annual total precipitation (van der Ent et al. 2010), and this water source is especially dominant in the Austral summer (Zemp et al. 2014). Water transport therefore represents a second important category of environmental service. A third is maintenance of biodiversity, with multiple utilitarian and non-utilitarian values (Fearnside 1999). The value of these environmental services represents a potential alternative basis for sustaining the rural population in Amazonia by maintaining the forest rather than destroying it (Fearnside 1997c, 2008b). The value of the forest for avoiding global warming is the closest to providing appreciable monetary flows, but the institutional mechanisms by which this goal could be achieved are still the subject of ongoing unresolved controversies (Fearnside 2012, 2013b). The magnitude of

Amazonia's carbon stocks provides a powerful reason for resolving these controversies without delay.

Beyond the numbers: Amazon biomass in policy decisions

Policy decisions are made in the context of negotiations, either formal or informal. Academic discussions of biomass numbers provide one of the inputs to decisions on mitigating global warming and on Amazonian conservation and development priorities. Understanding the significance of the numbers requires acknowledging other components of these decisions, which potentially affect billions of dollars in monetary flows and the direction of development policy in Amazonia.

While levels of uncertainty have a place in rational choices among mitigation options (Fearnside 1995, 2000b), they also play an important role in negotiated accords that try to balance the divergent interests of the parties involved. A question such as the role of deforestation in global greenhouse gas emissions is composed of various components, such as the rate of deforestation (or amount of avoided deforestation) and the biomass of the forest being cleared. Unrealistic estimates for different components may be proposed by two sides with biases in opposite directions. Unrealistic estimates for different components may be accepted in the interests of achieving agreement, but with the final result being perceived as reasonable because the biases cancel each other out. A classic case was the estimate of 1.6 Pg of carbon (Gt C) as the global annual emission from land-use change used in the IPCC's First Assessment Report (Watson et al. 1990, p. 11). The number was agreed in a Beijing hotel room at 3:00 am local time in a discussion between Robert Watson and Gylvan Meira Filho. Key elements were a value included in the calculation of Amazon forest biomass (Brown and Lugo 1984) that was about half the level of modern estimates and a value for the rate of Amazonian deforestation by Norman Myers (e.g., Myers 1989, 1991) that was about double the currently accepted rates for the period (see Fearnside 1990, 1994, for reviews of controversies on biomass and deforestation rates at the time). The Beijing hotel-room accord has been described by both parties in public fora (personal observation). Such informal understandings hold a danger if subsequent revisions change one unrealistic component but not the component or components that offset its bias. It is not only important that the final result be realistic, but also that it be so for the right reasons (Fearnside 2001).

Although there no comparable first-hand accounts, a similar sort of informal understanding between parties appears to apply today to the Amazon forest biomass assumed in calculating carbon benefits from avoiding deforestation. The Amazon Fund (*Fundo Amazônia*) was established in 2008 to receive money from other countries for purposes of avoiding deforestation and emissions in Brazil, especially the US\$1 billion offered by Norway for payment through 2015 based on progress in reducing emissions. The reductions in emissions calculated by the fund assume that net emission from Amazonian deforestation is 100 Mg C ha⁻¹. This value was deliberately chosen to be conservative (Brazil, MMA 2008, p. 8), and high uncertainty in biomass estimates due to lack of data for parts of Amazonia was presented as a justification for assuming the low value. In this author's opinion, adopting an explicitly conservative value may be thought of as a sort of "gentlemen's agreement," where the underestimate of per-hectare emission reductions that is implicit in the fund's calculations will offset the overestimate implicit in accepting the claim that all of the decline in deforestation since

the high rates that prevailed in the 1996-2005 baseline period is additional to what would have occurred in the absence of governance measures. In fact, a substantial part of the slowing of deforestation is not additional because slower deforestation is explained by lower commodity prices rather than by government measures for the period up to 2008, which represents most of the total decline through 2015 (data in: Assunção et al. 2015; see: Fearnside 2016, Fearnside et al. 2014). In 2014 Brazil re-evaluated biomass estimates for calculating the emissions benefit of each hectare of avoided deforestation in Amazonia (e.g., Brazil, MMA 2014; Brazil, MMA & MCTI 2014). Since the revised net emission per hectare is, on average, higher than the assumed 100 Mg C, the result is a shrinking of the amount of real emissions reduction obtained from the available funds.

Conclusions

- 1.) Vegetation in southern Amazonia and throughout the Amazon region has very substantial carbon stocks that can be released as greenhouse-gases upon conversion to other uses.
- 2.) Carbon stock estimates are subject to considerable uncertainty, indicating that further research should be done but not that there should be any delay in actions to contain deforestation and reduce emissions.
- 3.) The global-warming impact of land-use conversions reflects the benefit of avoiding these conversions in favor of development based on environmental services.

Supplementary online material

Table S1: Non-tree components of live biomass.

Table S2: Belowground biomass of Amazon forests.

Table S3: Estimates of necromass > 10 cm in diameter in “undisturbed” forests in Brazilian Amazonia.

Table S4: Secondary forest growth rates.

Table S5: Estimates of secondary forest area in Brazilian Amazonia.

Table S6: Secondary forest land-use transitions and implied carbon fluxes in the Amazonia Biome in Brazil's Second National Inventory

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Figure legends:

Figure 1 – Brazil and Brazilian Amazonia with locations mentioned in the text. Cities: (1) São Paulo, (2) Manaus, (3) Paragominas, (4) São Gabriel da Cachoeira; (5) Humaitá; Other: (6) Jari River, (7) Curuá-Una River, (8) Zona Bragantina, (9) Ducke Reserve, (10) Biological Dynamics of Forest Fragments Project (BDFFP), (11) arc of deforestation. “Southern Amazonia” refers to the states of

1219 Rondônia, Mato Grosso, Tocantins, Maranhão and the southern half of Pará.
 1220 “Legal Amazonia” is an administrative region in Brazil encompassing all or part
 1221 of nine states; 26% of Legal Amazonia is *cerrado* (savanna) rather than forest.
 1222 Brazil also officially divides its territory into biomes, based on the predominant
 1223 original vegetation. The “Amazonia Biome,” represents Amazonian forest,
 1224 although it includes some enclaves of non-forest vegetation. The term “Brazilian
 1225 Amazonia” is used when the distinction between Legal Amazonia and the
 1226 Amazonia Biome is not necessary.

1227 Figure 2 – Deforestation by 2014 (PRODES data from Brazil, INPE 2015). The curved
 1228 band of heavy deforestation on the eastern and southern edges of the forest is
 1229 known as the “arc of deforestation.”

1230
 1231 Figure 3 – Distribution of “distinct locations” of plots used in different biomass studies
 1232 (see Table 1). A = Houghton et al (2001). B = Malhi et al (2006). C = Saatchi et
 1233 al (2007). D = Saatchi et al (2011). E = Nogueira et al (2008a).
 1234

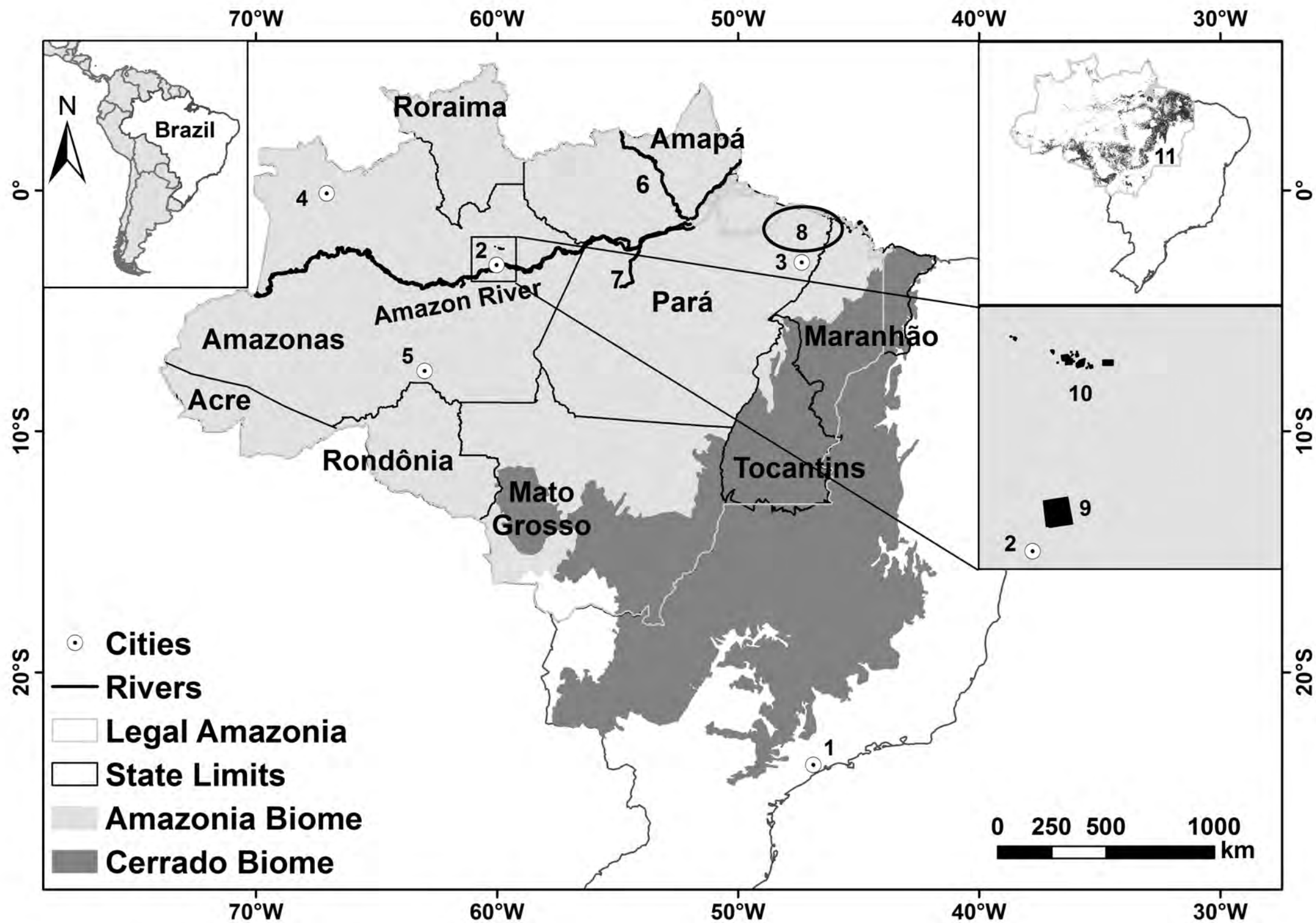
Table 1: Numbers of sample plots for “primary” forests in Brazilian Amazonia used in regional biomass estimates

| Study type | Reference | Plot area ≥ 1 ha | Plot area <1 ha | Plot area unknown | Total Distinct Locations | Note |
|--|----------------------|--------------------------|--------------------|----------------------|--------------------------------|------|
| Studies based on interpolation between ground-based plots | Houghton et al 2001 | 16 | 7 | 5 | 28 | a |
| | Malhi et al 2006 | 44 | 0 | 0 | 44 | |
| | | | | | | |
| Studies based on satellite imagery calibrated from ground- based plots | Saatchi et al 2007 | 28 | 20 | 5 | 53 | a, b |
| | Saatchi et al 2011 | 63 | 28 | 5 | 96 | a, b |
| | Baccini et al 2012 | 0 | ? | 0 | ? | c |
| | | | | | | |
| Studies based on vegetation map (from airborne radar and direct observation) and biomass by vegetation type from ground- based plots | Nogueira et al 2008a | 2879 | 0 | 0 | 2879 | |
| | Nogueira et al 2015 | 2317 | 0 | 0 | 2317 | |
| | | | | | | |

a) Includes five studies with unknown sample areas (all small areas or line-intersect sampling studies that are not area-based).

b) Includes one study with location unknown.

c) Baccini et al (2012) do not report the countries or locations of their 283 0.16-ha plots distributed throughout the African, Asian and Latin American tropics.



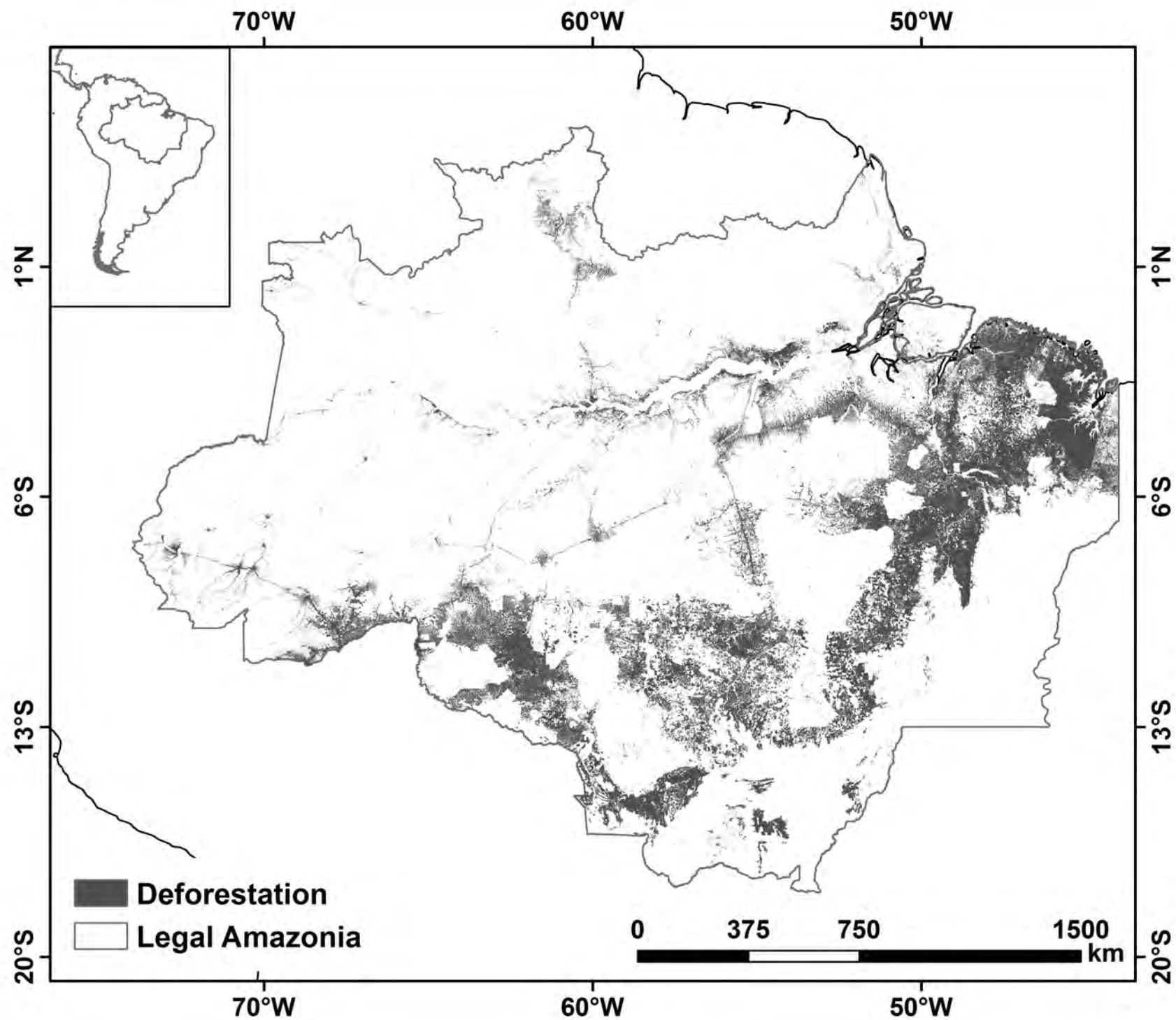
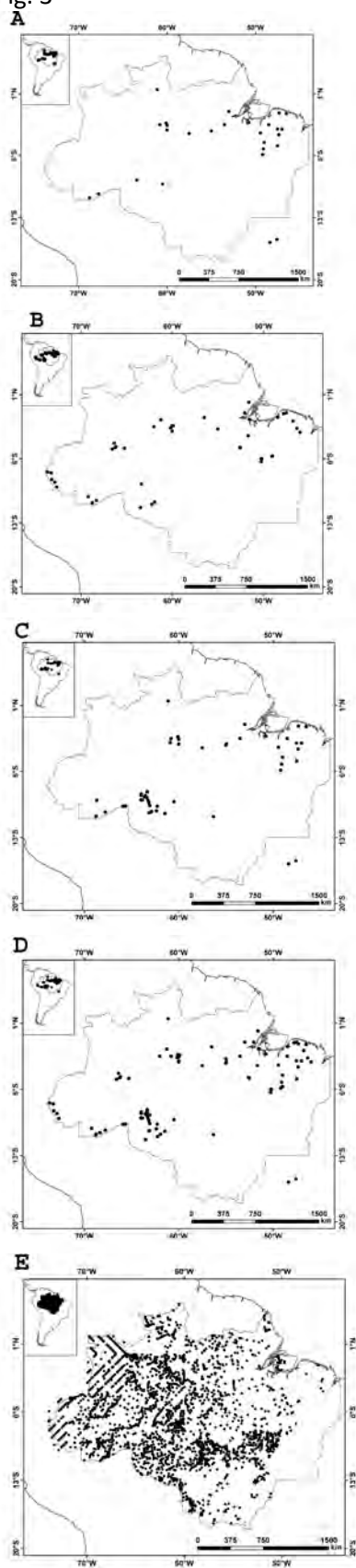


Fig. 3



Supplementary Online Material

Brazil's Amazonian forest carbon: The key to Southern Amazonia's significance for global climate

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Table S1: Non-tree components of live biomass.

Table S2: Belowground biomass of Amazon forests.

Table S3: Estimates of necromass > 10 cm in diameter in “undisturbed” forests in Brazilian Amazonia.

Table S4. Secondary forest growth rates.

Table S5: Estimates of secondary forest area in Brazilian Amazonia.

Table S6: Secondary forest land-use transitions and implied carbon fluxes in the Amazonia Biome in Brazil's Second National Inventory

Table S1: Non-tree components of live biomass

| Component | Location | Forest type | Component dry biomass (Mg ha ⁻¹) | Percent of aboveground live biomass | Reference |
|-----------|--|---|--|-------------------------------------|--|
| Lianas | | | | | |
| | Manaus, Amazonas, ZF-2 | Dense <i>terra firme</i> | 7.2±6.7 ^a | 1.77 | da Silva 2007, p. 55 |
| | Paragominas, Pará | Dense <i>terra firme</i> | 35 | 13.57 | Gerwing 2002, p. 136 |
| | Manaus, Amazonas, PDBFF (Forest interior plots in 1997-1999) | Dense <i>terra firme</i> | 7.7 ± 2.3 | 2.2 ^c | Laurance et al. 2014a |
| | Manaus, Amazonas, PDBFF (Forest interior plots in 2012) | Dense <i>terra firme</i> | 8.0 ± 2.2 | 2.2 ^c | Laurance et al. 2014a |
| | Manaus, Amazonas, PDBFF (Forest edge plots in 1997-1999) | Dense <i>terra firme</i> | 8.1±0.3 | 2.3 ^c | Laurance et al. 2014b |
| | Manaus, Amazonas, PDBFF (Forest edge plots in 2012) | Dense <i>terra firme</i> | 9.7±0.3 | 2.7 ^c | Laurance et al. 2014b |
| | Brasil Novo, Pará, Km 50 | Dense <i>terra firme</i> | 32.2 | 12.26 | Fearnside et al. 1999 |
| | Belo Monte Dam, Pará | Dense riparian | 2.81 | 1.51 | Revilla Cardenas 1987, p. 51 |
| | Belo Monte Dam, Pará | Dense <i>terra firme</i> | 2.87 | 2.28 | Revilla Cardenas 1987, p. 34 |
| | Samuel Dam, Rondônia | Open <i>terra firme</i> | 4.59 | 1.18 | Revilla Cardenas 1986, p. 39 |
| | Samuel Dam, Rondônia | <i>Mata de baixio</i> [bottomland forest] | 10.77 | 2.97 | Revilla Cardenas 1986, p. 39 |
| | Babaquara [Altamira] Dam, Pará | Dense riparian | 9.74 | 3.28 | Revilla Cardenas 1988, p. 76 |
| | Babaquara [Altamira] Dam, Pará | Dense <i>terra firme</i> | 9.02 | 4.55 | Revilla Cardenas 1988, p. 77 |
| | Manaus, Amazonas, Egler Reserve | Dense <i>terra firme</i> | 21.85 | 6.12 | Klinge et al. 1975 |
| | Manaus, Amazonas, Ducke Reserve | Dense <i>terra firme</i> | 7.2 | 2.2 | Nogueira 2006, p. 17 (mean of 3 estimates) |
| | Rondônia | Dense <i>terra firme</i> | 0.6 | 0.2 | Cummings et al. 2002 |
| | Rondônia | Open <i>terra firme</i> | 0.6 | 0.2 | Cummings et al. 2002 |
| | Manaus, Tarumã settlement | Dense <i>terra firme</i> | 6.30 | 2.06 | Nogueira 2006, p. 17 |
| | Manaus, Amazonas, PDBFF (Fazenda Dimona) | Dense <i>terra firme</i> | 8.10 | 3.32 | Fearnside et al. 1993 |
| | Manaus, Amazonas, PDBFF | Dense <i>terra</i> | 8.30 | 2.55 | Nascimento and |

| | | | | | |
|-------------------------------------|----------------------------------|--------------------------|------------------------|------------------|------------------------------|
| | | <i>firme</i> | | | Laurance 2002 |
| | Rondônia | Ecotone forest / savanna | 0.5 | 0.2 | Cummings et al. 2002 |
| Palms | | | | | |
| | Manaus, Amazonas, ZF-2 | Dense <i>terra firme</i> | 11.8±17.8 ^a | 2.31 | da Silva 2007, p. 55 |
| | Brasil Novo, Pará, Km 50 | Dense <i>terra firme</i> | 10.6 | 4.04 | Fearnside et al. 1999 |
| | Manaus, Amazonas, Egler Reserve | Dense <i>terra firme</i> | 12.5 | 3.5 | Klinge et al. 1975 |
| | Fazenda Dimona, Amazonas | Dense <i>terra firme</i> | 8.1 | 2.1 | Fearnside et al. 1993 |
| | Fazenda Dimona, Amazonas | Dense <i>terra firme</i> | 10.8 | 4.08 | Fearnside et al. 2001 |
| | Jari Project, Pará | Dense <i>terra firme</i> | 5.0 | 1.3 | Russell 1983 |
| | PDBFF reserves, Manaus, Amazonas | Dense <i>terra firme</i> | 1.3 | 0.4 | Nascimento and Laurance 2002 |
| | Rondônia | Open <i>terra firme</i> | 16.8 | 5.4 | Cummings et al. 2002 |
| | Manaus, Amazonas, Ducke Reserve | Dense <i>terra firme</i> | 2.1 | 0.7 | de Castilho et al. 2006 |
| | Rondônia | Ecotone forest / savanna | 37.9 | 14.0 | Cummings et al. 2002 |
| Seedlings ^c & understory | | | | | |
| | Manaus, Amazonas, Ducke Reserve | Dense <i>terra firme</i> | 19.4 | 6.4 | de Castilho et al. 2006 |
| | Manaus, Amazonas, ZF-2 | Dense <i>terra firme</i> | 1.8 | 2.9 | da Silva 2007 |
| | PDBFF reserves, Manaus, Amazonas | Dense <i>terra firme</i> | 21.1 | 6.5 | Nascimento and Laurance 2002 |
| | Samuel Dam, Rondônia | Dense <i>terra firme</i> | 13.0 ^d | 3.3 ^d | Revilla Cardenas 1986 |
| | Belo Monte Dam, Pará | Open <i>terra firme</i> | 5.6 ^d | 3.0 ^d | Revilla Cardenas 1987 |
| | Babaquara [Altamira] Dam, Pará | Dense riparian | 9.6 ^d | 3.2 ^d | Revilla Cardenas 1988, p. 77 |
| | Babaquara [Altamira] Dam, Pará | Dense <i>terra firme</i> | 9.2 ^d | 4.6 ^d | Revilla Cardenas 1988, p. 77 |
| | Samuel Dam, Rondônia | Open <i>terra firme</i> | 2.6 ^d | 0.7 ^d | Revilla Cardenas, 1986 |
| | Paragominas, Pará | Dense <i>terra firme</i> | 16.0 | 6.2 | Gerwing 2002 |
| | Rondônia | Open <i>terra firme</i> | 14.1 | 5.9 | Cummings et al. 2002 |

| | | | | | |
|-------|---------------------------------|--------------------------|------|------|----------------------|
| | Rondônia | Ecotone forest / savanna | 11.4 | 4.2 | Cummings et al. 2002 |
| | Jari Project, Pará | Dense <i>terra firme</i> | 9.61 | 2.5 | Russell 1983 |
| Other | | | | | |
| | Manaus, Amazonas, Egler Reserve | Dense <i>terra firme</i> | 0.75 | 0.21 | Klinge et al. 1975 |

^aAssumes same water content as weighted average for above-ground components of trees: 40.62% (da Silva 2007, p. 66).

^bBased on mean above-ground live biomass of $356 \pm 47 \text{ Mg ha}^{-1}$ (Laurance et al. 1999).

^c“Seedlings” < 1 cm DBH.

^dincludes root-mat.

Table S2: Belowground biomass of Amazon forests

| Forest type | Location | Biomass (Mg ha ⁻¹) | | | | Carbon (MgC ha ⁻¹) | | Root:shoot ratio | | Reference |
|---|------------------------------------|--------------------------------|-------------------------------------|-------------------------------|--------------------|--------------------------------|------------------|------------------|--------|-------------------------------------|
| Description | | Belowground between trees | Belowground deep roots ^a | Approximate total belowground | Aboveground live | Belowground | Aboveground live | Biomass | Carbon | |
| Dense <i>terra firme</i> | Manaus, Amazonas (ZF-02 road) | 62.2 ^b | | | 461.6 ^b | 28.41 | 223.88 | 0.135 | 0.127 | da Silva 2007 |
| Contact Woody oligotrophic vegetation (<i>Campinarana</i>) of swampy & sandy areas / <i>terra firme</i> | São Gabriel da Cachoeira, Amazonas | 30.7 ± 20 | | | 222.3 ± 21.1 | | | 0.138 | | Lima et al. 2012 |
| Dense <i>terra firme</i> | Jari, Pará | 56.96 | 34 | 91 | 368.91 | | | 0.23 | | Russell 1983, p. 29 |
| Dense <i>terra firme</i> | Manaus, Amazonas, Egler Reserve | 122.5 | 74 | 196 | 357 | | | 0.50 | | Klinge et al. 1975 |
| Dense <i>terra firme</i> | Paragominas, Pará | 45 | 23 | 68 | 336 | | | 0.18 | | Uhl et al. 1988; see Fearnside 1994 |

^a'belowground bole' calculated as 50% and roots below 1-m depth as 10% with respect to estimates between trees to 1-m depth. This is based on preliminary results from Paragominas and Porto Trombetas, Pará (D.C. Nepstad, pers. comm.; see: Fearnside 1994).

^bWeighted mean water content:aboveground= 40.62% below-ground=45.42% (calculated from da Silva 2007, pp. 55 & 66).

Table S3: Estimates of necromass > 10 cm in diameter in “undisturbed” forests in Brazilian Amazonia

| Location | Forest type | IBGE code | Necromass (Mg ha ⁻¹ dry weight) | Area surveyed | Minimum diameter (cm) | Reference |
|--|---|-----------|--|------------------------------|-----------------------------|--|
| Tapajós National Forest, Pará | Dense <i>terra firme</i> | | 50.7 ± 1.1 | 6000 m of line transects. | 2 | Keller et al 2004 |
| Tapajós National Forest, Pará | Dense <i>terra firme</i> | | 58.4 ± 0.9 | 5.5 ha | 2 | Palace et al 2007 |
| Juruena, Mato Grosso | Open <i>terra firme</i> | | 44.9 ± 0.2 | 6 ha | 2 | Palace et al 2007 |
| Juruena, Mato Grosso | Open <i>terra firme</i> | | 33.7 | 8 ha | 2 | Pauletto 2006 |
| Paragomians, Pará (Caxuí) | Dense <i>terra firme</i> | | 55.2 ± 4.7 | 5930 m of line transects | 2 | Keller et al 2004 |
| Rio Moju, Pará | Dense <i>terra firme</i> | | 57.4 Mg ha ⁻¹ | 6000 m of line transects. | 10 | Cruz Filho 2005 |
| BDFFP ^a reserves, Manaus, Amazonas | Dense <i>terra firme</i> | | 25.5 ± 7.1 | 20 ha | 10 | Nascimento and Laurance 2006 |
| BDFFP ^a reserves, Manaus, Amazonas | Dense <i>terra firme</i> | | 31.6±12.36 | 6 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. Comm. |
| Ducke Reserve, Manaus, Amazonas | Dense <i>terra firme</i> | | 34.05±20.09 | 9 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. Comm. |
| BR-319 Highway km 34 [M01], Amazonas | 60% flooded; 40% <i>terra firme</i> | | 15.12±5.56 | 2.5 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. Comm. |
| BR-319 Highway km 100 [M02], | 20% secondary forest; 80% <i>terra</i> | | 14.42±5.98 | 2.5 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. |

| | | | | | | |
|---|---|--|--------------------|---------------------------------------|----|--|
| Amazonas | <i>firme</i> | | | | | Comm. |
| BR-319 Highway km 220 [M04], Amazonas | <i>Terra firme</i> | | 16.65±5.07 | 2.5 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. Comm. |
| BR-319 Highway km 260 [M05] Amazonas | <i>Terra firme</i> | | 16.03±10.16 | 2.5 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. Comm. |
| BR-319 Highway km 300 [M06], Amazonas | 20% swamp; 80% <i>terra firme</i> | | 30.21±10.96 | 2.5 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. Comm. |
| BR-319 Highway km 350 [M07], Amazonas | 20% swamp; 80% <i>terra firme</i> | | 32.60±18.16 | 2.5 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. Comm. |
| BR-319 Highway km 400 [M08], Amazonas | <i>Terra firme</i> | | 29.59±14.05 | 2.5 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. Comm. |
| BR-319 Highway km 450 [M09], Amazonas | 20% secondary forest; 80% <i>terra firme</i> | | 24.88±6.33 | 2.5 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. Comm. |
| BR-319 Highway km 520 [M10], Amazonas | <i>Terra firme</i> | | 35.97±5.30 | 2.5 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. Comm. |
| BR-319 Highway km 640 [M11], Amazonas | 40% <i>terra firme</i> ; 60% alluvial terrace | | 12.63±3.08 | 2.5 ha | 10 | Martins et al 2014 & D.L. Martins, Pers. Comm |
| Ducke Reserve, Manaus, Amazonas | Dense <i>terra firme</i> | | 9.5 fallen only | 2.5 ha | 10 | Martius and Bandeira 1998 |
| BIONTE (ZF-2 road), Manaus, Amazonas | Dense <i>terra firme</i> | | 29.7±12.2 | 3 ha for wood > 20 cm diameter; | 10 | Summers 1998 |

| | | | | | | |
|--|---|----|--|--|------------------------------|--------------------------|
| | | | | 0.75 há for wood 10-20 cm diameter | | |
| Rondônia (7 sites) | Dense <i>terra firme</i> | | 30.5±6.9 | 5.25 ha | 2.5 | Cummings et al 2002 |
| Rondônia (8 sites) | Open <i>terra firme</i> | | 32.4±5.2 10,1±0.9 litter ^b | 6 ha | 2.5 | Cummings et al 2002 |
| Rondônia (4 sites) | Forest/savanna ecotone | | 20.8±6.6 | 3 ha | 2.5 | Cummings et al 2002 |
| BDFFP ^a reserves, Manaus, Amazonas | Dense <i>terra firme</i> | | 21.0 | 21 ha | 10 | Chambers et al 2000 |
| Samuel Dam, Rondônia | Open <i>terra firme</i> | | 30 fallen trunks 10 litter | Coarse 1 ha Litter 7.25 m ² | Litter < 2 | Brown et al 1995 |
| Paragominas, Pará | Dense <i>terra firme</i> | | 51.5±16.2 fallen coarse 4.1±0.2 litter | 120 m transects | ~0 | Uhl and Kauffman 1990 |
| Tapajós National Forest, Pará | Dense <i>terra firme</i> | | 86.6±13.4 | 19.75 ha | Standing= 10; fallen=2 | Rice et al 2004 |
| Lago Cobra, Amazonas | <i>Várzea</i> forest | | 6.62 fallen (fine+coarse) 10.96 ^b standing | 1425 m ² | ~0 | Martius 1997 |
| Virúá National Park, Roraima | Open-canopy rainforest submontane | As | 5.93±5.49 | 1000 m ² | 10 | Silva et al 2016 |
| Virúá National Park, Roraima | Open-canopy rainforest on | Ab | 8.30±4.45 | 1250 m ² | 10 | Silva et al 2016 |

| | | | | | | |
|------------------------------|---|---------|-----------|---------------------|----|------------------|
| | non-flooding lowlands | | | | | |
| Viruá National Park, Roraima | Contact between <i>campinarana</i> and rainforest | LO | 9.52±4.45 | 1000 m ² | 10 | Silva et al 2016 |
| Viruá National Park, Roraima | Mosaic Treed <i>campinarana</i> and Forested <i>campinarana</i> | La + Ld | 4.50±2.92 | 1750 m ² | 10 | Silva et al 2016 |
| Viruá National Park, Roraima | Mosaic Shrubby <i>campinarana</i> and Treed <i>campinarana</i> | Lb + La | 0.77±0.65 | 1500 m ² | 10 | Silva et al 2016 |

^aBDFFP = Biological Dynamics of Forest Fragments Project (INPA and Smithsonian Institution).

^bDensity of fallen wood 6.62 Mg ha⁻¹/ 33.0 m³ ha⁻¹ = 0.195 Mg m⁻³ applied to total estimated dead volume of 90 m³ ha⁻¹ = 17.58 Mg ha⁻¹. Standing dead is therefore 17.58 – 6.62 = 10.96 17.58 Mg ha⁻¹.

Table S4. Secondary forest growth rates

| Location | Secondary forest age (yr) | Aboveground live biomass growth rate (Mg ha ⁻¹ yr ⁻¹) | Previous land use | Minimum DBH considered (cm) | Reference | Note |
|--|---------------------------|--|---|-----------------------------|------------------------------|------|
| Brazilian Amazon biome | | 8.7 | Various | | Brazil, MCT 2004, p. 147 | a |
| Brazilian Amazon biome | 8.5 | 8.5 | Various | | Brazil, MCT 2010, p. 239 | b |
| Paragominas, Pará | 8 | 5.4 | Pasture “Moderate use” | 0 | Uhl et al. 1988 | |
| Brasil Novo, Pará | 2-7 | 4.5 | 3-12 yrs pasture | 0 | Fearnside and Guimarães 1996 | |
| Manaus, Amazonas | 19 | 7.1 | 4 yrs pasture | 1 | Wandelli and Fearnside 2015 | |
| Manaus, Amazonas | 20 | 4.5 | 5 yrs pasture | 1 | Wandelli and Fearnside 2015 | |
| Manaus, Amazonas | 21 | 3.4 | 8 yrs pasture | 1 | Wandelli and Fearnside 2015 | |
| Manaus, Amazonas | < 1 - 5 | 4.4 | 9-12 yrs pasture | 1 | Feldspausch et al. 2007 | |
| Manaus, Amazonas | 6 - 10 | 5.7 | 3-14 yrs pasture | 1 | Feldspausch et al. 2007 | |
| Manaus, Amazonas | 11 - 14 | 9.9 | 3-9 yrs pasture | 1 | Feldspausch et al. 2007 | |
| Landsat scene 232/067 in southwest Rondônia | 13 – 16 | 8.4 | Various | | Helme et al. 2009 | c |
| Cacaulandia, Rondônia | 2 | 2.7 (2.1-3.3) | 2 yrs agriculture; 2 burns | 5 | Alves et al. 1997 | |
| Cacaulandia, Rondônia | 3 | 10.5 (8.8-12.2) | 1 yr agriculture, 3 yrs pasture | 5 | Alves et al. 1997 | |
| Cacaulandia, Rondônia | 5 | 8.3 (7.2-9.3) | 2 yrs agriculture | 5 | Alves et al. 1997 | |
| Cacaulandia, Rondônia | 9 | 8.9 (7.8-10) | 3 yrs agriculture | 5 | Alves et al. 1997 | |
| Cacaulandia, Rondônia | 11 | 6.2 (5.6-6.7) | Approximately 2 yrs agriculture | 5 | Alves et al. 1997 | |
| Cacaulandia, Rondônia | 18 | 8.9 (7.9-9.8) | History unknown | 5 | Alves et al. 1997 | |
| Lago Januacá, AM-010 and BR-174 Highways, Amazonas | 4-30 | 5.0 | 6-10 yrs pasture | 5 | Steininger 2000 | |
| Santa Cruz, Bolivia | 4-25 | 5.4 | Long-term pasture (> 5 yrs) | 5 | Steininger 2000 | |
| Santa Cruz, Bolivia | 4-12 | 9.0 | 5 plots short-term agriculture (1-yr agriculture); 3 plots medium fallow (≥ 4 cycles of 1-yr cultivation + 5-8 yrs fallow); 1 plot long-term pasture. | 5 | Steininger 2000 | |
| Lago Januacá, AM-010 and BR-174 Highways, Amazonas | 4-12 | 7.7 | 6 plots short-term agriculture, 1 plot short fallow agriculture (4 cycles of 1 yr agriculture alternating with 3 yrs fallow); 1 plot | 5 | Steininger 2000 | |

| | | | | | | |
|------------------|---|------|--|---|--------------------|--|
| | | | long-term agriculture (6 yrs rice); 3 plots long-term pasture. | | | |
| Jamari, Rondonia | 4 | 9.1 | 2 yrs agriculture + 4 yrs fallow + 2 yrs agriculture | 0 | Hughes et al. 2000 | |
| Jamari, Rondonia | 4 | 8.6 | 2 yrs agriculture + 4 yrs fallow + 2 yrs agriculture | 0 | Hughes et al. 2000 | |
| Jamari, Rondonia | 4 | 12.3 | 2 yrs agriculture + 4 yrs fallow + 2 yrs agriculture | 0 | Hughes et al. 2000 | |
| Jamari, Rondonia | 4 | 15.9 | Cleared and abandoned without agricultural use | 0 | Hughes et al. 2000 | |
| Jamari, Rondonia | 4 | 8.9 | 2 yrs agriculture | 0 | Hughes et al. 2000 | |

- a.) For forest types with aboveground C > 93 MgC ha⁻¹. For those with ≤ MgC ha⁻¹ growth rate = 3.7 MgC ha⁻¹ yr⁻¹. Carbon growth rate (4.24 Mg ha⁻¹ yr⁻¹) converted to biomass at 0.485 MgC/Mg biomass (da Silva 2007).
- b.) The aboveground live biomass per hectare growth rate used in Brazil, MCT (2004) is cited, but the carbon-balance calculation (Brazil, MCT 2010, p. 242) assumes that secondary forests have a biomass 35% that of the primary vegetation (Brazil, MCT 2010, p. 239). Although insufficient information is reported to quantify this precisely, an approximation can be made from the per-hectare carbon stock values given by forest type. Considering the unweighted average for these per-hectare stocks by RADAMBRASIL volume (Brazil, MCT 2010, p. 236) and the proportions of each forest type in the region (from Fearnside 1994, p. 105), the average total carbon stock of primary forest is 160 MgC ha⁻¹ (above + below ground + litter), or 100.5 MgC aboveground live biomass in trees considering the 37.2% factor for below-ground, lianas and litter used in the report (Brazil, MCT 2010, p. 235). This implies a dry weight of 207.1 Mg ha⁻¹ of biomass for primary forest (considering the 0.485 MgC/Mg biomass conversion used in the report), making the 35% value for aboveground live biomass 72.5 Mg ha⁻¹. Transitions over the 1994-2002 period (Brazil, MCT 2010, p. 242) indicate that of 911,484 ha of secondary forest present in 1994, only 54,845 ha remained 8 years later; at the average clearing rate of 107,080 ha yr⁻¹, the average age at clearing is 8.5 yr, assuming a linear decrease (it would be greater if the decrease were exponential, implying a higher growth rate). The growth rate of aboveground live biomass in secondary forest is therefore 72.5/8.5 = 8.5 Mg ha⁻¹ yr⁻¹.
- c.) Space-borne LiDAR; based on Alves et al. 1997 (for forests with < 53 MgC ha⁻¹ of aboveground live biomass).

Table S5: Estimates of secondary forest area in Brazilian Amazonia

| Year | Secondary forest area (10 ³ km ²) | Cumulative deforestation (10 ³ km ²) ^a | Percentage in secondary forest (%) ^b | Reference |
|-----------|--|--|---|--------------------------|
| 1986 | 100.9 | 336.2 | 30 | Houghton et al. 2000 |
| 1990 | 123.8 | 415.2 | 30 | Fearnside 2000 |
| 1992 | 158.0 | 440.2 | 36 | Lucas et al. 2000 |
| 1990-1994 | 82.3 | 442.6 | 19 | Brazil, MCT 2004, p. 147 |
| 1994 | 9.1 | 470.0 | 2 | Brazil, MCT 2010, p. 242 |
| 2000 | 140.0 | 583.3 | 24 | Carreiras et al. 2006 |
| 2002 | 10.3 | 623.1 | 2 | Brazil, MCT 2010, p. 242 |
| 2002 | 161.0 | 623.1 | 26 | Neeff et al. 2006 |
| 2006 | 131.9 | 709.6 | 19 | Almeida et al. 2010 |

^aBrazil, INPE 2015.

^bPercentages are calculated from the secondary forest area, with the exception of Houghton et al. (2000) where the reverse is calculated.

| Table S6: Secondary forest land-use transitions and implied carbon fluxes in the Amazonia Biome in Brazil's Second National Inventory (a) | | | | | | | | | |
|---|----------|---|--|--------------------------------------|--|--|---|---|--|
| Land use | Use code | Area (ha) converted to secondary forest 1994-2002 | Net CO ₂ emission 1994-2002 (Gg CO ₂) | Net carbon emission 1994-2002 (Gg C) | Original forest C density (above + belowground) MgC ha ⁻¹ (b) | Original forest C stock (above + belowground) (Gg C) | Secondary forest C stock (above + belowground) (Gg C) | Secondary forest C density (above + belowground) MgC ha ⁻¹ (b) | Secondary forest gain (above + belowground) 1994-2002 (Gg C) |
| Unmanaged forest | FNM | 119,957.00 | 56,600.46 | 15,436.49 | 197.97 | 23,748.44 | 8,311.96 | 69.29 | 8,311.96 |
| Managed forest | FM | 12,967.00 | 6,251.30 | 1,704.90 | 197.97 | 2,567.14 | 898.50 | 69.29 | 898.50 |
| Secondary forest | Fsec | 54,845.00 | -9,406.33 | -2,565.36 | 197.97 | 10,857.92 | 3,800.27 | 69.29 | 3,800.27 |
| Reforestation | Ref | 56.00 | 6.22 | 1.70 | 197.97 | 11.09 | 3.88 | 69.29 | 3.88 |
| Planted pasture | Ap | 772,591.00 | -35,761.00 | -9,753.00 | 197.97 | 152,953.43 | 53,533.70 | 69.29 | 53,533.70 |
| Agricultural area | Ac | 73,057.00 | -4,372.16 | -1,192.41 | 197.97 | 14,463.43 | 5,062.20 | 69.29 | 5,062.20 |
| Other uses | O | 10.00 | -0.77 | -0.21 | 197.97 | 1.98 | 0.69 | 69.29 | 0.69 |
| Not observed | NO | 308.00 | | | | | | | |
| Total | | 1,033,791.00 | 13,317.72 | 3,632.11 | | 204,603.43 | 71,611.20 | | 71,611.20 |
| | | | | | | | | | |
| | | | | | Carbon gain per year in secondary forests (8 years) = | | | | 8,951.40 |

(a) Data source: Brazil, MCT (2010, p. 242).

(b) See Wandelli and Fearnside (2015, p. 147).

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