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- 2 Increasing bamboo dominance in southwestern Amazon forests following
- 3 intensification of drought-mediated fires
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#### 23 Abstract

24 Since the late 1980s the Amazon rainforest has been affected by major forest fires every 3-5

years, mainly in the southwestern portion of the region. Besides the reduction of forest
 biomass and changes in structure and floristic composition, these forest fires favor the

expansion of bamboo in forests in the southwestern Amazon. However, we know little about

the impact of fire on bamboo expansion and changes in forest structure. The goal of this study

is to quantify forest degradation by fire in areas with bamboo in the eastern portion of the

30 state of Acre, Brazil, based upon a combination of forest-inventory and satellite remote-

31 sensing data. The forest fires were defined by remote sensing as those in which the crowns of 32 the trees were directly or indirectly affected by fire to the point that they cause a detectable

impact on the optical satellite images in the 1984-2016 period. We measured trees and

bamboo in 6 ha distributed in twelve 0.5-ha plots ( $100 \text{ m} \times 50 \text{ m}$ ) in unburned forest, forest

burned in 2005, burned forest in 2010 and forest burned in both 2005 and 2010. Our results show change in the structure of the forest with a reduction in the number of live trees as the

37 number of bamboo stalks increases after the forest fires. The amount of breakage and damage

to the trees by the bamboo stems can double or triple with the expansion of the bamboo after

39 fire impact. Bamboo expansion was identified based on an increase of the proportion of pixels

40 with near-infrared channel reflectance values > 3500. The impact of forest fires resulted in

41 incursion and dominance of bamboo stems over an area of 120,000 ha, changing the forest

42 type of this area to "bamboo-dominated forest." Our results clearly show that drought-induced

forest fires with anthropogenic sources are capable of shifting species composition in
 southwestern Amazonia towards bamboo-dominated forest. With future climate scenarios

44 southwestern Amazonia towards bamboo-dominated forest. With future crimate scenarios 45 indicating more frequent and extensive droughts due to global warming, which, together with

45 the use of fire for new deforestation and for managing pasture and agricultural fields, can be

47 expected to cause more forests in southwestern Amazonia to be exposed to extensive fires and

48 potential increase in bamboo density. These changes are likely to reduce the ecological value

- 49 of these forests.
- 50

53

#### 51 Keywords

52 Forest fires; droughts; forest degradation; bamboo; Guadua

#### 54 **1. Introduction**

55 A dangerous cycle of forest degradation is taking shape in the Amazon as a result of 56 increased frequency of extreme droughts and associated forest fires drastically increasing tree 57 mortality (Brando et al., 2014; Davidson et al., 2012; McDowell et al., 2018). This modifies 58 the floristic composition of Amazonian forests (Barlow et al., 2016, 2003; Xaud et al., 2013) 59 and exacerbates feedbacks with global climate change (Aragão et al., 2018; Berenguer et al., 2014). Amazonian forest fires almost always have anthropogenic origins. In the state of Acre, 60 61 as well as in most of the Amazon, fire is used after deforestation as a tool to prepare land for 62 agriculture or pasture, and later to renew these land uses (Barbosa and Fearnside, 1999; Fearnside, 1990; Silva et al., 2018). In years of extreme drought, routine farm fires (even 63 64 small ones) can get out of control and turn into large forest fires (Nepstad et al., 2004, 2008; 65 Silva et al., 2018).

66 One of the effects of forest fire in Amazonia is the modification of the forest species 67 composition, which can change drastically in a cascade effect due to increased fire recurrence 68 (Barlow and Peres, 2008). In eastern Amazonia, a reduction of live non-pioneer sapling and 69 frequency and density of lianas (vines) by more than 70% has been reported, jeopardizing the 70 recovery of the forest (Cochrane and Schulze, 1999). In Mato Grosso (in southern Amazonia), 71 tree mortality facilitated invasion of grasses up to 200 m from the edge of the forest (Balch et 72 al., 2013). Another factor in degradation is a synergism between forest fires and natural disturbances, such as windstorms (Marra et al., 2018; Silvério et al., 2019), which increase
tree mortality and reduce both forest height and biomass (Silvério et al., 2019). However,
there is still no estimate of the spatial or temporal dimension of this degradation.

76 Studies have hypothesized that ancient forest fires could have completely 77 transformed the vegetation in western Amazonia, leading to bamboo-dominated forest 78 (Keeley and Bond, 1999; Nelson et al., 1994). Large fires in the Amazon occurred in the 79 Holocene as a result of droughts caused by mega-El Niño events (Bush et al., 2008; Meggers, 80 1994). One piece of evidence supporting the relationship between bamboo and forest fires is 81 the shape of the areas of bamboo-dominated forest, with rounded edges that coincide with the 82 shape of fires (McMichael et al., 2013). This theory is strengthened by the studies of Smith 83 and Nelson (2011) and Griscom and Ashton (2003), who refuted other theories, namely the 84 effects of soils with low permeability and high clay activity or the effect of divides between 85 hydrographic basins. A new theory sought to associate the massive mortality of bamboo every 28 years with the occurrence of large fires, but found no evidence of this link in the spatial 86 87 and temporal distribution of the fires (Dalagnol et al., 2018).

88 The southwestern Amazon has the second largest native bamboo forest in the world 89 (Lobovikov et al., 2007). It is estimated that bamboo forests in western Amazonia occupy an 90 area of 11.2 million ha (Dalagnol et al., 2018) to 16.1 million ha (Carvalho et al., 2013). Of 91 the 9.4 million ha of bamboo forest in Acre, 74.5% is classified as having "presence of 92 bamboo" (Guadua sarcocarpa and G. weberbaueri) (Acre, 2010), known locally as "taboca." 93 Bamboo may be either the main floristic element in the understory or a secondary element 94 (Acre, 2010). There are differences between forest with "presence of bamboo" and forest with "dominant bamboo." Silveira (2001) found forest with "presence of bamboo" to have a tree 95 density of 293 individuals  $ha^{-1}$  (DBH > 10 cm), 2884 bamboo stems  $ha^{-1}$  (DBH > 2.5 cm), a basal area of trees of 16 m<sup>2</sup>  $ha^{-1}$  and a richness of 96 tree species  $ha^{-1}$ . In contrast, forest with 96 97 "dominant bamboo" has 83 trees ha<sup>-1</sup> (DBH > 10 cm), 3860 bamboo stems ha<sup>-1</sup> (in all mature 98 bamboo culms), tree basal area of 4.8 m<sup>2</sup> ha<sup>-1</sup>, and 83 tree species ha<sup>-1</sup> (Griscom and Ashton, 99 2006; Griscom et al., 2007). "Bamboo-dominated forests" (with bamboo as the main floristic 100 101 element) are easily identified both on satellite images (Carvalho et al., 2013) and in the field. 102 These are the forests that may have been affected by past forest fires.

103 Even in undisturbed forests the effects of bamboo dominance in the forest can be 104 observed in the forest's structure, biodiversity, regeneration and carbon stock. Bamboo-105 dominated- forests have lower densities of trees and palms and lower carbon storage, the 106 lower amounts being associated with physical damage to trees, rapid growth and clonal 107 reproduction (Griscom et al., 2007; Griscom and Ashton, 2006; Silveira, 2001; Zaninovich et 108 al., 2017). These forests may also have less animal diversity (Yang et al., 2008). Forest 109 regeneration in bamboo-dominated forests is affected by the reduction of seed rain (Bona et 110 al., 2020). Bamboo-dominated forests produce less litterfall but have thicker layers of litter 111 due to slower decomposition, thus providing and less return of nutrients to the forest (Dantas 112 et al., 2020; Liu et al., 2000). All of these factors show that bamboo strategies promote both 113 the permanence of bamboo as a dominant component of the forest over time and the gradual 114 invasion of adjacent communities.

115 Acre was the epicenter of the 2005 and the 2010 droughts, which were two of the 116 strongest droughts ever recorded in the Brazilian Amazon (Lewis et al., 2011). These droughts, coincided with the years with the highest occurrence of forest fires (Silva et al., 117 2018). In 2005 more than 3500 km<sup>2</sup> of forest burned in Acre, mainly in the eastern region of 118 119 the state (Silva et al., 2018). This is the portion of the state with the largest area of open 120 forests with bamboo and palms, where bamboo is a secondary floristic element (Acre, 2010). In this study we present results on how recent fires have profoundly modified the 121 122 structure and floristic composition of forests in southwestern Amazonia. We used remote

sensing to evaluate a forest area of 525,000 ha affected by fire between 1984 and 2016 (Silva et al., 2018) and conducted field studies in the areas in which bamboo expanded during this period. This provides strong evidence that bamboo is favored by forest fires. Based on these data, we addressed two questions: (i) What is the magnitude of the structural change in the "bamboo-dominated forest" affected by fire? and (ii) What is the scale of the expansion of "bamboo-dominated forest" after fires?.

#### 130 **2. Materials and methods**

129

131 The study was carried out in the Brazilian state of Acre, located in the southwestern 132 Amazon (Figure 1a). Acre has 86% of its territory under native forest cover (14,165,340 ha), 133 considering the total deforestation indicated by Brazil's National Institute of Space Research 134 (INPE) up to 2017. Acre's climate in the Köppen classification is of type Am (Tropical 135 monsoon climate) in the eastern part of the state (representing 29% of the territory) and Af (Tropical climate without a dry season) in the rest of the state (representing 71%) (Alvares et 136 137 al., 2013). The average annual rainfall in the state ranges from 2500 to 2700 mm and the 138 average temperature ranges from 24 to 26°C.

139 The predominant forest types are "open ombrophilous forest with bamboo and palms 140 in the understory" (covering 86% of the state), "alluvial forest" (7%), "ombrophilous dense 141 forest" (6%) and "campinaranas" (oligotrophic woodlands) (<1%) (Acre, 2010). Our study is 142 focused on the forest with the bamboo in the species Guadua sarcocarpa Londoño & P.M. 143 Peterson and Guadua weberbaueri Pilg. (Silveira, 2001). The map of bamboo forest 144 developed by Carvalho et al. (2013) indicates that the state of Acre holds the largest area of 145 bamboo forest, accounting for 47% of the total in Amazonia as a whole. Figure 1 shows the 146 region of our forest inventories and the mappings of bamboo forests by Acre (2010) and by 147 Carvalho et al. (2013).



- 149 Figure 1. (a) Location of the state of Acre in relation to South America and the Amazon
- 150 biome, and (b) location of the Amazonian bamboo forest, with the region where the forest
- 151 inventory was carried out indicated by the red rectangle. The mapping of bamboo forests by
- 152 Acre (2010) is restricted to the state of Acre, unlike that of Carvalho et al. (2013).
- 153

#### 154 **2.1. Mapping forest fires**

155 For identification of forest-fire scars in the state of Acre we used the database from 156 the study by Silva et al. (2018). This database was built from a semi-automatic classification 157 using surface-reflectance images from the Landsat satellite from 1984 to 2016. A total of 417 158 images were analyzed with data between September and December or, for those years with 159 high cloud frequency, from March to June (Silva et al., 2018). The images were processed 160 using CLASlite 3.0 free software, a compact version of the Carnegie Landsat Analysis 161 System (CLAS) (Asner et al., 2009). A subsequent visual audit was performed to exclude 162 misclassified areas. Fire-scars were identified using the burn-scar index (BSI) based on the 163 Alencar (2010) methodology.

This database used as an operational concept of forest fires those in which the crowns of the trees were directly or indirectly affected by fire to the point that there was a detectable impact on the optical satellite images in the 1984-2016 period. The classification had 98% global accuracy; errors of omission were 0.7% and errors of commission were 0.6% based on the analysis of random points and points checked in the field (Silva et al., 2018).

169

#### 170 **2.2. Identification of open forest with bamboo**

171 To identify forests with bamboo we used the database of the Ecological Economic 172 Zoning of the state of Acre (Acre, 2010) (Figure 1b). These data divide the forest with bamboo into: "bamboo-dominated forest" (B+) (where bamboo is the main floristic element) 173 174 and "forest with presence of bamboo" (B-) (where this is secondary floristic element). There are four subdivisions in the "B-" class,: i) "open alluvial forest with bamboo," ii) "open forest 175 176 with bamboo" + "open forest with palms" + "dense forest," iii) "open forest with bamboo" + 177 "dense forest," and iv) "open forest with palms" + "open forest with bamboo" + "dense 178 forest" (Table 1). The distinction between B- and B+ in terms of the differences in forest 179 structure is not yet well refined, but we present some general characteristics in Table 1.

- 180
- Table 1. Classification in unflooded (*terra firme*) forest types with bamboo based on the
   Ecological Economic Zoning of the State of Acre and literature review.

Ecological Economic Zoming of the State of Acre and incrature review.					
Forest type	Classification Acre (2010)	Representation of the area state Acre (%)	Density of bamboo stems ha <sup>-1</sup> and density of trees ha <sup>-1</sup>		
Bamboo- dominated forest (B+) dominance of the	"bamboo-dominated forest"	10%	1242 to 3860 - bamboo 83 to 430 - trees (Griscom and Ashton, 2006; Rockwell et al.,		
forest canopy by bamboo			2014)		
Forest with presence of bamboo (B-) dominance of the forest canopy by trees and palm trees, where bamboo reaches the canopy in a timely manner	<ul> <li>"open forest with bamboo"</li> <li>"open alluvial forest with bamboo"</li> <li>"open forest with bamboo"</li> <li>+ "open forest with palms"</li> <li>+ "dense forest"</li> <li>"open forest with bamboo"</li> <li>+ "dense forest"</li> <li>"open forest with palms" +</li> <li>"open forest with palms" +</li> <li>"open forest with bamboo"</li> <li>+ "dense forest"</li> </ul>	58%	667 to 2800 - bamboo 300 to 550 - trees (Castro et al., 2013; Salimon et al., 2011; Silva et al., 2020; Silveira, 2001; Torezan and Silveira, 2000)		

#### 184 **2.3. Forest inventory**

185 We investigated the impacts of forest fires on the structure of forest based on the 186 change in the densities of trees and palms and of bamboo stems. To obtain these data, we 187 carried out a forest inventory between August 2016 and January 2017 in the western portion 188 of the municipality (county) of Rio Branco, which is the capital of Acre (Figure 1b). Three 189 plots were installed in "unflooded open forests with bamboo and palms" (B-) in each of four 190 areas, which were chosen to best represent forest fire between 1984 and 2016: 1) unburned 191 forest (UF), 2) forest burned only in 2005 (BF05), 3) forest burned only in 2010 (BF10) and 192 4) forest burned in both 2005 and 2010 (BF05-10).

193 These areas were located at distances of at least 9 km from each other and at least 194 100 m from a forest edge. In each area three plots measuring 100 m  $\times$  50 m were installed 195 along a 1-km transect (0.5 ha/plot, 1.5 ha/area, 6 ha total). The diameter at breast height 196 (DBH) was measured for all trees with DBH  $\geq$  10 cm. The decisions on the number and size 197 of plots took into consideration economic costs and information from the article by Higuchi et 198 al. (1982), who found little gain in precision with plots above 3000 m<sup>2</sup>.

199 For the bamboo inventory, eight subplots measuring 5 m x 5 m were systematically 200 allocated to locations equidistant from each other and 10 m from the main trail, where the 201 DBH was measured for all live bamboo stems. These data were used to calculate the density of bamboo stems ha<sup>-1</sup> and the diameter distribution of bamboo stems. We identified trees 202 203 broken by bamboo (trees physically damaged due to the bamboo's need to hang from the trees 204 in order to reach the canopy). The weight of the bamboo stems that accumulate on the trunks 205 of the trees exerts strong pressure, causing breakage (Griscom and Ashton, 2006). We 206 considered trees to be broken by bamboo only when there was clear evidence of accumulation 207 of bamboo stems on the trunk or the formation of arches in the canopy, as illustrated in Figure 2. Although the study by Griscom and Ashton (2006) indicated strong damage to the trees due to bamboo in the Peruvian Amazon, this is the first time that this variable is considered in a forest inventory in the Brazilian Amazon. In the field, we observed that the bamboo was in flowering phase in all of the plots in 2016, and we revisited of some plots in May 2017 and confirmed that the bamboo was dead (Appendix S1: Fig. S1).



Figure 2. Photographic record of trees broken by bamboo considered in this study.

Accumulation of climbing bamboo stems can break tree trunks (circles). Photos: S.S da Silva.

233 Bamboo has an average life cycle of 29 years (Carvalho et al., 2013; Dalagnol et al., 234 2018). Because the age of the bamboo and its mass mortality after flowering at the end of its 235 life cycle can influence our results, we analyzed the mapping by Dalagnol et al. (2018) in the 236 study area and observed bamboo mortality in 2009 and 2017. However, most of our mapping 237 did not coincide with the mapping by Dalagnol et al. (2018), probably due to the 1-km spatial 238 resolution of the MODIS imagery used in the mapping in that study, while the present study 239 used 30-m resolution Landsat imagery. During the field campaigns in August-October 2016 240 we recorded bamboo flowering. Flowering is the peak of the bamboo life cycle and occurs 241 just before the bamboo dies.

#### 243 **2.4. Analysis**

242

244 R software (R Core Team, 2020) was used for statistical analyses. To test question (i) 245 ("What is the magnitude of the structural change in the bamboo-dominated forest affected by fire?"), we analyzed the forest-inventory data by comparing the mean differences between 246 247 UF, BF05, BF10 and BF05-10, representing 10 and 5 years after forest-fire events, in terms of density of tree individuals (number ha<sup>-1</sup>) and density of bamboo stems (number of stems ha<sup>-1</sup>) 248 249 using the Kruskal-Wallis test and the post-hoc Nemenyi test. We used the Shapiro-Wilk test 250 to compare the diameter distribution of live bamboo stems between the areas. This analysis 251 permits detection of changes in the structure of the post-fire forest. The influence of 252 environmental variables on the results of the forest inventory was performed using the 253 generalized linear model (GLM) following Silva et al. (2020).

To test question (ii) ("What is the scale of the expansion of bamboo-dominated forest after fires, and what are its implications under future climatic conditions?"), we defined the expansion of bamboo as when a forest with the presence of bamboo (B-) becomes a bamboodominated forest (B +) in scars from forest fires in 2005 and 2010. This definition was based on the forest-inventory data, which were then associated with the remote-sensing data.

We analyzed the reflectance response in the near-infrared (NIR) channel of Landsat satellite data, corrected by using top-of-atmosphere (TOA) reflectance as indicated by Carvalho et al. (2013) and Dalagnol et al. (2018). Screening identified images from before (July 2003) and after (July 2015) the occurrence of forest fires; images were selected that did not coincide with other bamboo fire and mortality events that occurred in the region between 2016 and 2017 (Appendix S1: Table S1).

265 The NIR channel has been used to identify forests with bamboo because it allows 266 green vegetation that is dense and uniform (which is characteristic of forest with bamboo) to 267 be distinguished from other types of vegetation (Liu, 2007). Bamboo forests have average 268 reflectance values 25% to 29% higher in the NIR as compared to forests without bamboo 269 (Carvalho et al., 2013; Dalagnol et al., 2018). To avoid confusion errors between "bamboo-270 dominated forest" and secondary vegetation (capoeira), which has similar reflectance values (Carvalho et al., 2013; Hill, 1999), we analyzed 2000 pixels randomly selected from the NIR 271 image for "bamboo-dominated forest," "forest with presence of bamboo" and "secondary 272 273 forests" without fire impact. The average NIR values of these forest types were 3590, 2852, 274 and 3400, respectively (Figure 3). This analysis allowed differentiation of the thresholds for 275 identification of "bamboo-dominated forest," which are defined by median values above 3500 276 for NIR reflectance.



Figure 3. (a) Near-infrared spectral reflectance samples in "bamboo-dominated forest," (b) "open forest with bamboo" and (c) "secondary forest." Letters with asterisks indicate forest types with significantly different means based on *post-hoc* Student's t tests (p < 0.01 or \*\*).

282 We excluded from our analyses forest-fire fragments that coincided with areas 283 deforested before 2016 or that were smaller than 10 ha in area, and we inspected the Landsat 284 time series in this area from 1984 to 2003 in order to determine whether the fire scars were in 285 forest with presence of bamboo (B-). The selection of areas of forest fires with bamboo 286 expansion was done through a change in proportion of pixels with NIR values > 3500 in each 287 area of forest-fire scars, with images from 2003 and 2015 (NIR values extracted 10 and 5 288 years after the forest fires). Selected areas had the change in the NIR proportion greater than 289 20% when comparing before and after the two fire events. The cut-off threshold of 20% for 290 the NIR proportion was adopted as a conservative measure seeking to exclude spectral 291 responses of regeneration + sprouting of other pioneer species, and disturbances (either 292 natural or anthropogenic), such as selective logging, extreme droughts, blowdowns, and the 293 periodic mass die-offs of bamboo populations (Nelson et al., 1994; Numata et al., 2017; Silva 294 et al., 2018, 2020). We applied a statistical analysis of repeated measures using the non-295 parametric Friedman test.

To test the validity of this selection, we generated a 500-m buffer around the scars that were classified as having bamboo expansion and statistically compared the scars with the buffers using ANOVA and a Tukey post-hoc test. Through the combination of field data, forest-fire mapping and spectral analysis, we could express the extent of forest modification after fire.

#### 302 **3. Results**

301

#### 303 **3.1. Impact of fire on the density of trees and bamboo**

304 Based on the forest-inventory data, we identified the dominance of bamboo in all burned forests (forests burned only in 2005, forests burned only in 2010 and forests burned in 305 both years). We observed an increase of at least 7-fold in the number of bamboo stems  $ha^{-1}$  in 306 the burned forests, rising from an average of 667 ( $\pm$ 425) stems ha<sup>-1</sup> in the unburned forest to 307 5200 ( $\pm 1262$ ) stems ha<sup>-1</sup> in the burned forests (Figure 6a, Kruskal-Wallis test, p = 0.065). A 308 309 negative linear relationship was observed between number of bamboo stems and tree density 310 (Figure 4b). For the live-tree density, the mean values for the areas were statistically different from each other (Kruskal-Wallis test, p < 0.05), where unburned forests had a mean density of 311 611 ( $\pm$ 66) live trees ha<sup>-1</sup>, which was 50% lower in forests burned only in 2005 (307  $\pm$ 47 live 312

trees ha<sup>-1</sup>), 56% lower in forest burned only in 2010, 270 ( $\pm$ 93) live trees ha<sup>-1</sup>, and 74% lower in forest burned in both years, 157 ( $\pm$ 50) live trees ha<sup>-1</sup>.

In intact forests, 6% (±1) of the sampled trees were broken by bamboo, as compared to 18% (±4%) in forest burned only in 2005 (BF05), 11% (±6%) in forest burned only in 2010 (BF10) and 14% (±2%) in forest burned in both years (BF05-10) (Figure 4b). The broken

318 trees had 52% lower height (height =  $6.6 \pm 3.6$  m) than the unbroken trees (height =  $13.8 \pm 6.7$ 

- 319 m). Only 20% of broken trees were pioneers.
- 320



321Number of live trees ha<sup>-1</sup>DBH MigDBH MigDBH Mig322Figure 4. Effects of fire on the expansion of bamboo and the impact of fire on the forest. (a)323Negative relationship between the number of bamboo stems and the number of live trees. (b)324Percentage of broken trees due to the presence of bamboo and diameter at breast height of325broken trees (DBH Max = maximum DBH; DBH Avg = average DBH; DBH Min =326minimum DBH). UF = unburned forest, BF05 = forest burned only in 2005. BF10 = forest327burned only in 2010. BF05-10 = forest burned both in 2005 and 2010. Bars are means (±SD).328

329 The mean DBH of the bamboo stems for all areas was 4 ( $\pm 0.54$ ) cm, but the diameter 330 distributions differed among the areas (p < 0.05) (Figure 5). In the intact forest, the minimum 331 DBH of bamboo was 3 cm and the maximum was 5.4 cm, whereas in forests impacted by fire, 332 the minimum DBH was 1.1 cm and the maximum was 6.6 cm (DBH ranged from 1.9 cm to 333 6.0 cm for BF05; from 1.6 cm to 6.5 cm for BF10, and from 1.1 cm to 6.6 cm for BF05-10). 334 In the areas of burned forest we observed a wide range of the DBH values, indicating a young, 335 growing population. Moreover, in the forests burned twice (Figure 5d), the DBH distribution of bamboo was more skewed to the left than in the other treatments, indicating a high number 336 337 of bamboo stems with low DBH values.



338 339

Figure 5. Diameter distribution of bamboo stems in (a) unburned forest, (b) forest burned only 340 in 2005, (c) forest burned only in 2010 and (d) forest burned both in 2005 and 2010. Different 341 letters followed by \* are statistically different (Kolmogorov-Smirnov test, p < 0.05).

#### 343 3.2. Expansion of forests with dominant bamboo

344 There was a significant difference (p < 0.01) in the average reflectance values in the 345 NIR channel in the same year between the forest-fire scars with bamboo expansion and the 346 500-m buffers surrounding these scars (Figure 6). The NIR reflectance values in the areas of 347 recent forest fires (2005 and/or 2010) were 11-18% higher than those in the areas of forest 348 fires (Figure 6a and 6c), indicating the dominance of bamboo in the forest canopy. The 349 average NIR reflectance value before the fire (2003 image) was 2900  $\pm$ 359 in both forest-fire 350 scars with bamboo expansion and in their 500-m buffers. After the fire (2015 image), the 351 average NIR values were  $3532 \pm 637$  in the scars and  $3160 \pm 564$  in the buffers. There was an average increase by 48% in the proportion of NIR values > 3500 after forest fires, with a 352 353 maximum increase of 94% (Figure 6e). To validate these results, we analyzed the average NIR values from 1984 to 2003 for forest-fire scars and confirmed that the average NIR values 354 355 before forest fires ranged from 2584 to 3126 (Figure 6f), these values being below the NIR 356 reflectance values of the same scars after the forest fires.



1984 357 358 Figure 6. Boxplot of the near-infrared (NIR) reflectance images of forest-fire scars in (a) 2003 359 and (c) 2015 and in the 500-m buffer surrounding the scars in (b) 2003 and (d) 2015, (e) 360 change in the proportion of NIR values > 3500 in forest-fire scar polygons identified as 361 having expansion of bamboo and (f) annual variation of the average NIR values in the areas 362 where expansion of bamboo-dominated forests were identified. The statistical comparison was performed between the buffer and the scar in the same year because, due to the short life 363 364 cycle of the bamboo, the spectral response changes over time. Letters with asterisks indicate 365 forest classes with significantly different means based on *post-hoc* Student's t tests comparing 366 forest fire scar and buffer (p < 0.01 or \*\*). 367

Based upon the NIR reflectance analysis, we found that forest fires in 2005 and 2010 in Acre caused an expansion of bamboo areas (or bamboo-dominant forest areas) by 120,000 ha, transforming areas that was "open forest with presence of bamboo" into "bamboodominated forest" (Figure 7; Appendix Data1). The largest polygons of forest with expansion of bamboo are in the municipalities of Rio Branco, Xapuri and Sena Madureira. These areas of bamboo expansion can be identified on Google Earth images because of the contrast with surrounding vegetation (Figure 7).



Figure 7. Distinction between areas of forest fires with and without expansion of bamboo in
the state of Acre (a). High-resolution 2013 Google Earth image in two 2005 forest-fire
polygons in the northern portion of the Chico Mendes Extractive Reserve (b). High-resolution
Google Earth image before (September 2003) and after (May 2013) the forest-fire west of the
city of Rio Branco (c).

#### 382 **4. Discussion**

#### 383 **4.1. Change in the forest structure with bamboo after forest fires**

384 There have been profound changes in the forest structure in Acre following recent 385 forest fires, as shown by the drastic reduction of the number of tree individuals by up to 70% 386 and by the increase in the number of bamboo stems by a factor of seven as compared to 387 unburned forests based upon forest inventory data covering forest changes up to 11 years after 388 fire. The density of bamboo stems in the burned forests found in this study is greater than that 389 identified in non-degraded bamboo-dominated forest in three studies in the southwestern 390 Amazon: Silveira (2001) in the Chico Mendes Extractive Reserve in Acre, Smith and Nelson 391 (2011) in the municipality of Sena Madureira in Acre, and Griscom and Ashton (2006) in the 392 department of Madre de Dios in Peru.

393 Our study shows differences in the DBH distribution of bamboo stems between the 394 burned and unburned forests. Although there are few measures of bamboo diameters in forest 395 inventories, this is important information for understanding the structure of these forests 396 (Fadrique et al., 2020; Silva et al., 2020). After the fire, the bamboo launched new stems, but 397 with lower average DBH as compared to the unburned area. These thinner stems may be able 398 to increase in length more rapidly than thicker ones, thus speeding their climb to the canopy. 399 Even when we consider the studies by Silveira (2001) and by Smith and Nelson (2011), who 400 counted bamboo stems considering a minimum DBH of 2.5 cm, the bamboo-stem density 401 after forest fires were at least double. With the impact of fire, the range of DBH values (2.7 402 cm) of the bamboo stems increased, the average DBH being 2.4 cm in intact forest and 4.8 cm 403 in burned forests. The lower variation among the bamboo stems in the unburned forest shows 404 the maturity and stability of this population.

405 Bamboo expansion may have been favored by several factors. Bamboo grows rapidly 406 after the reduction of the arboreal component caused by the fire. Bona et al. (2020) showed 407 that bamboo-dominated forest has a smaller seed rain and lower species richness, and Dantas 408 et al. (2020) showed that the litter is thicker in bamboo-dominated forest, which can hinder 409 the regeneration and recovery of the forest trees. Another contributor is the fact that clonal 410 reproduction of bamboo is stimulated by fire, as observed by Smith and Nelson (2011) and 411 Gagnon and Platt (2008). Bamboo has a substantial impact on the forest because the genus 412 *Guadua* is a climbing (semi-scandent) bamboo that depends on adjacent trees for vertical 413 growth, causing breakage and other physical damage to the trees due to the weight of the 414 accumulated bamboo (Ferreira, 2014; Griscom and Ashton, 2003; Silveira, 2001). The 415 bamboo grows with extraordinary speed, with growth rates of 1 to 4 m month<sup>-1</sup> (Silveira, 2001). Our results show that trees with DBH up to 37 cm were broken by the climbing 416 417 bamboo.

418

#### 419 **4.2. Expansion of forests with dominant bamboo (bamboo-dominated forests?)**

420 Our results suggest that the impact of fire with sufficient intensity and magnitude on 421 "open forest with presence of bamboo" (B-) caused the classification of the studied areas to 422 change to "bamboo-dominated forest" (B+). A total of 120,000 ha of forests that had started 423 to have bamboo as their main floristic element were identified. A combined analysis of the 424 field plots with remote-sensing data for both the past and the present, indicates concrete 425 changes in the forest after forest fires. The change in the proportion of the NIR reflectance 426 values > 3500 was confirmed by testing for differences in the NIR values in the areas of forest 427 fires with expansion and in adjacent areas. The average values were generally similar to those 428 identified by Carvalho et al. (2013) (mean NIR = 3400) and Dalagnol et al. (2018) (mean NIR 429 = 3100); we emphasize that the difference in NIR values between MODIS and Landsat 430 images, in addition to the differences in the evaluation dates (which imply differences in the 431 maturity stages of the bamboo populations), can generate substantial differences in results.

The expansion of bamboo in forests affected by the recent fires corroborates the hypothesis that the B+ forest in southwestern Amazonia was formed in connection with ancient fires, as discussed by Keeley and Bond (1999), Nelson et al. (1994), and McMichael et al. (2013). If this evidence is correct, the 1,477,476-ha area of B+ mapped by Acre's Economic-Ecological Zoning (Acre, 2010) was impacted by ancient fires caused by mega-El Niño events, as discussed by Bush et al. (2008) and Meggers (1994). This could explain the spatial pattern of B+ and the large area occupied by these forests in Acre (Figure 7).

The impact of the expansion of bamboo in the southwestern Amazon may be even greater than our study finds. Brown et al. (2006) showed that in 2005 more than 100,000 ha of forest burned in the department of Pando in Bolivia and 10,000 ha in the department of Madre de Dios in Peru, both of which neighbor Acre. Vasconcelos et al. (2013) identified around 86,500 ha of forest fires in the southern portion of the Brazilian state of Amazonas, which also neighbors Acre. These are regions that Carvalho et al. (2013) identified as being B-.

445 Records of degradation by forest fire in the Amazon show strong impacts on the 446 floristic composition of forest (Barlow and Peres, 2008; Silva et al., 2020, Xaud et al., 2013). 447 Veldman et al. (2009) and Brando et al. (2014) reported another type of degradation effect: invasion of grasses within the forest after fire and logging. Silvério et al. (2013) argues that 448 449 profound changes may be occurring due to the invasion of flammable grasses after forest 450 fires, thus promoting subsequent fires. In our study, we found that bamboo dominance can 451 persist after forest fires. This implies persistent degradation over time, especially in an 452 environment characterized by climate change, intensification of land use and forest fires. 453 The expansion of bamboo forests due to forest fires in Acre is a concern because

454 future climate scenarios indicate an increase in the intensity and magnitude of forest fires

455 (Faria et al., 2017). Fu et al. (2013) found that the length of the dry season in the southwestern 456 Amazon had increased by two weeks since 1979. In addition to the climate aspect, future 457 human land use will also trigger forest fires in the region. In Acre, expansion of the 458 agricultural frontier is underway in the central portion of the state, and, with increased 459 deforestation and burning (INPE, 2020a, 2020b), this region can be expected to have its 460 remaining forests become dominated by bamboo. The combination of climate change and 461 land-use change will promote favorable environments for the occurrence of forest fires and 462 therefore for the expansion of bamboo forests in the southwestern Amazon (Ferreira et al., 463 2020).

464 The impact of increasing bamboo dominance in fire-degraded forests can have 465 important implications for forest recovery over time, especially when associated with other 466 degradation factors such as logging. The combination of bamboo dominance and forest fires reduces biomass stocks and the density and diversity of trees (Ferreira, 2014; Numata et al., 467 468 2017; Silva et al., 2020; Ziccardi et al., 2019), which can be permanent. However, because 469 bamboo is dynamic and has a short life cycle (28-30 years), we recommend further research, 470 such as continuous monitoring the forest-inventory plots established in this study, and 471 expanding the forest-monitoring network in other regions in Acre in order to understand forest 472 recovery and the changes in the densities of trees and bamboo. This is also needed in forests 473 in the Brazilian state of Amazonas and in the Peruvian department of Madre de Dios, which 474 were also impacted by forest fires in 2005 and 2010. Plots need to be established in forests 475 where the bamboo died after forest fires. The present study represents only one small step 476 towards understanding the complex interactions after forest fires and the resulting changes in 477 Amazonian forests.

478

### 479 **5. Conclusions**

Forest fires provoke important changes in the forest in the southwestern Amazon: expansion of bamboo-dominated forest (B+). As compared to unburned forest, in burned forest the proportion of pioneer species doubled; the number of live trees was reduced by 50% by the 2005 fire and by 74% by the 2010 fire. This change in the structure of the forest with the expansion of bamboo increased the number of broken trees by 2 to 3 times in relation to the unburned forest.

The impact of forest fires associated with changes in forest structure led to the conversion of "open forest with presence of bamboo" (B-) to "bamboo-dominated forest" (B+) in 120,000 ha, with individual affected areas of up to 5000 ha. This study reinforces the hypothesis that forests with dominant bamboo are related to forest fires. Forest fires have been recorded in Peru and Bolivia that may further increase the impact of the expansion of bamboo in the southwestern Amazon. This study may serve as a guide for further studies in neighboring countries to broaden understanding of bamboo expansion.

493 Future climate scenarios indicate more intense droughts, which increase the chances
494 of forest fires such as those of 2005 and 2010. This would increase the level of forest
495 degradation due to the association between bamboo and forest fires in the southwestern
496 Amazon.

497

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## **Supplementary Material**

## **Increasing bamboo dominance in southwestern Amazon forests following intensification of drought-mediated fires**

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Table S1. Data on the Landsat images used to analyze the expansion of bamboo in the areas offorest fires in the state of Acre2

1 Table S1. Data on the Landsat images used to analyze the expansion of bamboo in the areas of

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2	forest	fires	in	the	state	of Acre
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Landsat scene	2003	2015
001/067	14-Aug	30-jul
002/066	03-Jul	06-Aug
002/067	03-Jul	06-Aug
003/066	27-Jul	28-Jul
003/067	27-Jul	14-Sept

Figure S1. Photographs of flowering (a) and mortality (b) of bamboo in the study area 

(a) Photographs in August and November 2016





