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1	Biomass and Greenhouse-Gas Emissions from Land-Use Change in Brazil's
2	Amazonian "Arc of Deforestation": The States of Mato Grosso and Rondônia
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5	Philip Martin Fearnside <sup>1*</sup> , Ciro Abbud Righi <sup>2</sup> , Paulo Maurício Lima de Alencastro
6	Graça <sup>1</sup> , Edwin W.H. Keizer <sup>3</sup> , Carlos Clemente Cerri <sup>2</sup> , Euler Melo Nogueira <sup>1</sup> , Reinaldo
7	Imbrozio Barbosa <sup>1</sup>
8	
9	<sup>1</sup> Instituto Nacional de Pesquisas da Amazônia - INPA, Coordenação de Pesquisas em
10	Ecologia. Av. André Araújo, 2936, Petrópolis - C.P. 478, CEP 69060-000, Manaus,
11	Amazonas, Brazil.
12	
13	<sup>2</sup> Centro de Energia Nuclear na Agricultura, Universidade de São Paulo - CENA/USP,
14	Laboratório de Biogeoquímica Ambiental, Av. Centenário, 303, C.P. 96 CEP 13400-
15	970, Piracicaba-São Paulo, Brazil. Tel +55 (19) 3429-4600 – FAX +55 (19) 3429-4610
16	E-mail: carighi@yahoo.com, cerri@cena.usp.br
17	
18	<sup>3</sup> Greenpeace, Av. Joaquim Nabuco, 2367, CEP 69020-031, Manaus, Amazonas, Brazil.
19	Tel +55 (92) 3627-9000 E-mail: ekeizer@amazon.greenpeace.org
20	
21	* Corresponding author. Tel.: +55 92 3643 1822; fax: 55 92 3642 1828. E-mail address:
22	pmfearn@inpa.gov.br (P.M. Fearnside).
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#### 27 Abstract

28 We calculate greenhouse-gas emissions from land-use change in Mato Grosso 29 and Rondônia, two states that are responsible for more than half of the deforestation in 30 Brazilian Amazonia. In addition to deforestation (clearing of forest), we also estimate clearing rates and emissions for savannas (especially the cerrado, or central Brazilian 31 32 savanna), which have not been included in Brazil's monitoring of deforestation. The 33 rate of clearing of savannas was much more rapid in the 1980s and 1990s than in recent 34 years. Over the 2006-2007 period (one year)  $204 \times 10^3$  ha of forest and  $30 \times 10^3$  ha of 35 savanna were cleared in Mato Grosso, representing a gross loss of biomass carbon (above + belowground) of 66.0 and  $1.8 \times 10^{6}$  MgC, respectively. In the same year in 36 Rondônia,  $130 \times 10^3$  ha of forest was cleared, representing gross losses of biomass of 37 38  $40.4 \times 10^{6}$  MgC. Data on clearing of savanna in Rondônia are unavailable, but the rate 39 is believed to be small in the year in question. Net losses of carbon stock for Mato 40 Grosso forest, Mato Grosso savanna and Rondônia forest were 29.0, 0.5 and  $18.5 \times 10^6$ 41 MgC, respectively. Including soil carbon loss and the effects of trace-gas emissions 42 (using global warming potentials for CH<sub>4</sub> and N<sub>2</sub>O from the IPCC's 2007 Fourth 43 Assessment Report), the impact of these emission sources totaled 30.9, 0.6 and  $25.4 \times$ 44  $10^{6}$  Mg CO<sub>2</sub>-equivalent C, respectively. These impacts approximate the combined effect of logging and clearing because the forest biomasses used are based on surveys 45 conducted before many forests were exposed to logging. The total emission from Mato 46 Grosso and Rondônia of  $56.9 \times 10^6$  Mg CO<sub>2</sub>-equivalent C can be compared with 47 Brazil's annual emission of approximately  $80 \times 10^6$  MgC from fossil-fuel combustion. 48 49

50 Keywords: Amazon; Burning; Carbon; Cerrado; Deforestation; Global Warming;

51 Rainforest; Savanna; Tropical Forest

- 52 **1. Introduction**
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54 Forests and savannas in Brazilian Amazonia are rapidly being cleared for cattle 55 pastures and agriculture with serious impacts on biodiversity and greenhouse-gas emissions. Brazil is one of the most important countries both from the standpoint of 56 57 carbon emission associated with land-use change today and because the country's vast 58 areas of remaining tropical forest represent tremendous potential future emissions (e.g., 59 Fearnside, 2000a, b). Mato Grosso alone represents about half of the annual 60 deforestation in Brazil's 500-million hectare Legal Amazon region (Brazil, INPE, 61 2008). Mato Grosso and Rondônia (Figure 1) together constitute almost half of the "arc of deforestation" that extends around the southern edge of Amazonia from Pará and 62 63 Maranhão in the east to Acre in the west. Mato Grosso and Rondônia cover 114 million 64 hectares, an area larger than the US states of Texas and California combined or about 65 one-third the area of Western Europe. 66

#### [Figure 1 here]

69 Burning releases carbon dioxide  $(CO_2)$ , methane  $(CH_4)$  and nitrous oxide  $(N_2O)$ . 70 Decay releases  $CO_2$  and  $CH_4$ , while soils in native forest are sources and sinks of  $CH_4$ 71 and  $N_2O$  that are eliminated by clearing. Deforestation also creates sources of  $CH_4$  from 72 cattle and of  $N_2O$  from pasture soil.

Greenhouse-gas emission estimates are generally obtained by multiplying the
deforested area by the biomass per unit area. The result can then be transformed by
using the burning efficiency (burn factor) and the emission coefficient (emission factor)
for each gas per unit of carbon or biomass burned (Fearnside et al., 1999). This simple
calculation (area × biomass loading × burning efficiency) can lead to great uncertainties,
which tend to explode when the coefficients of variation of the individual terms exceed
0.3 (Robinson, 1989).

Estimates of global carbon emissions due to land-use change vary enormously,
with estimates for emissions in the 1990s ranging from +0.5 to +3.0 GtC yr<sup>-1</sup>
(Houghton, 2003a). About half of the variation in global estimates results from
uncertainty concerning emissions in tropical regions (Houghton, 2003b).

84 For the tropics, uncertainties in biomass estimates may contribute as much to the 85 disparate estimates of carbon emissions as do uncertainties in deforestation rates 86 (Houghton, 2005). Three recent estimates of carbon emissions from tropical 87 deforestation (Achard et al., 2002; DeFries et al., 2002; Houghton, 2003a) used nearly 88 identical data for carbon stocks and varied only in their rates of deforestation. 89 Uncertainty in estimates of carbon stocks in tropical forests (Houghton et al., 2001; Eva 90 et al., 2003; Fearnside and Laurance, 2003, 2004) make the range of possible emissions 91 of carbon from tropical deforestation and degradation very broad. However, recent 92 estimates of biomass and additional data make it possible to improve emissions 93 estimates for tropical forests, in this case for two key states in Brazil's "arc of 94 deforestation": Mato Grosso and Rondônia.

95 The Amazon forest is composed of a mosaic of vegetation types. These have 96 been mapped by RADAMBRASIL, a large-scale Brazilian government project for 97 identifying the natural resources in Amazonia and other regions of Brazil. We used 98 2702 of the approximately 3000 1-ha plots that were sampled in this forest inventory in 99 Brazilian Amazonia as a whole. The trees in each plot were measured by 100 RADAMBRASIL technicians. The locations of the plots were chosen to sample all 101 areas that appeared to differ on the project's side-looking airborne radar imagery.

102 Where plots were far from river or road access, chainsaw operators were first lowered 103 into the forest by rope from helicopters in order to clear a helicopter landing pad for the 104 technical team. All live trees were measured that were greater than one meter in 105 circumference at breast height (1.3 m above the ground), which is equivalent to 31.8 cm 106 diameter at breast height (DBH), Commercial height (to the first large branch) was 107 estimated by visual comparison with a tall pole held next to the tree. Most 108 identifications relied on matching scientific names with common names provided by 109 parabotanists, a practice that introduces considerable uncertainty at the species level 110 (see Fearnside, 1997a). Palms and non-tree components such as strangler figs were not 111 included. 112 The unparalleled coverage of the RADAMBRASIL dataset makes this the most

reliable basis for indirect estimates of biomass in the diverse vegetation types of
 Brazilian Amazonia (see review in Fearnside, 2008). Care is necessary in interpreting
 the RADAMBRASIL data and a series of adjustments must be applied (Fearnside,
 1994, 2000b; Nogueira et al., 2008).

The *cerrado* biome occupies an area of about  $2.0 \times 10^6$  km<sup>2</sup> and is the second 117 118 largest biome in Brazil (Sano et al., 2008). Only 0.85% of the cerrado is protected in 119 conservation areas (e.g., Parque Nacional da Chapada dos Veadeiros and Parque 120 Nacional das Emas) (PROBIO, 2007). Clearing data for the Brazilian cerrado are scarce 121 because *cerrado* clearing is not monitored by the government agency responsible for 122 evaluating deforestation in Brazil: Instituto Nacional de Pesquisas Espaciais (INPE). 123 Determination of the area cleared in *cerrado* only occurs when specific projects are 124 undertaken. No cerrado clearing data are available for Rondônia, where the original 125 vegetation included of  $2.3 \times 10^6$  ha of *cerrado*, or about 10% of the state.

Rondônia and Mato Grosso are prominent contributors to Amazonian
deforestation and greenhouse-gas emissions. The objective of the present study was to
estimate greenhouse-gas emissions due to land-use change (including both deforestation
and *cerrado* clearing) in these two states based on forest inventory data, forest and
savanna biomass and spatial deforestation maps in a geographical information system
(GIS).

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# 133 **2. Materials and methods**

135 Three kinds of maps were developed: 1. Vegetation cover before most of the 136 deforestation took place (pre-1976) and reconstruction of "original" (pre-1500) vegetation; 2. Biomass of the "original" vegetation, including both forest and non-forest 137 138 (cerrado) vegetation types, and 3. Cleared areas covering the 1976-2007 period. Maps 139 for 2006 and 2007 allow a one-year estimate to be made of biomass loss and emissions. 140 By superposing biomass and clearing maps it was possible to calculate greenhouse-gas 141 emissions from land-use change. In creating these maps the following aspects were 142 taken into consideration:

- 143
- 144 2.1. Original Vegetation Cover
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Mapping the original vegetation cover requires reconstruction of the vegetation
types that existed before the beginning of large-scale deforestation. Past alterations of
the vegetation by indigenous peoples who have inhabited these areas for millennia were
not considered. The pre-existing vegetation was characterized starting from mapping
carried out by the RADAMBRASIL Project (Brazil, Projeto RADAMBRASIL, 19731983). The phyto-ecological classes for the whole of Brazilian Amazonia were digitized

and made available to us by SIPAM (System of Protection of Amazonia), and a map was generated at a scale of 1:250,000. The RADAMBRASIL vegetation classification system follows the nomenclature of Veloso et al. (1991). Structural aspects of the vegetation in the classification include the dominant life form and the degree of canopy closure. The system also considers abiotic factors such as soil texture, seasonality of rainfall, altitude and the length of time under annual flooding. The boundaries of each phyto-ecological unit were drawn on radar images (band X) at a scale of 1:250,000.

159 In reconstructing the original vegetation cover, secondary information was used 160 from the RADAMBRASIL/SIPAM database for the class denominated as 161 "antropizado" (altered by human action), with and without past information. For the 162 areas altered by human action with past information, the original vegetation cover was 163 derived from attribute information in the database. For areas classed as "antropizado" 164 without past information, recovery of the original vegetation class was based on: (i) the 165 IBGE-RADAMBRASIL vegetation map at a scale of 1: 2,500,000 and (ii) the Mato 166 Grosso Secretariat of Planning vegetation maps at a scale of 1:250,000 (which are derived from the RADAMBRASIL maps) (Mello, 2007; SEPLAN-MT, 2009). We 167 used the SIPAM-digitized version of the IBGE -RADAMBRASIL map as updated 168 169 version 2006. An earlier (2004) version had coarser resolution (1:1,000,000 scale rather 170 than 1:250,000) and lacked attribute data on the original vegetation types before 171 alterations by human action.

Spatial data processing to produce the vegetation maps was performed using
ARCGIS version 9 software. Political boundaries are from Brazil, IBGE (2009). All
calculations on the vegetation and deforestation maps were done in raster format at 250m resolution using the Lambert conical equal-area projection with datum SAD 1969.

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# 2.2. Vegetation classes in the areas altered by human action

179 At the time of the RADAMBRASIL vegetation survey (considered to be 1976), 180 26% of the total area of the two states had already been altered. The altered areas were 181 either clear (primarily under cattle pasture) or under secondary regeneration. Only 5.4% 182 of the cases were not covered by information on the original vegetation type. Areas that 183 remained unclassified after integrating the three maps were classified manually using 184 information on the classes of neighboring polygons. The vegetation class of each 185 unclassified polygon was considered to be the most frequently occurring class around 186 the edges of the polygon. In most cases of areas altered by human action the 187 information on the sub-classes of savanna (forested, woodland, parkland or grassland) 188 and of forest (pioneer formations, open ombrophilous, dense ombrophilous, seasonal 189 deciduous or seasonal semideciduous) was derived from the description of the 190 vegetation unit in the database.

Abiotic attributes (elevation and soil type) in the RADAMBRASIL classification
were discarded. For ombrophilous dense submontane forest the "submontane"
descriptor was discarded; we believe that these attributes have little additional effect on
mean biomass. The resulting information from integration of the maps discussed above,
which resulted in the map of original vegetation cover, is based on the legend of the
IBGE vegetation map (Brazil, IBGE, 2007) with the exception of the information on
abiotic attributes.

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199 2.3. Original forest biomass

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- 201 2.3.1. Forest vegetation types

203 We estimated mean biomass for each vegetation type based on wood-volume 204 data by species and diameter class in 2702 1-ha plots distributed throughout Brazilian 205 Amazonia (Brazil, Projeto RADAMBRASIL, 1973-1983). RADAMBRASIL volumes 206 from Volume 8 onwards (including all volumes for Mato Grosso and Rondônia) contain 207 a green-covered report of approximately 600 pages describing the vegetation, soils and 208 other characteristics and a white-covered supplement of approximately 700 pages 209 containing tables of wood volumes for each plot, and a packet of six 1:1,000,000-scale 210 thematic maps. Each volume covers 4° of latitude by 6° of longitude (approximately 440 211 ×660 km, or 29 million ha). The inventory for each plot includes the names of the 212 species, the number of trees, the wood volume by species and diameter class, and a 213 description of the ecosystem. We calculated the average biomass of the plots in each 214 type to produce a map of biomass classes in Rondônia and Mato Grosso. Variance in 215 inventory plots was ignored and spatial homogeneity was assumed for the forest-type 216 distribution map obtained from RADAMBRASIL data.

217 The bole volume published in the RADAMBRASIL reports must be corrected for differences in form factor. This is the ratio of the volume of a bole (commercial 218 219 volume, meaning volume to the first large branch) to the volume of a cylinder with the 220 diameter of the DBH (diameter at breast height = diameter at 1.3 m above the ground) and the length of the commercial bole. The RADAMBRASIL values were calculated 221 222 using a value of 0.70 as the form factor for all forest types; these are corrected using the 223 values measured by Nogueira et al. (2008): 0.660 for open forest and 0.709 for dense 224 forest.

225 The bole volume estimate is adjusted to include the volumes of trees with 226 diameters between 10 cm and the 31.8-cm minimum limit in the RADAMBRASIL 227 dataset by multiplying by the Volume Expansion Factor (VEF) (e.g., Brown, 1997). The 228 VEF values used were those measured by Nogueira et al. (2008): 1.506 for open forest 229 and 1.537 for dense forest. The resulting bole volume must then be adjusted for the 230 crown biomass by multiplying by the Biomass Expansion Factor (BEF) (e.g., Brown, 231 1997). The BEF values used were those measured by Nogueira et al. (2008): 1.580 for 232 open forest and 1.635 for dense forest.

233 Biomass of trees < 10 cm DBH was considered to be 6.5% in dense forest (de 234 Castilho et al., 2006), while a value of 4% was used for non-dense forest for all trees 1-235 10 cm DBH since the number of young or sub-canopy trees ( $102.5 \pm 24.5$  trees/ha 5-10 236 cm DBH: Pereira et al., 2005) is lower than in dense forest (715 trees/ha: de Castilho et 237 al., 2006). In order to include palms, 1.9% was added to biomass in dense forests and 238 8.6% in non-dense forests; an additional 3.1% was added to biomass for vines for both 239 dense and non-dense (open) forests, while 13.7% was included for dead aboveground 240 biomass in both groups of forests (Nogueira et al., 2008). A correction of 0.21% was 241 added for non-tree forest components (see Fearnside, 1997b, 2000a,b).

The bole volume data calculated as described above were converted to bole biomass based on a large dataset on wood density that includes data published by Fearnside (1997a) with some sources corrected for radial variation based on linear equations (Nogueira et al., 2005), other sources by Chave et al. (2006) and recent data by Nogueira et al. (2007). Belowground biomasses in forest vegetation types are from Nogueira et al. (2008).

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249 2.3.2. Non-forest vegetation types

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Above- and belowground biomass for savannas in Mato Grosso and Rondônia was calculated from a georeferenced database of the nine studies that measured this parameter using both direct (destructive) and indirect methods in both the Amazonian biome and the *cerrado* biome. This was necessary because only a very few studies of savanna biomass have been done in Mato Grosso, and none have been done in Rondônia.

257 Indirect measurements for calculating aboveground biomass have only been 258 done by Ottmar et al. (2001), who used a methodology based on stereo-photographs to 259 estimate biomass at a series of locations in the cerrado biome in Goiás, Mato Grosso, 260 Distrito Federal and Minas Gerais. Only the studies of Abdala et al. (1998) and Castro 261 and Kauffman (1998), both done in the Distrito Federal near Brasília, quantified 262 belowground biomass (coarse roots), relating this measure to the corresponding 263 aboveground biomass. Other studies quantified aboveground biomass in the cerrado 264 biome, such as the studies by Kauffman et al. (1994) and Miranda et al. (1996) near 265 Brasília; and in savanna in the Amazonian biome, such as Barbosa (2001) and Barbosa 266 and Fearnside (2005) in Roraima, in addition to Araújo et al. (2001) and Santos et al. 267 (2002), in Roraima and Mato Grosso. The nine studies totaled 117 sample plots in 84 268 locations.

In order to standardize the procedure throughout the calculation, all of the vegetation types defined by each of the authors were translated into the vegetation classification system adopted by Brazil, IBGE (1992). For example, vegetation defined as "clean field" (*campo limpo*) and "dirty field" (*campo sujo*) were lumped as "grassland savanna" (Sg), while "tall woodland" (*cerradão*) was redefined as the "forested savanna" (Sd) of Brazil, IBGE (1992). All results were assigned to IBGE categories and mean values for aboveground and belowground biomass were calculated (Table 1).

[Table 1 here]

279 The studies of Abdala et al (1998) and Castro and Kauffman (1998) were used 280 for determining of the mean root:shoot ratio for application in all savanna vegetation 281 types that did not have sampling of underground biomass. An overall mean of 2.81, was 282 used, disregarding discrepancies between the vegetation types and the depths sampled 283 in the two studies mentioned above. This ratio was applied to each of the studies that 284 only had data for aboveground biomass in order to obtain the total biomass in each of 285 the vegetation types. The mean of each vegetation type was then calculated individually, 286 containing all of the values obtained for each state and type of measurement; this result 287 was considered to be an overall mean for the *cerrado* vegetation types in Mato Grosso 288 and Rondônia (Table 2).

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#### [Table 2 here]

292 2.4. Cleared Areas

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# 294 2.4.1. Clearing of forest vegetation types295

The vegetation types are divided into two great groups: (a) forests, or all vegetation types with over 90% canopy cover (ombrophilous forest, deciduous and semideciduous forests, forested savanna, etc.) and (b) non-forest, considered to be all forms of open vegetation with canopy cover less than 50% (grassland, parkland and open woodland savannas). The "*cerradões*" (forested savannas) have canopy cover in the 50-90% range and are counted as forest by the PRODES (Monitoring of the
 Brazilian Amazon Forest by Satellite) program of the National Institute of Space

303 Research (INPE) in quantifying deforestation throughout Brazilian Amazonia.

304 Areas of deforestation in the forest vegetation types were obtained from data 305 recently made publicly available through the PRODES internet system 306 (http://www.obt.inpe.br/prodes/index.html). This database has been updated regularly 307 since 1988, producing annual estimates of the rates of deforestation in Brazil's Legal 308 Amazon region. Automatic digital classification of images began in 2002, which 309 increased the precision of geo-referencing the deforested polygons, producing a multi-310 temporal geographical database. The annual deforestation estimates were obtained 311 starting from the increments in areas identified in each image for the first of August of 312 the reference year (Brazil, INPE, 2008).

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### 314 2.4.2. Clearing of non-forest vegetation types

*Cerrado* clearing data up to 2002 were provided by EMBRAPA-Cerrados, the
PROBIO Project (PROBIO, 2007) and Laboratory for Image Processing and
Geoprocessing (LAPIG) at the Federal University of Goiás (UFG), which updated the
data through 2007 (LAPIG, 2008). Maps of cumulative clearing were generated and the
2006-2007 clearing rate was calculated for the *cerrado* biome in Mato Grosso.

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# 322 2.5. Greenhouse-gas emission calculation procedure

Calculation of greenhouse-gas emissions converts wood biomass (dry weight) to carbon considering a wood carbon content of 48% (da Silva, 2007). Burning efficiency for the initial burn in forest is 39.4% and charcoal formation is 2.2% (Fearnside, 2003, p. 51 based on: Fearnside et al., 1993, 1999, 2001; Carvalho et al., 1995; Kauffman et al., 1995; Araújo et al., 1999; Graça et al., 1999).

329 Studies of the fate of carbon in Amazonian forests subsequent to the initial burn 330 (Barbosa and Fearnside, 1996, updated with burning efficiencies from Fearnside et al., 331 2007) indicate that 80.5% of the remains of the initial burn is oxidized through decay 332 and 18.6% through burning; remains burned over the course of a decade in a typical 333 three-burn sequence have an efficiency per burn of 21.6% with 1% charcoal formation 334 per burn. These values imply an overall burning release 50.8% for forest. For cerrado 335 (open woodland and forested savanna), based on results from a semi-deciduous forest burn in Mato Grosso (Righi et al., in prep.), burning efficiency of the initial burn is 336 337 65.0% and the overall burning efficiency is 70.7%. Overall charcoal formation is 2.9% 338 for forest and 6.7% for cerrado. Overall decay release is 47.0% for forest and 23.3% for 339 cerrado. Overall graphitic particulate release is 0.17% of preburn C for both forest and 340 cerrado (Fearnside, 2003, p. 50 based on Kuhlbusch and Crutzen, 1995). Emission 341 factors per Mg C burned are 0.0136 Mg CH<sub>4</sub>, 0.0004 Mg N<sub>2</sub>O, and 3.53 Mg CO<sub>2</sub> (from 342 Andreae and Merlet, 2001). Emission factors for decay are from Martius et al. (1996): 343 each Mg C decayed releases 0.00012 Mg CH<sub>4</sub> and 3.67 Mg CO<sub>2</sub>.

The calculation uses the replacement landscape derived by Fearnside (1996), which has an equilibrium carbon stock in biomass of 12.8 MgC ha<sup>-1</sup>. Soil carbon loss to 8-m depth causes emissions of 14.85 Mg CO<sub>2</sub>/ha of landscape/100 years (Fearnside and Barbosa, 1998). Emissions from cattle (0.723 Mg CH<sub>4</sub>/ha of landscape/100 years), recurrent pasture burning (0.051 Mg CH<sub>4</sub> and 0.0032 Mg N<sub>2</sub>O/ha of landscape/100 years), and loss of forest sinks and sources (0.0001 Mg CH<sub>4</sub>/ha of landscape/100 years) are from Fearnside (2000a,b). Loss of forest termites represents -0.0208 Mg CH<sub>4</sub>/ha of Trace-gas emissions are converted to  $CO_2$  equivalents using the 100-year global warming potentials of  $CH_4$  and  $N_2O$  from the IPCC's Fourth Assessment Report: one Mg of  $CH_4$  gas has an impact on global warming equivalent to 25 Mg of  $CO_2$ , while one Mg of  $N_2O$  is equivalent to 298 Mg of  $CO_2$  (Forster et al., 2007, p. 212). Emissions in CO<sub>2</sub> equivalents are converted to  $CO_2$  carbon equivalents by multiplying by 12 (the atomic weight of carbon) and dividing by 44 (the molecular weight of  $CO_2$ ).

- 361362 **3. Results cumulative**
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# 3.1. Original stocks and cumulative loss of biomass through 2007

The areas originally covered by forests and *cerrados* in Mato Grosso and Rondônia are shown in Figure 2. The original areas and the areas remaining in 2007 of each vegetation type are given in Table 3. Cumulative forest loss in Rondônia totaled  $7.8 \times 10^6$  ha or about 33% of the state by 2007. The biomass map is given in Figure 3.

[Figures 2 and 3 and Table 3 here]

Forests contained most of the biomass in both states, representing 97.5% ( $6.6 \times 10^9$  Mg) of the original biomass in Rondônia and 89% ( $17.5 \times 10^9$  Mg) in Mato Grosso. Clearing of forest was responsible for most biomass losses. In Mato Grosso, forest loss was responsible for 90% of the cumulative total biomass loss. We do not have *cerrado* clearing data for Rondônia, but the percentage contribution of forest clearing would be even higher in Rondônia than in Mato Grosso because the original vegetation of Rondônia was almost completely dominated by high forests.

380 Mato Grosso has lost 32.2% of its original biomass. Seasonal semi-deciduous 381 forest (Fa+Fb+Fs), which is both the most common and the most deforested vegetation type in Mato Grosso, had the largest total biomass ( $6.7 \times 10^9$  Mg) and a loss of  $1.8 \times$ 382 383  $10^9$  Mg. The representation of these forests in the state's loss of biomass is slightly 384 lower than the corresponding percentage in area cleared because a small part of the 385 biomass loss is offset by higher-biomass forest types such as open and dense 386 ombrophilous forests (Aa+Ab+As+Da+Db). The percentages calculated for area were 387 roughly similar to those calculated for biomass for all forest types due to their relatively 388 small variation in biomass.

389 Open and dense ombrophilous forests were well represented, with original 390 biomass stocks totaling 4.6 and  $2.2 \times 10^9$  Mg, respectively. Open ombrophilous forest (Aa+Ab+As) lost  $1.5 \times 10^9$  Mg, or almost 27% of its original biomass stock, while 391 dense ombrophilous forest (Da+Db) lost  $0.35 \times 10^9$  Mg. The ombrophilous forest --392 seasonal forest contact (ON) is in third position for biomass loss, with  $1.0 \times 10^9$  Mg lost 393 394 and only  $0.1 \times 10^9$  Mg remaining in 2007. This vegetation type originally represented only 5.7% of the original biomass in Mato Grosso but it represented 16% of the total 395 396 biomass loss in 2006-2007. The seasonal forest -- cerrado contact (SN) area has lost 0.8  $\times 10^9$  Mg of its original  $1.9 \times 10^9$  Mg (41% of its original biomass) and was responsible 397 398 for 14% of the total forest biomass loss. The other forest losses were less significant. 399 In Rondônia, open ombrophilous forest (Aa+Ab+As) represented 76% of the

400 original biomass ( $5.2 \times 10^9$  Mg) and accounted for the largest biomass loss:  $1.7 \times 10^9$ 

401 Mg, or 73% of the total. The seasonal semi-deciduous forest (Fa+Fb+Fs) has lost  $0.18 \times$ 402  $10^9$  Mg (from its original biomass of  $0.44 \times 10^9$  Mg) while dense ombrophilous forests 403 (Da+Db) has lost  $0.19 \times 10^9$  Mg and ombrophilous forest -- *cerrado* contact (SO) has 404 lost  $1.75 \times 10^9$  Mg. These vegetation types originally accounted for 6.4, 8.0 and 5% of 405 Rondônia's biomass stock. In total, Rondônia has lost 35% of its original biomass. 406 407 3.2. Annual emissions for 2006-2007 408 409 Figure 4 maps clearing of forests based on data from PRODES and clearing of 410 cerrado based on data from LAPIG. Losses of area and biomass of vegetation are 411 summarized in Table 4. Net losses of carbon and emissions of greenhouse gases are 412 summarized in Table 5. 413 414 [Figure 4 and Tables 4 & 5 here] 415 Net emissions for 2006-2007 total  $56.9 \times 10^6$  Mg CO<sub>2</sub>-equivalent C/year. Of 416 this,  $3.9 \times 10^{6}$  Mg CO<sub>2</sub>-equivalent C represents trace-gas impacts. The remaining 53.1 × 417 418  $10^{6}$  Mg CO<sub>2</sub>-equivalent C of net emission that is in the form of CO<sub>2</sub> is the gross emission of  $57.8 \times 10^6$  Mg C ( $55.5 \times 10^6$  Mg C from forest biomass +  $0.8 \times 10^6$  Mg C 419

420 from *cerrado* biomass  $+ 1.5 \times 10^{6}$  Mg C from soil carbon) minus  $4.7 \times 10^{6}$  Mg C from 421 the annual increase in carbon stock from expansion of the replacement landscape.

#### 423 **4. Discussion**

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425 Estimation of biomass directly from remote sensing data has long been a goal of 426 research in Amazonia, but has so far proved illusive as a tool for large-scale estimates. 427 Progress has been made at the level of experimental plots (e.g., Santos et al., 2003). 428 Another approach is to use remote-sensing information to estimate biomass by 429 associating a variety of parameters detected from space with the biomass measured at a 430 series of reference points on the ground. This has been done by Saatchi et al. (2007) 431 using 1-km resolution satellite-borne radar data, from which a number of characters 432 were extracted and associated with published or otherwise available data from plots 433 surveyed since 1990. The characters in the satellite data (such as measures of crown 434 humidity and surface roughness) are indicators of forest appearance, rather than measures directly linked to biomass (such as tree height or basal area). The estimate was 435 436 based on 280 plots in primary forests (approximately half of which were in Brazil) The 437 older, but much larger, data sets from the RADAMBRASIL were not used for 438 calibrating the satellite-borne radar results, nor were the vegetation maps that the 439 RADAMBRASIL project derived from high-resolution airborne radar coupled with 440 extensive field observations.

441 A number of estimates of Amazonian biomass dispense entirely with spatial 442 information from either satellite imagery or vegetation maps and derive values either as 443 simple averages of point measurements or by interpolating between the locations of 444 sampled plots. This throws out the tremendous amount of labor that the 445 RADAMBRASIL teams invested in classifying and mapping the vegetation. One 446 estimate (Achard et al., 2002) was based on a mean of two values, one of which Brown 447 (1997, p. 24) was for a single plot located in the Tapajós National Forest in Pará (FAO, 448 1978) and made no claim to represent the whole of Amazonia (see Fearnside and 449 Laurance, 2004). Malhi et al. (2006) interpolated (followed by adjustments for the 450 effects of various environmental variables) based on 226 plots of which 81 were in

451 Brazil, these being heavily clustered in the Manaus, Belém and Santarém areas. 452 Houghton et al. (2000) derived an estimate interpolated from 56 plots, while Houghton 453 et al. (2001) produced an estimate interpolated from 44 plots, of which only 25 were in 454 Brazilian terra firme (upland) forests; these authors then averaged the resulting 192 455 MgC/ha value with six other regional estimates to produce the 177 MgC/ha average 456 biomass carbon stock used by Ramankutty et al. (2007, p. 64) in calculating emissions. 457 The large uncertainty inherent in these measures also applies to studies that have based 458 calculations on the average value derived by Houghton et al. (2000), such as Soares-459 Filho et al. (2004, 2006) and DeFries et al. (2002). An additional factor adding 460 uncertainty to interpolation from the small number of samples used in the estimates by 461 Houghton and coworkers is the effect of a pronounced clustering of sample locations, 462 with the samples heavily concentrated along rivers and roads. The concentration of 463 samples near rivers means that riparian vegetation is proportionately more heavily 464 sampled than the upland interfluves between the rivers. Simply converting the 465 RADAMBRASIL volume estimates to biomass and interpolating between the locations 466 will therefore over-emphasize the lower biomass riparian vegetation types and will tend 467 to underestimate average biomass in the region (i.e., the "RADAMBRASIL" estimates 468 in Houghton et al., 2001).

469 The 250×250-m picture element (pixel) dimension of the MODIS satellite 470 imagery on which the PROBIO (2007) and LAPIG (2008) data we used for cerrado 471 clearing are based limits the resolution of the study. The forest clearing data from 472 PRODES, which are gathered by satellites with 30-m resolution (ETM+ and Landsat) 473 and reported at 60-m resolution, were degraded to the same resolution (250 m). Future 474 analyses with higher-resolution data could achieve greater accuracy. In Rondônia, *cerrado* vegetation types contained only  $0.16 \times 10^9$  Mg or 2.44% of the total original 475 476 biomass. Absence of data on cerrado clearing in Rondônia will therefore cause only a 477 small bias in biomass loss for this state.

478 The forest volume data from RADAMBRASIL used in this study are the 479 published values of bole volume by species and size class in 2702 1-ha plots, not the 480 unpublished measurements of approximately 145,000 individual trees. The dataset of 481 individual tree measurements contains numerous errors and inconsistencies (see 482 Fearnside, 2008). This dataset is being culled and corrected by a task force from IBGE, 483 which estimates that correcting the dataset will take six years (2009-2015). Once usable 484 tree-level data are available, greater accuracy in the forest biomass estimates can be 485 achieved by direct application of the allometric equations and corrections developed by 486 Nogueira et al. (2006, 2007, 2008).

487 The values for forest biomasses used here are based on forest volume surveys 488 carried out in the 1970s, before many of the forest areas were exposed to biomass 489 depletion through logging. Care must be taken to neither omit nor double count the 490 logging emission in estimates of the global-warming impact of land-use change. If 491 biomass estimates are adjusted downward to reflect the effect of logging, then the 492 logging emission must be calculated and reported separately, while if logging emissions 493 are reported then the "original" or "undisturbed" forest biomass cannot be used for 494 computing deforestation emission because it would represent double counting (see 495 Fearnside, 1997b, 2000b). The land-use change emissions reported here approximate 496 the combined effect of logging and subsequent clearing in the area that was cleared in 497 2006-2007. As a point of comparison, forests cleared in 1990 throughout the Legal 498 Amazon had had their average total biomasses reduced by an estimated 12.3% as a 499 result of logging, based on logging parameters in Fearnside (1995). The forests cleared 500 in Mato Grosso and Rondônia in 2006-2007 would have had their average biomasses

501 reduced by more than this percentage, with a correspondingly greater proportion of the 502 emission occurring through the logging rather than the clearing pathway.

503 Deforestation rates have varied substantially over the past three decades, and 504 differences in the year or years to which different estimates of emissions refer often 505 explains the widely differing results that have been put forward for Brazil's Amazonian 506 emissions. In Mato Grosso the rate of cerrado clearing was much higher in the past and is believed to have been approximately  $13 \times 10^3$  km<sup>2</sup>/year in the late 1980s (Fearnside, 507 1990). Rapid clearing in the 1990s and early 2000s was driven by soy expansion 508 509 (Fearnside, 2001), while the lower rate in the 2006-2007 period of the present study was 510 affected by price drops of both soy and beef (McAlpine et al., 2009).

511

# 512 **5. Conclusions**

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514 Clearing of forests and savannas (cerrado) in Brazilian Amazonia releases large 515 amounts of greenhouse gases. Uncertainty regarding the magnitude of emissions stems 516 both from poor quantification of the areas cleared in each vegetation type and from the 517 paucity of data on the biomass of different vegetation types, especially in the "arc of 518 deforestation" that includes the states of Rondônia and Mato Grosso. Overlaying 519 vegetation maps with satellite data for clearing of savanna and forest in these two states shows that over the 2006-2007 period (one year)  $204 \times 10^3$  ha of forest and  $30 \times 10^3$  ha 520 of savanna were cleared in Mato Grosso, representing gross losses of biomass carbon 521 (above + belowground) of  $66.0 \times 10^6$  MgC for forest and  $1.8 \times 10^6$  MgC for *cerrado*. In 522 the same year in Rondônia,  $130 \times 10^3$  ha of forest were cleared, representing a gross 523 loss of biomass of  $40.4 \times 10^6$  MgC. Net losses of carbon stocks for Mato Grosso forest, 524 525 Mato Grosso *cerrado* and Rondônia forest were 29.0, 0.5 and  $18.5 \times 10^{6}$  MgC, 526 respectively. Including the effects of trace-gas emissions (using global warming potentials for CH<sub>4</sub> and N<sub>2</sub>O from the IPCC's 2007 Fourth Assessment Report) and loss 527 528 of soil carbon, the impact of clearing in these vegetation groups totaled 30.9, 0.6 and 529  $25.4 \times 10^{6}$  Mg CO<sub>2</sub>-equivalent C, respectively. These emissions represent the 530 approximate combined effect of clearing and logging in the area that was cleared in 531 2006-2007, since the biomass estimates represent the vegetation before significant 532 depletion from logging. The total emission from Mato Grosso and Rondônia in the year of the estimate (2006-2007) was  $56.9 \times 10^6$  Mg CO<sub>2</sub>-equivalent C. Clearing of forests in 533 Mato Grosso and Rondônia in 2006-2007 was only about half the rate in 2004, and the 534 535 decrease in the rate of *cerrado* clearing (for which no 2004 data exist) was probably 536 proportionately even greater. The large amounts of emissions indicate a substantial 537 potential for mitigating global warming by avoiding further loss of natural vegetation 538 here and throughout Amazonia. 539

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# 815 Figure Legends

- 816
- 817 Figure 1. Map with the boundaries Mato Grosso and Rondônia, Brazil
- 818
- 819 Figure 2. Original vegetation of Mato Grosso and Rondônia.
- 820
- Figure 3. Total biomass (above- and belowground) of original vegetation in Mato
- 822 Grosso and Rondônia. Forest vegetation types are shown in the green scale and non-
- 823 forest types in the red scale.
- 824
- 825 Figure 4. Vegetation cleared by 2007 in the states of Mato Grosso and Rondônia.

Type of Measure- ment	State (*)	IBGE classification (**)	Grassy- Woody biomass	Biomass in trees and bushes	Belowground biomass	Abovegound biomass	Total biomass	Source
Direct	DF	Sa	10.77	26.31	41.10	37.08	78.18	Abdala et al. 1998 (to 6.2 m depth) Castro and Kauffman
		Sa	10.30	14.50	46.60	24.80	71.40	1998 (to 2 m depth)
		Sd	7.00	18.00	52.90	25.00	77.90	
		Sg	6.55	0.85	23.20	7.40	30.60	
		Sa	9.33	1.58				Kauffman et al., 1994
		Sg	7.23					
		-						Miranda et al., 1996; H. Miranda, unpublished report; Brazil, MCT,
		Sa	9.43					2002)
		Sd	7.64					
		Sg	7.18					
	MT	Sa				14.11		Araujo et al., 2001; Santos et al., 2002
		Sd				72.30		
		Sp				7.65		
	DD	Sa	2.12	0.60		11.72		Barbosa, 2001; Barbosa
	КК	Sa	2.15	9.00		3.26		and Feathslue, 2005
		Sp	4.18	4.07		8 25		
		Зр Та	2.13	4.07 8.90		11.04		
		Τø	1.90	0.53		2.43		
		Тр	3.72	2.67		6.39		
		Sg				4.77		Santos et al., 2002
		Sp				7.07		
Indirect	DF	Sa	7.58	29.85		37.43		Ottmar et al., 2001
		Sd	5.23	45.98		51.21		
		Sg	6.72	2.64		9.36		
		Sp	7.47	10.83		18.29		
	GO	Sg	8.94	2.19		11.13		Ottmar et al., 2001
		Sp	7.00	10.48		17.47		
	MG	Sa	3.40	21.82		25.22		Ottmar et al., 2001
		Sg	8.45	1.95		10.40		
		Sp	5.03	20.58		25.61		
	MT	Sa	6.79	40.99		47.78		Ottmar et al., 2001

Table 1 - Studies for quantification of biomass (above- and belowground) in savannas obtained by direct and indirect measures in the *cerrado* and Amazonian biomes

(\*) States: DF=Federal District (Brasília), MT=Mato Grosso; RR=Roraima; GO=Goiás; MG=Minas Gerais.

(\*\*) See Table 2 for IBGE codes. Td (Forested [or dense woodland] steppe-like savanna) was not measured in any of the studies.

# Table 2. Mean total biomass used for vegetation types in Mato Grosso and Rondônia

IBGE	English description	Portuguese description	Aboveground	Belowground	Total biomass	Source
code			biomass	biomass	(Mg ha <sup>-1</sup> )	
			(Mg ha <sup>-1</sup> )	(Mg ha <sup>-1</sup> )		
FORES	T VEGETATION TYPES					
Aa	Open alluvial rain forest	Ombrófila aberta aluvial	298.4	59.4	357.8	Nogueira (2008)
Ab	Open lowland rain forest	Ombrófila aberta de terras baixas	303.1	60.3	363.4	Nogueira et al. (2008)
As	Open submontane rain forest	Ombrófila aberta submontana	280.2	55.8	336.0	Nogueira et al. (2008)
Cs	Seasonal deciduous submontane	Sazonal decídua submontana	241.9	48.2	290.1	Nogueira (2008)
Da	Dense alluvial rain forest	Ombrófila densa aluvial	299.3	61.5	360.8	Nogueira et al. (2008)
Db	Dense lowland rain forest	Ombrófila densa de terras baixas	318.9	65.6	384.5	Nogueira et al. (2008)
Dm	Dense montane rain forest	Ombrófila densa montana	299.7	61.6	361.3	Nogueira et al. (2008)
Ds	Dense submontane rain forest	Ombrófila densa sub-montana	319.6	65.7	385.3	Nogueira et al. (2008)
Fa	Seasonal semideciduous alluvial	Aluvial sazonal semidecídua	236.4	47.0	283.4	Nogueira (2008)
Fb	Seasonal semideciduous in	Sazonal semidecídua em terras	258.0	51.3	309.3	Nogueira et al. (2008)

	lowland areas	baixas				
Fs	Seasonal semideciduous	Sazonal semidecídua submontana	263.3	52.4	315.7	Nogueira et al. (2008)
	submontane					
LO	Contact woody oligotrophic	Contato campina / floresta	320.8	63.8	384.6	Nogueira et al. (2008)
	vegetation of swampy & sandy	ombrófila				
	areas /rain forest					
ON	Contact rain forest/seasonal	Contato floresta	259.1	51.5	310.6	Nogueira et al. (2008)
	forest	ombrófila/floresta sazonal				
SN	Contact savanna / seasonal forest	Contato savanna/floresta sazonal	252.4	50.3	302.7	Nogueira et al. (2008)
SO	Contact savanna / rain forest	Contato savana/floresta	262.1	52.2	314.3	Nogueira et al. (2008)
		ombrófila				
NON-F	FOREST VEGETATION TYPES					
Sd	Forested (or dense woodland)	Savana florestada	51.21	92.61	143.82	This study
	savanna					
Sa	Open woodland savanna	Savana arborizada	28.20	40.21	68.42	This study
Sp	Parkland savanna	Savana parque	14.67	26.53	41.20	This study

Sg	Grassland savanna	Savana gramineo-lenhosa	7.96	18.76	26.71	This study
Td	Forested (or dense woodland)	Savana estépica florestada	19.87	40.33	60.20	Derived from Graça (1997)
	steppe-like savanna					
Та	Open woodland steppe-like	Savana estépica arborizada	11.04	19.96	30.99	This study
	savanna					
Тр	Parkland steppe-like savanna	Savana estépica parque	6.39	11.55	17.94	This study
Tg	Grassland steppe-like savanna	Savana estépica gramineo-lenhosa	2.84	5.13	7.97	This study

## Table 3. Original areas and clearing by vegetation type

Category	IBGE code	Description	Mato Gro Original area (10 <sup>6</sup> ha)	sso % of state	Area cleared by 2007 (10 <sup>6</sup> ha)	% of original area lost	Rondônia Original area (10 <sup>6</sup> ha)	% of state	Area cleared by 2007(10 <sup>6</sup> ha)	% of original area lost
Forest										
	F (Fa+Fb+Fs)	Seasonal semi-deciduous forest	22.1	24.5	5.9	26.6	1.4	6.0	0.6	42.3
	A (Aa+Ab+As)	Open ombrophilious forest	13.2	14.7	4.2	31.5	16.7	70.4	5.7	33.9
	D (Da+Db)	Dense ombrophilous forest	5.9	6.5	0.9	1.7	1.8	7.5	0.6	35.1
	SN	Seasonal forest-cerrado contact	6.4	7.1	2.6	41.1	0.12	0.05	0.05	44.0
	SO	Ombrophilous forest- cerrado contact	1.6	1.8	0.6	39.1	1.1	4.6	0.6	51.6
	ON	Ombrophilous forest- seasonal forest contact	3.6	4.0	3.3	90.4	0.31	1.3	0.28	91.7
	C (Cs)	Seasonal deciduous forest	1.3	1.4	0.6	42.4	0.01	0.04	0.005	58.1
	LO	Contact ombrophilous forest- <i>campinarana</i>	0.001	0.001	0.00004	6.0				
	TN	Contact seasonal forest – steppe-like savanna	0.057	0.06	0.004	0.07				
Non-forest		11								
	Sd	Forested (or dense woodland) savanna	1.7	1.9	0.2	12.0	0.46	1.93	No data	No data
	Sa	Open woodland savanna	20.9	23.2	6.4	30.6	0.55	2.31	0.0002*	0.0349*
	Sp	Parkland savanna	10.3	11.4	3.0	29.1	0.35	1.49	No data	No data
	Sg	Grassland savanna	0.5	0.6	0.01	2.0	0.03	0.12	No data	No data
	Tđ	Forested (or dense woodland) steppe-like savanna	0.24	0.27	0	0				
	Та	Open woodland steppe-like savanna	0.17	0.19	0	0				
	Тр	Parkland steppe-like savanna	0.02	0.02	0	0				

Table 3. Original areas and clearing by vegetation type

Tg	Grassland steppe-like	0.39	0.43	0	0				
	savanna								
Pa	Alluvial pioneer formations	1.2	1.4	0.001	0.08	0.69	2.91	No data	No data
	Others	0.11	0.11	0.0001	0.1	0.02	0.079	No data	No data

\* Clearing data for Sa in Rondônia for 2002 only (from PROBIO, 2007). Savanna clearing data for Rondônia are not available from LAPIG (2008) because IBGE defines the state as outside of the *cerrado* biome.

Category	Number of vegetation types	Original area (10 <sup>3</sup> km <sup>2</sup> )	Area in 2006	Area in 2007	Loss rate 2006- 2007 (10 <sup>3</sup> km <sup>2</sup> year <sup>-1</sup> )	Aboveground biomass (Mg ha <sup>-1</sup> )	Belowground biomass (Mg ha <sup>-1</sup> )	Total biomass (Mg ha <sup>-1</sup> )	Aboveground biomass Stock (10 <sup>6</sup> Mg)	Total biomass stock (10 <sup>6</sup> Mg)	Gross loss of biomass (10 <sup>6</sup> Mg year <sup>-1</sup> )
Forest – Mato	9	541.5	363.0	361.0	2.04	269.3	53.8	323.1	14,580.0	17,494.3	66.0
<i>Cerrado</i> – Mato Grosso	15	360.5	275.8	275.5	0.30	23.0	36.0	59.0	829.2	2,127.0	1.8
Forest - Rondônia	7	214.2	137.6	136.3	1.30	259.0	51.6	310.6	5,549.2	6,654.0	40.4
<i>Cerrado-</i> Rondônia	6	22.9									

Table 4. Original stocks and subsequent losses of vegetation and biomass

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Category	Gross loss of carbon (10 <sup>6</sup> MgC year <sup>-1</sup> )	Carbon stock in replacement landscape (MgC ha <sup>-1</sup> )	Annual increase in C from expansion of the replacement landscape $(10^{6} \text{ MgC year}^{-1})$	Net loss of carbon (10 <sup>6</sup> MgC year <sup>-1</sup> )	Net emission CO <sub>2</sub> (10 <sup>6</sup> Mg gas year <sup>-1</sup> )	Net emission $CH_4$ $(10^6 Mg$ gas year <sup>-1</sup> )	Net emission $N_2O$ $(10^6 Mg$ gas year <sup>-1</sup> )	Trace- gas emission (10 <sup>6</sup> Mg CO <sub>2</sub> -C eq year <sup>-1</sup> )	Total net emissions 10 <sup>6</sup> Mg CO <sub>2</sub> -C eq year <sup>-1</sup> )
Forest – Mato Grosso	31.7	12.8	2.62	29.0	114.7	0.32	0.0010	2.3	30.9
Cerrado – Mato	0.8	12.8	0.38	0.5	3.4	0.003	0.0001	0.03	0.6
Grosso									
Forest - Rondônia	20.2	12.8	1.67	18.5	94.0	0.21	0.0006	1.5	25.4
Total	52.7		4.67	48.0	212.0	0.53	0.0018	3.8	56.9



Figure-2 Click here to download high resolution image





